### **Technical Cooperation Document**

### I. Basic Information of the TC

Country/Region:	Chile, Ecuador, México, Panamá, and Paraguay
TC Name:	Regional Support for the Green Climate Fund (GCF)
TC Number:	RG-T2638
Team Leader/Members:	Gloria Visconti - Team Leader (INE/CCS), Mateo Salomon, Sandra López, and Mariana Hernández (INE/CCS); Gmelina Ramírez (CCS/CME); Paloma Marcos (SCL/GDI); Natacha Marzolf (INE/ENE); and Escarlata Baza (LEG/SGO)
<ul> <li>Indicate if: Operational Support, Client Support, or Research &amp; Dissemination</li> </ul>	Client Support
<ul> <li>If Operational Support TC, give number and name of Operation Supported by the TC:</li> </ul>	N/A
<ul> <li>Date of TC Abstract authorization:</li> </ul>	September 2015
<ul> <li>Beneficiary (countries or entities which are the recipient of the technical assistance):</li> </ul>	Chile, Ecuador, México, Panamá, and Paraguay
<ul> <li>Executing Agency and contact name:</li> </ul>	Inter-American Development Bank (IDB)
Donors providing funding:	Sustainable Energy and Climate Change IDB Special Program (SCI)
IDB Funding Requested:	US\$500,000
<ul> <li>Local counterpart funding, if any:</li> </ul>	US\$70,000
Disbursement period:	36 months (execution: 30 months)
Required start date:	December 2015
<ul> <li>Types of consultants (firm or individual consultants):</li> </ul>	Firms and individual consultants
Prepared by Unit:	Climate Change and Sustainability Division (INE/CCS)
Unit of Disbursement Responsibility:	Infrastructure and Environment Sector (INE)
TC Included in Country Strategy (y/n):	Υ
TC included in CPD (y/n):	N/A
GCI-9 Sector Priority:	Protect the environment, respond to climate change, promote renewable energy and ensure food security

### II. Objectives and Justification of the TC

2.1 The objective of this Technical Cooperation (TC) is to support Latin America and the Caribbean (LAC) countries in preparing to, and accessing resources from the Green Climate Fund (GCF), to enable and catalyze climate investments towards, low carbon, climate resilient technology and infrastructure (natural and physical), as well

- as to conserve essential natural resources which provide key local and global services.
- 2.2 The GCF is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF. A first set of project proposals is scheduled to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, LAC countries require technical support in the process of prioritization and design of projects; the proposed TC is focused to cover this gap. The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources. This TC will build a strategic pipeline of projects for the GCF and other source of climate finance and will contribute with the IDB's Climate Change Action Plan and the Ninth General Capital Increase (GCI-9) in particular as it will "assist borrowing countries in climate change mitigation and adaptation issues, sustainable and renewable energy, and environmental sustainability". This operation contributes to the objectives of the Sustainable Energy and Climate Change Initiative IDB Special Program (IDB SECCI Fund), as it relates to the reduction of GHG emissions and the mobilization of financial resources for climate change mitigation and adaptation. Furthermore, the TC will strengthen South- South learning among Latin America and Caribbean Countries in different areas related to the Fund.
- 2.3 During the 10<sup>th</sup> meeting of the GCF Board, the IDB has been accredited as an Implementing Entity and Financial Intermediary of the Fund and is now in a position to submit project proposals to the GCF. The Bank has initiated a dialogue with several LAC countries to build a pipeline of projects for the GCF and also has been working on the preparation of project funding proposals and other readiness activities to be submitted to the GCF.
- 2.4 This TC is aligned with the IDB Country Strategy with Ecuador 2012 2017 (GN-2680) that focuses on promote initiatives to reduce vulnerability to climate change, encourage adaptation measures and mitigation of greenhouse gases. This TC is aligned with the IDB Country Strategy with Mexico 2013 2018 (GN-2749) which prioritizes work on developing planning tools to identify adaptation measures, reducing vulnerability and increasing the resilience of human settlements in rural, urban, and coastal areas, strategic infrastructure and production systems. This TC is aligned with the IDB Country Strategy with Paraguay 2014 2018 (GN-2769) and with Chile 2014 2018 (GN-2785), both focuses mostly on climate change adaptation measures. The IDB Country Strategy with Panama is currently under elaboration, they might include climate change issues as in previous strategies.

### III. Description of activities/components and budget

- 3.1 The IDB GCF Support for LAC countries will offer tailored technical support to prepare to, and access GCF resources, based on their specific needs.
- 3.2 Component 1: Information and knowledge sharing (US\$144,000). This component will facilitate the access to information and knowledge on the specificity of the GCF to countries in LAC Region and potential project developers. At the national level, support will be provided to the National Designated Authorities (NDAs) in sharing information on GCF opportunities and requirements, to a diverse range of stakeholders, through workshops and the preparation of relevant materials, i.e. different institutions at government level, private sector representatives, local

financial institutions and Non-Governmental Organizations (NGOs). At regional, sub-regional and national level, workshops¹ will be organized and conducted in close coordination with countries NDAs to share early experiences on project prioritization and formulation with the GCF. The workshops will also highlight innovative financial instruments, policy and schemes (such as risk transfer mechanisms, public private partnerships, focalization of subsidies, results-based payments) that are relevant for the structuring of climate projects for the GCF. As a result, a diverse range of stakeholders in LAC will have a better understanding of the opportunities and requirements of the GCF. This component will be developed in synergy with the TC on readiness for Central America and Bolivia (RG-X1255) for an optimal use of resources and information sharing. Support to national entities for accreditation to the GCF will also be offered.

- 3.3 Component 2: Identification and prioritization of projects (US\$96,000). This component will support LAC countries in identifying and prioritizing projects to be submitted to the GCF. Based on ad hoc diagnostic analysis or existing assessments performed for, among others, the National Climate Change Communications (NCCs), the Biennial Update Reports (BURs), Intended National Determined Contributions (INDCs) and Nationally Appropriate Mitigations Actions (NAMAs), the project will provide on-demand support to countries to identify and prioritize a pipeline of projects for the GCF also on the basis of the potential to create synergies with IDB projects pipeline. These activities will also serve as basis for looking at the potential to create synergies with the IDB project pipeline. These activities will be developed in close coordination with the countries NDAs and relevant stakeholders. The result of this component will be a prioritized pipeline of projects to be presented to the GCF.
- 3.4 Component 3: Detailed design of projects (US\$330,000). This component will provide technical support to LAC countries in developing prioritized projects in their GCF pipeline into full project proposals to be presented to the GCF. Assistance will be provided to ensure that the proposed projects respond to the criteria of the GCF and that they can produce a strong transformational impact towards a low carbon and climate resilient development path. Participating LAC countries will benefit from the wealth of experience of the IDB in structuring climate finance projects and applying innovative and efficient financial mechanisms. As a result, the TC beneficiaries will be able to access the GCF, know about leveraging climate finance and scaling-up climate investments.

In these workshops will be participating the team leader which main functions will be to illustrate the first experiences of identification of projects, preparation of concept notes, further elaboration of a funding proposal and the several interactions with the GCF Secretariat to finally submit the project funding proposal, and also will provide inputs and sharing experiences regarding GCF policies and procedures design process. Project team leader participation in these workshops is essential as nobody else within the Bank has the experience and has been following the progress of the GCF architecture and development. The above mentioned activities do not described functions or activities performed by INE/CCS staff in a regular basis; however these activities will generate a considerable result in the implementation of this TC. Consequently, this TC will not be supporting or complementing Bank's administrative budget.

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### **Indicative Results Matrix**

Component	Outputs	Indic ator	Base line	Year 1	Year 2	Year 3	Target	Means of verification
	National and Regional workshops on the GCF, organized and conducted in coordination with NDAs.	# of work shops	0	1	1	1	3	Participants list for each workshop
Component 1: Information and knowledge sharing	Gap analysis of Environmental and Social Standards, Gender Policy and Fiduciary Standards and roadmap for potential <sup>2</sup> national implementation entity to comply with GCF accreditation requirements	Repor t	0	1	0	0	1	Report received by the national implementati on entity
Component 2: Identification and prioritization of projects	National pipeline of projects that comply with GCF requirements identified and prioritized	# of pipeli nes	0	3	2	0	5	List of projects prioritized by each country
Component 3: Detailed design of	Support to the preparation of feasibility studies associated with potential GCF full project proposals	# of feasib ility studie s	0	1	1	0	2	Feasibility study associated to a GCF full project proposal delivered to the NDA
projects	Technical support to participant countries in preparing full GCF project proposals	# of full projec t propo sals	0	2	2	1	5	Full project proposal approved by each country

### **Outcome statements**

Increase awareness and understanding of opportunities and requirements of the GCF to national stakeholders, i.e., institutions at government level, NGOs, private sector representatives and local financial institutions.

Increase understanding of requirements and gaps towards GCF accreditation of potential national implementation entities, i.e., national development banks, national NGOs, national financial institutions.

Examples of potential entities are national development banks, national NGOs, national financial institutions. The final accreditation assessment is done by the GCF Secretariat and the GCF Accreditation independent panel.

### **Outcome statements**

Increase investment opportunities at national level that can potentially access GCF financial resources.

Increase capacity to access GCF resources through project proposal submission.

### 3.5 The indicative budget is as follow:

### Indicative Budget (US\$)\*

Activity/ Component	Description	IDB /Fund	Counterpart Funding **	Total Fund
Component 1: Information and Knowledge sharing	Provide information on the GCF and share experience related to the GCF.	120,000	24,000	144,000
Component 2: Identification and Prioritization of projects	Support the identification and prioritization of projects for the GCF.	80,000	16,000	96,000
Component 3: Detailed design of Projects	Support the preparation and presentation of projects to the GCF.	300,000	30,000	330,000
	Total	500,000	70,000	570,000

<sup>\*</sup> The procurement plan presents detailed costs of each product described in the results matrix.

### IV. Executing agency and execution structure

- 4.1 The TC will be executed by the Bank as it has a regional outreach and it seeks to support countries in LAC region in accessing the GCF and build a pipeline of projects that can be accessed through the IDB as a GCF accredited entity. INE/CCS, in its role as technical focal point for GCF and other climate funds, is leading GCF related activities in close cooperation with all the operational Divisions and Departments of the Bank, as well as with VPC given the key role of NDAs. Activities under TC components 3 and 4 will require a close involvement of INE operational Divisions as well as other Departments of the Bank. Close coordination will also be ensured with the GCF Secretariat in order to maximize the impact of TC funding with GCF readiness resources.
- 4.2 Prior to the initiation of the project activities, the team will obtain the corresponding no objection from the Bank's liaison entity in each country, as well as a written an express request, from the beneficiary entity, that the Bank acts as executing agency, and therefore will select and contract the consulting services required under the present operation.
- 4.3 The Bank will contract individual consultants, consulting firms and non-consulting services in accordance with current Bank's procurement policies and procedures.

### V. Major issues

5.1 The main risk of this TC is that those projects prioritized and submitted to the GCF are not approved. The activities of the TC seek to mitigate that risk by ensuring that

<sup>\*\*</sup> In kind contribution: human resources within the NDA.

the submitted proposals comply with the GCF criteria and are well designed taking advantage of the existent expertise of IDB operational Divisions. An additional risk is the increased competition and multiple channels to access the GCF. The TC will promote the capacity and added value of the IDB and support the positioning of the positioning of the Bank as a relevant partner for the GCF and LAC countries.

### VI. Exceptions to Bank policy

6.1 No exceptions to Bank policy are envisioned.

### VII. Environmental and Social Strategy

7.1 It is not anticipated that the activities of this TC will have a negative direct or indirect social or environmental impacts, as it classified as Category "C" per Environment and Safeguards Compliance Policy (OP-703) (Safeguard Screening Form/Safeguard Policy Filter). Projects supported by this TC and submitted to the GCF will have their own environmental and social screening and classification.

### **Required Annexes:**

• Annex 1: Letter of Request

• Annex 2: Terms of Reference

Annex 3: <u>Procurement Plan</u>







"2015, Año del Generalísimo José María Morelos y Pavón"

Oficio No. 347.-B. 179

México, D. F. a 17 de diciembre de 2015

SR. MIGUEL CORONADO JEFE DE OPERACIONES BANCO INTERAMERICANO DE DESARROLLO CIUDAD DE MÉXICO

Hago referencia a su comunicación CME-1210/2015 referente a una cooperación técnica regional no reembolsable que tiene como objetivo apoyar a algunos países de la región, entre ellos México, a prepararse para acceder a los recursos del Fondo Verde para el Clima (FVC).

Al respecto, me permito solicitar se realicen las gestiones conducentes a fin de que México pueda beneficiarse de dicha cooperación técnica regional y no omito señalar que será ejecutada por el BID.

Sin otro particular, aprovecho la ocasión para enviarle un cordial saludo.

ATENTAMENTE, EL DIRECTOR GENERAL ADJUNTO

C. RAUL DELGADO ARANDA

C.C.P.- Dra. María de los Ángeles González Miranda. Titular de la Unidad de Asuntos Internacionales DE HACIENDA.- PRESENTE SR. JUAN BOSCO MARTI ASCENCIO. DIRECTOR EJECUTIVO POR MÉXICO Y REPÚBLICA DOMINICANA.- BID



RECEPTION SIDGELE

12:53 ZBDIC 15

Santiago, 2 4 DIC. 2015

Señor
Koldo Echebarría
Representante Banco Interamericano de Desarrollo (BID)
Presente

Ref.: Presenta No Objeción a Proyecto RG-T2638. Apoyo a las actividades de preparación para el Fondo Verde para el Clima.

**Ant.:** Carta BID N° CSC/CCH/674/2015, de 2015.

De mi consideración:

Mediante carta del Antecedente, se ha solicitado la no objeción para el proyecto RG-T2638 que busca brindar asistencia técnica para preparar al país en el acceso a recursos del Fondo Verde para el Clima, que permitan catalizar inversiones resilientes al clima y bajas en emisiones.

Al respecto, cúmpleme informar que luego de reunirnos con Fernando Montenegro y Gloria Visconti, representantes del BID en Chile, donde se explicaron los alcances del proyecto, el Ministerio de Hacienda, como Punto Focal del Fondo Verde para el Clima, ha decidido presentar en este acto la carta de no objeción del Gobierno de Chile al proyecto de cooperación técnica solicitada por la institución que usted representa.

Sin otro particular, saluda atentamente a usted,

Rodrigo Valdés Pulido Ministro de Hacienda

C.C.:

-Sr. José Pablo Gómez, Jefe División Finanzas Públicas, DIPRES.

-Sra. Clelia Beltrán, Jefa Sector Crédito Público, DIPRES.

-Sr. Cristian Salas, Asuntos Internacionales. Ministerio de Hacienda











Asunción, 12 de febrero de 2016

M.H. N° 135,-

SEÑOR
EDUARDO ALMEIDA, REPRESENTANTE RESIDENTE
BANCO INTERAMERICANO DE DESARROLLO (BID)
ASUNCIÓN, PARAGUAY

Tengo el agrado de dirigirme a usted con referencia a su nota CPR/C/2015/2041 recibida en este Ministerio en fecha del 23 de noviembre de 2015, a través de la cual se solicita la no objeción del gobierno de Paraguay a una cooperación de asistencia técnica por quinientos mil dólares de los Estados Unidos de América (US\$ 500.000.-), para el programa de *Apoyo Regional para el Fondo Verde para el Clima (GCF)* (Exp. M.H. Nº 88.272/2015).

Al respecto, en representación de la República del Paraguay manifiesto la no objeción a la citada propuesta de cooperación.

Hago propicia esta oportunidad para saludarle con mi distinguida

consideración.







07 de marzo de 2016 DdFP/NRI/246

Señor Fidel Jaramillo Representante en Panamá Banco Interamericano de Desarrollo – BID. Ciudad

### Respetado señor Jaramillo:

Tengo a bien manifestar No Objeción a la Asistencia Técnica (Nota CPN-1229/2015), la cual tiene como objetivo preparar a la República de Panamá para acceder a los recursos del Fondo Verde para el Clima (GCF, por sus siglas en inglés).

Nuestra No Objeción está basada en el Visto Bueno dado por el Ministerio de Ambiente mediante Nota No. DM-0348-2016, donde dejan de manifiesto el interés de recibir el apoyo por parte del BID para la elaboración de propuestas alineadas a los objetivos y prioridades de inversión y ejecutadas con los recursos del Fondo Verde.

En virtud de lo anterior, agradecemos realizar las gestiones que correspondan para formalizar esta iniciativa.

Atentamente.

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Viceministro de Economía

c.c. Mirei Endara, Ministra de Ambiente c.c. Emilio Sempris, Viceministro de Ambiente

IZA/KCdeJ/VMR/ymn

### **Letters of Request**

We are waiting for the letters of request from the sele	ected countries.
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### INTER-AMERICAN DEVELOPMENT BANK

### CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)

### REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND

## TECHNICAL SUPPORT FOR ACCREDITATION OF A NATIONAL/SUB-NATIONAL/REGIONAL ENTITY TO THE GCF - FIDUCIARY STANDARDS

### **RG-T2638**

### TERMS OF REFERENCE

### I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of USD10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Latin America and the Caribbean (LAC) countries require technical support in the process of prioritization and design of projects, support to national, sub-national and regional entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. The GCF also allows direct access to recipient countries that can nominate competent, national, sub-national, and regional, implementing entities for accreditation to receive funding. Implementing entities have to be accredited by the GCF to canalize funding for recipient countries. To acquire accreditation there are specific criteria to comply with Fund's fiduciary principles and standards, and environmental and social safeguards.
- 1.3 In order for Chile, Ecuador, México, Panamá, and Paraguay to access directly to resources from the GCF, their National Designated Authorities should nominate a National/Sub-national/Regional Entity to acquire accreditation. The IDB will support ondemand basis selected entities seeking accreditation to the GCF.

### II. OBJECTIVES

2.1 Assessment of the compliance with fiduciary criteria required by the GCF for the accreditation of National/Sub-national/Regional Entities and elaboration of a road map for the accreditation process.

### III. ACTIVITIES

- 3.1 Review of and guidance for the GCF accreditation process. The contractual shall review the process and requirements for the accreditation of a National/Sub-national/Regional Entity to the GCF. The contractual shall guide National/Sub-national/Regional Entities to complete and compile information to each section of the accreditation application.
- 3.2 Gap Analysis of the Entities compliance with the GCF's fiduciary standards. The contractual shall conduct a gap analysis for National/Sub-national/Regional Entities to comply with the GFC's fiduciary standards in order to entitle the Entity to identify, prepare, submit and fully implement funding proposals relating to specific projects. The assessment

shall include, as a minimum the basic fiduciary criteria: (i) general management and administrative capacities: (ii) financial management and accounting; (iii) internal and external audit; (iv) control frameworks; (v) procurement; (vi) code of ethics; (vii) disclosure of conflicts of interest; (viii) preventing financial mismanagement; (ix) investigation function, and (x) anti-money laundering and anti-terrorist financing policies.

- 3.3 In case the Entity is seeking for more advanced accreditation, the following specialized fiduciary criteria have to be assessed: (a) project identification, preparation and appraisal; (b) project oversight and control; (c) monitoring and evaluation; (d) project-at-risk systems and related project risk management capabilities; (e) grant award procedures; (f) public access to information on beneficiaries and results; (g) transparent allocation and implementation of financial resources; (h) good standing for financial requirements with regard to multilateral funding; (i) appropriate registration and/or licensing from by a financial oversight body or regulator in the country and/or internationally, as applicable; (j) track record, institutional experience and existing arrangements and capacities for on-lending and blending with resources from other international or multilateral sources; (k) creditworthiness; (l) due diligence policies, processes and procedures; (m) financial resources management, including analysis of lending portfolio of the intermediary; (n) public access to information on beneficiaries and results; (o) investment management, policies and systems, including in relation to portfolio management; (p) capacity to channel funds transparently and effectively, and to transfer the Fund's funding advantages to final beneficiaries; (q) financial risk management, including asset liability management, and (r) governance and organizational arrangements, including relationships between the entity's treasury function and the operational side.
- 3.4 Recommendations to cover potential gaps and road map for the GCF accreditation process. The contractual shall elaborate recommendations and a road map to overcome gaps found in activity 3.2 above, in order for the National/Sub-national/Regional Entities to comply with the GFC's fiduciary standards. The road map should include a schedule of the assessment process and the responsible to complete improvement actions. The contractual shall define the roadmap with specific recommendations in close collaboration and coordination with the Entities and the IDB.

### IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based with at least one mission to each country where the National/Sub-national/Regional Entity is located.
- Qualifications: The contractual should have university degree in finance, accounting, economics, or any related discipline with at least seven years of demonstrated professional experience in fiduciary standards. Master's degree would be an asset.

### V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, an interim report, and a final report.
  - a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.

- b. The interim report should include the review of the GCF accreditation process (as per paragraph 3.1 above) and the assessment of the National/Sub-national/Regional Entity compliance with GCF's fiduciary standards (as per paragraph 3.2 above). The interim report should be submitted within 60 calendar days from the signature of the contract.
- c. The final report should include the road map for each National/Sub-national/Regional Entity for the accreditation process to the GCF (as per paragraph 3.3 above) and the guidance for the GCF accreditation application (as per paragraph 3.1 above). The final report should be submitted within 90 calendar days from the signature of the contract.
- d. The inception and the interim report are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.2 Payments for the consulting services will be specified in the contract and will be made as follow:
  - 1. 20% at contract signature and approval of the Bank of the inception report;
  - 2. 40% upon approval of the Bank of the interim report;
  - 3. 40% upon approval of the Bank of the final report.

### VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuals. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

### INTER-AMERICAN DEVELOPMENT BANK

### CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)

### REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND

TECHNICAL SUPPORT FOR ACCREDITATION OF A NATIONAL/SUB-NATIONAL/REGIONAL ENTITY TO THE GCF - ENVIRONMENTAL AND SOCIAL SAFEGUARDS AND GENDER POLICY

### **RG-T2638**

### TERMS OF REFERENCE

#### I. **BACKGROUND AND JUSTIFICATION**

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of USD10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Latin America and the Caribbean (LAC) countries require technical support in the process of prioritization and design of projects. support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. The GCF also allows direct access to recipient countries that can nominate competent sub-national, national and regional implementing entities for accreditation to receive funding. Implementing entities have to be accredited by the GCF to canalize funding for recipient countries. To acquire accreditation there are specific criteria to comply with Fund's fiduciary principles and standards and environmental and social safeguards.
- 1.3 In order for Chile, Ecuador, México, Panamá, and Paraguay to access directly to resources from the GCF, their National Designated Authorities should nominate a National/Sub-national/Regional Entity to acquire accreditation. The IDB will support ondemand basis selected entities seeking accreditation to the GCF.

#### II. **OBJECTIVES**

1.1 Assessment of the compliance with environmental and social safeguards and gender policy required by the GCF for the accreditation of National/Sub-national/Regional Entities and elaboration of a road map for the accreditation process.

#### III. **ACTIVITIES**

- 3.1 Assessment of the Entity compliance with the GCF's environmental and social safeguards and gender policy. The contractual shall conduct a gap analysis for National/Subnational/Regional Entities to comply with the GFC's environmental and social safeguards in order to entitle the Entity to identify, prepare, submit and fully implement funding proposals relating to specific projects. The assessment shall include, as a minimum: (a) assessment of the environmental and social management system (ESMS); (b) environmental and social policy; (c) identification of risks and impacts; (d) management program of the ESMS; (e) organizational capacity and competency for the ESMS; (f) monitoring and review; (g) external communications; (h) labor and working conditions; (i) resource efficiency and pollution prevention; (j) community health, safety and security;

  - (k) land acquisition and involuntary resettlement; (I) biodiversity conservation and

- sustainable management of living natural resources; (m) indigenous peoples, and (n) cultural heritage.
- 3.2 The contractual shall also conduct a gap analysis for National/Sub-national/Regional Entities to comply with the GCF's Gender Policy, including: (i) demonstrate competencies, policies and procedures to implement the GCF's Gender Policy, and (ii) demonstrate experience with gender and climate change, including a track record of lending to both men and women.
- 3.3 Recommendations to cover potential gaps and road map for the GCF accreditation process. The contractual shall elaborate recommendations and a road map to overcome gaps found in activity 3.1 above, in order for the National/Sub-national/Regional Entities to comply with the GFC's environmental and social safeguards and gender policy. The road map should include a schedule of the assessment process and the responsible to complete improvement actions. The contractual shall define the roadmap with specific recommendations in close collaboration and coordination with the Entities and the IDB.

### IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
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- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based with at least one mission to each country where the National/Sub-national/Regional Entity is located.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in environmental and social safeguards. Master's degree would be an asset.

### V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, an interim report, and a final report.
  - a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.
  - b. The interim report should include the assessment of the National/Subnational/Regional Entity compliance with GCF's environmental and social safeguards and gender policy (as per paragraph 3.1 above). The interim report should be submitted within 60 calendar days from the signature of the contract.
  - c. The final report should include the road map for each National/Sub-national/Regional Entity for the accreditation process to the GCF (as per paragraph 3.2 above). The final report should be submitted within 90 calendar days from the signature of the contract.
- 5.2 The inception and the interim report are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.

- 5.3 Payments for the consulting services will be specified in the contract and will be made as follow:
  - 1. 20% at contract signature and approval of the Bank of the inception report;
  - 2. 40% upon approval of the Bank of the interim report;
  - 3. 40% upon approval of the Bank of the final report.

### VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuals. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

# INTER-AMERICAN DEVELOPMENT BANK CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS) REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND

## TECHNICAL SUPPORT FOR IDENTIFICATION, BUILDING A PIPELINE AND PRIORITIZATION OF GREEN CLIMATE FUND PROGRAMS AND PROJECTS

### **RG-T2638**

### TERMS OF REFERENCE

### I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of USD10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Latin America and the Caribbean (LAC) countries require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Chile, Ecuador, México, Panamá, and Paraguay to present funding proposals to the GCF it is necessary to identify and prioritize potential projects and programs aligned with national priorities and the Fund's results management framework. The Bank will support LAC countries during the process of prioritization of the identified GCF programs and projects.

### II. OBJECTIVES

2.1 Identification, building a pipeline, and prioritization of Green Climate Fund programs and projects in Chile, Ecuador, México, Panamá, and Paraguay.

### III. ACTIVITIES

3.1. Review of existing and potential projects and programs that could opt for GCF funding. The contractual shall review projects and programs that are currently developing in each country or are soon to be developed. Once projects and/or programs have been identified, the contractual shall check whether those projects and programs are in line with: (a) national, sub-national, sectoral priorities; (b) IDB country strategy; and (c) GCF investment criteria. If the projects and/or programs are in line with (a), (b) and (c) above, it should be listed for each project/program, as a minimum: (i) the aim and objective of the project or program; (ii) scope of project/program; (iii) the result area - the project/program respond to a mitigation or adaptation strategy, or both -; (iv) detailed activities; (v) development status of the project/program; (vi) funding needed and funding already provided; (vii) timeline; and (viii) stakeholders involved.

- 3.2 Building a pipeline of programs and projects that could be eligible for GCF funding. The contractual shall build the pipeline in close collaboration and coordination with the NDA, the IDB¹ and any other appointed national entity of each country. The pipeline of projects and programs shall include the information required by the GCF concept note template2, inter alia: (a) project/program general information (e.g. title, results areas reduce emissions and/or increase resilience -, life span, implementation start and end date); (b) project/program description, including objectives; (c) background information on project/program sponsor; (d) market overview; (e) regulation, taxation and insurance; (f) implementation arrangements; (g) financing/cost information; (h) expected performance against GCF investment criteria; (i) brief rational for GCF involvement and exit strategy; (j) risk analysis; (k) multi-stakeholder engagement; (l) status of the project/program; and (m) identification of the existence of supporting documents for the concept note required by the GCF (i.e. map indicating the location of the project/program, financial model, pre-feasibility study/ feasibility study, environmental and social impact assessment (if applicable), evaluation report (if applicable).
- 3.3. Prioritization of GCF projects and programs. The contractual shall conduct an analysis and evaluation methodology to assess projects and programs of the GCF pipeline in each country in order to establish a ranking among those projects and programs. Prioritization of projects/programs should be based on a country driven approach, the maturity of the project/program, and the availability of supporting documentation for the funding proposal3 required by the GCF. The contractual could perform a multi-criteria analysis to evaluate the impact of the programs and/or projects against the information required by the GCF funding template: (a) project/program general information (e.g. title, results areas - reduce emissions and/or increase resilience -, executive summary, expected approval from accredited entity's Board (if applicable), expected financial close (if applicable), implementation start and end date, life span); (b) description of financial elements of the project/program; (c) project/program financing information; (d) fee arrangement; (e) financial market overview – if applicable; (f) strategic context; (g) project/program objective against baseline scenario; (h) project/program description; (i) background information on project/program sponsor; (j) market overview – if applicable; (k) regulation, taxation and insurance; (I) institutional/implementation arrangements; (m) timetable of project/program implementation; (n) value added for GCF involvement; (o) exit strategy; (p) expected performance against GCF investment criteria; (q) economic and financial analysis; (r) technical evaluation; (s) environmental, social assessment, including gender considerations; (t) financial management and procurement; (u) risk assessment summary, risk factors and mitigation measures; (v) logic framework: paradigm shift objectives and impacts at the fund level, and outcomes, outputs, activities and inputs at project/program level; (w) arrangements for monitoring, reporting and evaluation, and (x) identification of the existence of supporting documents for the funding proposal required by the GCF, or when they become available (i.e. feasibility study, integrated financial model that provides sensitivity analysis of critical elements, confirmation letter or commitment for co-financing, term sheet, environmental and social impact assessment (ESIA), appraisal report or due diligence report with recommendations, evaluation report of the baseline project, map indicating the location of the project/program, timetable of project/program implementation, project/program confirmation).

<sup>&</sup>lt;sup>1</sup> Close involvement of INE operational Divisions as well as other Departments of the Bank.

<sup>&</sup>lt;sup>2</sup> GCF concept note: <a href="http://www.gcfund.org/operations/resource-guide.html">http://www.gcfund.org/operations/resource-guide.html</a> or the latest version available.

<sup>&</sup>lt;sup>3</sup> GCF funding proposal: http://www.gcfund.org/operations/resource-guide.html or the latest version available.

3.4. Prioritization workshop in Chile, Ecuador, México, Panamá, and Paraguay. The contractual shall organize in close collaboration and coordination with the NDA, the IDB<sup>4</sup> and any other appointed national entity of each country, logistics and technical aspects of the prioritization workshop in each country, before, during and after the event. The contractual shall be in charge to, inter alia: (a) provide input on the identification of invitees; (b) drafting the invitation letter; (c) drafting the workshop agenda; (d) making recommendations on the format of the workshop; (e) moderate and facilitate the dialogue organizing the discussions, taking the floor and making presentations, as appropriate; and (f) elaborate a synthesis document of the workshop providing the GCF prioritized projects/programs list.

### IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within eight months.
- Place of work: Home-based with potential missions to countries.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in climate change mitigation and adaptation projects. Master's degree would be an asset.

### V. REPORTS AND PAYMENTS

- 5.1. The contractual will submit an inception report, an interim report, and a final report.
  - a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 15 calendar days from the signature of the contract.
  - b. The interim report should include the identification and building of GCF projects/ programs pipeline in Chile, Ecuador, México, Panamá, and Paraguay (as per paragraph 3.1 and 3.2 above). The interim report should be submitted within 120 calendar days from the signature of the contract.
  - c. The final report should include the initial prioritization made during the desk review appraisal and the results of the prioritization agreed in the workshop for each country (as per paragraph 3.1 and 3.2 above). The final report should be submitted within 200 calendar days from the signature of the contract.
- 5.2. The inception report is expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices), and ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.3. Payments for the consulting services will be specified in the contract and will be made as follow:
  - 1. 20% at contract signature and approval of the Bank of the inception report;
  - 2. 40% upon approval of the Bank of the interim report; and

<sup>4</sup> Close involvement of INE operational Divisions as well as other Departments of the Bank.

3. 40% upon approval of the Bank of the final report.

### VI. COORDINATION

6.1. The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuals. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

# INTER-AMERICAN DEVELOPMENT BANK CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS) REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND

## TECHNICAL SUPPORT FOR THE PREPARATION OF FULL PROJECT OR PROGRAM FUNDING PROPOSALS FOR THE GREEN CLIMATE FUND

### **RG-T2638**

### **TERMS OF REFERENCE**

### I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of USD10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Latin America and the Caribbean (LAC) countries require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Chile, Ecuador, México, Panamá, and Paraguay to present funding proposals to the GCF it is necessary to fill out the GCF funding proposal template and ensure to have all documentation required. The Bank will support LAC countries to submit a qualified project or program funding proposal to the GCF.

### II. OBJECTIVES

2.1 Preparation of six full program or project funding proposals to be submitted to the Green Climate Fund Secretariat for Chile, Ecuador, México, Panamá, and Paraguay.

### III. ACTIVITIES

- 3.1 Preparation of projects and/or programs funding proposals to be submitted to the GCF. The contractual shall fill out the latest available version of the GCF funding proposal<sup>5</sup>, consider all comments received from the NDA, any other appointed national entity of each country and the IDB<sup>6</sup>, and participate in all calls arranged by the GCF when reviewing each proposal. The contractual shall refine each proposal accordingly.
- 3.2 Check list and availability of required documentation for the project/program proposal. The contractual shall organize in close collaboration and coordination with the NDA, the IDB<sup>7</sup> and any other appointed national entity of each country, all documents, letters and studies necessary to support the submission of the GCF funding proposal in each country.

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<sup>&</sup>lt;sup>5</sup> GCF funding proposal: http://www.gcfund.org/operations/resource-guide.html or the latest version available.

<sup>&</sup>lt;sup>6</sup> Close involvement of INE operational Divisions as well as other Departments of the Bank.

<sup>&</sup>lt;sup>7</sup> Idem.

### IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in climate change mitigation and adaptation projects. Master's degree would be an asset.

### V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, and a final report.
  - a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.
  - b. The final report should include the final project/program funding proposal to be submitted to the GCF and the annexed information for each proposal (as per paragraph 3.1 and 3.2 above). The final report should be submitted within 90 calendar days from the signature of the contract.
  - c. The inception report is expected in Spanish. The final report is expected in English. All reports will be delivered as follows:(i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices), and (ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.2 Payments for the consulting services will be specified in the contract and will be made as follow:
  - 2. 40% at contract signature and approval of the Bank of the inception report; and
  - 3. 60% upon approval of the Bank of the final report.

### VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuals. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: <a href="mailto:gloriav@iadb.org">gloriav@iadb.org</a>).

## INTER-AMERICAN DEVELOPMENT BANK CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)

## REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND SUPPORT TO A FEASIBILITY STUDY OF A FUNDING PROJECT PROPOSAL TO BE SUBMITTED TO THE GREEN CLIMATE FUND

## RG-T2638 TERMS OF REFERENCE

### I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of USD10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Latin America and the Caribbean (LAC) countries require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Chile, Ecuador, México, Panamá, and Paraguay to present funding proposals to the GCF it is required to comply with the GCF investment criteria and to present a feasibility study, an environmental and social impact assessment, a financial model, due diligence report with recommendations, among others.

### II. OBJECTIVES

2.1 Support to the elaboration of a feasibility study of a selected project proposal seeking GCF funding.

### III. ACTIVITIES

3.1 Description of the proposed project seeking GCF funding. The firm shall describe in detail the funding project proposal including, inter alia: (a) overall objectives, explaining the project importance to the target groups and beneficiaries, the region and the government; (b) indicate economic, social and environmental development or impact of the project; (c) describe the project purpose, highlighting why the target groups and beneficiaries need the project; (d) project results, specifying products and services the project will deliver to the target groups and beneficiaries, as well as the assumptions required to achieve the project purpose; (e) explain project activities; (f) provide details of engineering designs, technical specifications, works, and/or supplies, depending the type of project; and (g) describe the exit strategy to ensure project sustainability in the long run.

- 3.2 Support to a feasibility study of a funding project proposal seeking GCF resources. The firm shall support to a feasibility study of a funding project proposal for submission to the GCF Board, detailing any pre-conditions necessary to start project activities. The feasibility study shall include, as a minimum: (a) technical analysis; (b) economic and financial assessment; (c) institutional and managerial capacity; (d) environmental impacts, (e) socio-cultural and gender aspects, and (f) operational characteristics of the project.
- 3.2 Design a detailed plan for implementation of the project activities. The firm shall design detailed implementation plan including, inter alia: (a) indicators for project objectives and results; (b) design specifications; (c) resources required; (d) institutional structure for the implementation phase stipulating the responsibilities of different actors; (e) project timing/phasing; (f) estimated costs; (g) logical framework; (h) risk analysis and mitigation measures; (i) financing plan, and (j) monitoring and evaluation.

### IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Consultancy Firm of the IDB's member countries.
- Type of contract: Lump sum.
- Time frame: The activities under these terms of reference should be completed within six months.
- Place of work: Home-based with at least two missions to the country where the study is being conducted.
- Qualifications: The consultancy team should have university degree (Master's degree is an asset) and at least the following experience:
  - a. Project Coordinator. At least 10 years of demonstrated professional experience leading feasibility studies in the field of the proposed project.
  - b. Technical expert. At least eight years of demonstrated professional experience in the field of the proposed project.
  - c. Financial expert. At least eight years of demonstrated professional experience in economic and financial analysis of projects related to the proposed project.

If deemed necessary, and not exceeding the suggested budget for the consultancy, the consulting firm may propose additional staff as part of the team.

### V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, two interim reports, and a final report.
  - b. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 15 calendar days from the signature of the contract.
  - c. The first interim report should include the description of the proposed project (as per paragraph 3.1 above). The first interim report should be submitted within 60 calendar days from the signature of the contract.

- d. The second interim report should include the feasibility study of a funding project proposal (as per paragraph 3.2 above). The second interim report should be submitted within 120 calendar days from the signature of the contract.
- e. The final report should include the implementation plan of the project activities (as per paragraph 3.3 above) and compile the two previous approved reports (as per paragraphs 5.1.b and 5.1.c). The final report should be submitted within 150 calendar days from the signature of the contract.
- 5.2 The inception and interim reports are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.3 Payments for the consulting services will be specified in the contract and will be made as follow:
  - 1. 20% at contract signature and approval of the Bank of the inception report;
  - 2. 30% upon approval of the Bank of the first interim report;
  - 3. 30% upon approval of the Bank of the second interim report; and,
  - 4. 20% upon approval of the Bank of the final report.

### VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS)<sup>8</sup> will have the technical responsibility of the execution of this contract as well as approval of products prepared by the firm. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

<sup>&</sup>lt;sup>8</sup> According to the sector of the funding proposal there will be a close involvement of INE operational Divisions as well as other Departments of the Bank.

### INTER-AMERICAN DEVELOPMENT BANK

### CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)

### TEMPORARY TERM CONTRACTUAL FOR THE GREEN CLIMATE FUND

### **RG-T2638 AND RG-X1255**

### **TERMS OF REFERENCE**

### I. Background And Justification

- 1.1 The Climate Change and Sustainability Division (INE/CCS) serves as the IDB focal point for the Green Climate Fund (GCF). Since July 2015, IDB is an accredited financial intermediary and implementing entity of the GCF, following the experience consolidated with the Climate Investment Funds and the Global Environment Facility.
- 1.2 As part of the Cancun Agreement, reached at the 16th Conference of the Parties on Climate Change, Parties decided to establish the GCF. The Fund should represent a significant source of international climate finance for both mitigation and adaptation.
- 1.3 The GCF is based on the overarching principle of "country driven approach" and the National Designated Authorities (NDAs), the focal point of Governments vis a vis the Fund, do ensure that programs and projects reflect countries priorities on climate change.
- 1.4 Following the decision of eight GCF Board, beneficiary's countries can start working at the definition of a pipeline of projects through readiness resources. These resources can also be used for capacitating NDAs in developing all the activities as a national focal point for the GCF. In order to prepare national financial institutions for direct access to the GCF, readiness resources can be used for training on GCF safeguards and fiduciary standards.

### II. Objectives

2.1 The objective of the consultancy is to provide policy, technical and operational support to the GCF focal point based in INE/CCS in: (i) managing GCF readiness financial support to beneficiary countries according to their priorities; (ii) assisting the operational teams in meeting GCF criteria when structuring project proposals; and (iii) coordinating the relationship with the GCF Secretariat.

### III. Activities

- 3.1 The contractual will carry on the following activities in relation to IDB's engagement with the Green Climate Fund:
  - a) Information and knowledge sharing. This activity will be focused on facilitating the access to information and knowledge on the specificity of the GCF to National Designated Authorities in the LAC Region;
  - b) Support to national entities for accreditation to the GCF. On-demand support will be provided to national entities seeking accreditation to the GCF to assess their compliance with the requirements of the GCF and establish road maps for the accreditation process;
  - c) Identification and prioritization of projects. On-demand support to countries to identify and prioritize a pipeline of projects for the GCF will be performed. It will also

serve as basis for looking at the potential to create synergies with the IDB project pipeline; and,

- d) Detailed design of projects. Assistance to IDB operational teams will be provided to comply with GCF financing criteria for the preparation of funding proposals.
- 3.2 Assist the GCF focal point to liaise with the GCF Secretariat for issues related to projects evaluation, administrative and policy issues.
- 3.3 Assist the GCF focal point to coordinate synergies between the GCF activities, CIF and GEF investments, looking at possible co-financing opportunities.
- 3.4 The contractual may be asked by the Division Chief to carry out other activities which, even if not specified above, are integral to program delivery.

### IV. Characteristics Of The Consulting Services

- Category and Modality: Temporary Term Contractual (TTC). Individual Consultant/Trust Fund Appointee.
- Place of work: IDB's Headquarters in Washington DC, with frequent travel to the LAC region.
- Payments: Payments will be made on a monthly basis.

### V. Qualifications

- 5.1 The contractual must have the following profile:
  - Master's Degree with an emphasis on development, economics, international affairs or environmental issues;
  - Seven (7) years of relevant professional experience:
  - Preferably five (5) years of experience in climate finance;
  - Work experience in Latin America and the Caribbean region is required;
  - Languages: Fluent in English and Spanish;
  - Understanding of international funds such as CIF, GEF, GCF and climate policies and mechanisms such as NAMAs, is a plus.
  - Competencies: solid negotiation skills with internal and external clients on delicate issues; teamwork with excellent coordination among colleagues in diverse disciplines to complete a joint product for internal and external use; strong organizational skills in order to take on multiple responsibilities simultaneously; maintain good attention to detail; highly-developed technical report writing and verbal communication skills; motivation, creativity, problem-solving skills and ability to work in teams as well as independently. Excellent computer skills: MS Word, Excel, Access, Outlook, Power Point, Internet.

### VI. Coordination

6.1 The contractual work will be supervised by Ms. Gloria Visconti (<a href="mailto:gloriav@iadb.org">gloriav@iadb.org</a>), Climate Change Lead Specialist for the Climate Change and Sustainability Division (INE/CCS).

		PROCUREMENT PLA	AN FOR NO	N-REIMBL	JRSABLE TE	CHNICA	L COOP	ERATIONS		
Country: Regional					Executing agency: IDB Public or private sector: Public					
		RG-T2638			Title of Proje	ct: Regiona	l Suppor	t for the Gree	n Climate Fι	ınd (GCF)
Period co TC Fundi		y the plan: November 2015 - November 2018	Local counter	nart·	\$70,000					
		post review of procurements: N/A	Local counter	Services (in		100,000	Consul	ting services(i	400,000	
		······································		,	.,	,			,	
Item No.	Ref. AWP	Description (1)	Estimated contract cost (US\$)	Procuremen t Method (2)	Review of procurement (ex-ante or ex-post) (3)	Source of tand perco		Estimated date of the procurement notice or start of the	Technical review by the PTL (4)	Comments
						%	her «⁄	contract		
		Component 1				100				
		Services								
1		Events with NDAs, stakeholders, and potential project developers	\$ 60,000	PC	N/A	100		Feb-16		
2		Travel	\$ 15,000	PC	N/A	100		Feb-16		
3		Editing and publishing of documents  Consulting services	\$ 5,000	DC	N/A	100		Mar-16		
4		Individual consultant for the technical support of accreditation of National Entity to the GCF - Fiduciary standards	\$ 20,000	IICQ	N/A			Feb-16		
5		Individual consultant for the technical support of accreditation of National Entity to the GCF - Environmental and Social Safeguards	\$ 20,000	IICQ	N/A			Feb-16		
		Component 2				100				
		Consulting services								
6		Individual Consultants for the identification and building of pipeline of GCF projects	\$ 30,000	IICQ	N/A	100		Jan-16		
7		Individual Consultants for the prioritization of GCF projects	\$ 30,000	IICQ	N/A	100		Apr-16		
		Services								
8		National events for the prioritization of GCF projects	\$ 20,000	PC	N/A	100		May-16		
		Component 3				100				
		Consulting services								
9		Consulting firm to perform feasibility study	\$ 80,000	QCBS	N/A	100		Mar-16		
10		Individual Consultant to prepare GCF full proposals	\$ 70,000	IICQ	N/A	100		Mar-16		
11		Individual Consultant for coordination and capacity building	\$ 150,000	IICQ	N/A	100		Jan-16		
T-4-1				Danas and last	. Clasia Missasa	4:				
			\$ 500,000	Prepared by PTL: Gloria V	: Gloria Viscon /isconti	iti	Date: I	Nov 11, 2015		
(1)										
(2) <b>Goods</b>	and wor	ks: CB: Competitive bidding; PC: Price comparison; DC: Direct contracting.								
(2 <u>)</u> Consu	lting firm	s: CQS: Selection Based on the Consultants' Qualifications; QCBS: Quality and cost-based selection	n; LCS: Least Co	st Selection; FE	3S: Selection un	der a Fixed	Budget; SS	S: Single Sourc	e Selection; C	BS: Quality Based selection.
(2) Individ	(2) Individual consultants: IICQ: International Individual Consultant Selection Based on Qualifications; SSS: Single Source Selection.									
(3) Ex ant	(3) Ex ante/ex post review: In general, depending on the institutional capacity and level of risk associated with the procurement, ex post review is the standard modality. Ex ante review can be specified for critical or complex process.									

(4) Technical review: The PTL will use this column to define those procurement he/she considers "critical" or "complex" that require ex ante review of the terms of reference, technical specifications, reports, outputs, or other items.

RG-T2638: Green Climate Fund Support for Latin America and the Caribbean



### **SAFEGUARD SCREENING FORM**

PROJECT DETAILS	
IDB Sector	ENVIRONMENT AND NATURAL DISASTERS-CLIMATE CHANGE ADAPTATION POLICY
Type of Operation	Technical Cooperation
Additional Operation Details	
Country	REGIONAL
Project Status	
Investment Checklist	Generic Checklist
Team Leader	Visconti, Gloria (GLORIAV@iadb.org)
Project Title	Green Climate Fund Support for Latin America and the Caribbean
Project Number	RG-T2638
Safeguard Screening Assessor(s)	Salomon Levy, Joshua Mateo (jmsalomon@IADB.ORG)
Assessment Date	2015-10-21

PROJECT CLASSIFIC	CT CLASSIFICATION SUMMARY				
Project Category:	Override Rating:				
	Comments:				
Conditions/ Recommendations	operations.  Some Category "C" o (Policy Directive B.3).Wh requirements to address safety etc.).  The Project Team mu Strategy (the requirements)	perations may require specific safeguard or monitoring requirements here relevant, these operations will establish safeguard, or monitoring environmental and other risks (social, disaster, cultural, health and lest send the PP (or equivalent) containing the Environmental and Social hts for an ESS are described in the Environment Policy Guideline: the Safeguard Policy Filter and Safeguard Screening Form Reports.			

SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS				
Identified Impacts/Risks	Potential Solutions			

DISASTER RISK SUMMARY					
Disaster Risk Category	<b>y:</b> Low				
Disaster/ Recommendations	No specific disaster risk management measures are required.				

### SAFEGUARD SCREENING FORM

RG-T2638: Green Climate Fund Support for Latin America and the Caribbean



### DISASTER SUMMARY

### **Details**

The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary.

### **Actions**

The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies.

ASSESSOR DETAILS	
Name of person who completed screening:	Salomon Levy, Joshua Mateo (jmsalomon@IADB.ORG)
Title:	
Date:	2015-10-21

### **COMMENTS**

**No Comments** 



### SAFEGUARD POLICY FILTER REPORT

PROJECT DETAILS	
IDB Sector	ENVIRONMENT AND NATURAL DISASTERS-CLIMATE CHANGE ADAPTATION POLICY
Type of Operation	Technical Cooperation
Additional Operation Details	
Investment Checklist	Generic Checklist
Team Leader	Visconti, Gloria (GLORIAV@iadb.org)
Project Title	Green Climate Fund Support for Latin America and the Caribbean
Project Number	RG-T2638
Safeguard Screening Assessor(s)	Salomon Levy, Joshua Mateo (jmsalomon@IADB.ORG)
Assessment Date	2015-10-21

SAFEGUARD POLICY FILTER RESULTS				
Type of Operation	Technical Cooperation			
Safeguard Policy Items Identified (Yes)	The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy- OP- 102		
	The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)		
	The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)		
	The project includes activities to close current "adaptation deficits" or to increase the capacity of human social and ecological systems to adapt to a changing climate.	(B.04)		
Potential Safeguard Policy Items(?)	No potential issues identified			
Recommended Action:	Operation has triggered 1 or more Policy Directives; please refer to approprient Directive(s). Complete Project Classification Tool. Submit Safeguard Police Report, PP (or equivalent) and Safeguard Screening Form to ESR.  The project triggered the Disaster Risk Management policy (OP-704). A ERISK Assessment (DRA) may be required (see Directive A-2 of the DRM OP-704) in case of high risk, a limited DRA in case of moderate risk. Next	cy Filter Disaster Policy		

## SAFEFUARD POLICY FILTER





	The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies.
Additional Comments:	

ASSESSOR DETAILS	
Name of person who completed screening:	Salomon Levy, Joshua Mateo (jmsalomon@IADB.ORG)
Title:	
Date:	2015-10-21

COMMENTS	
No Comments	

### REGIONAL SUPPORT FOR THE GREEN CLIMATE FUND (GCF)

### **RG-T2638**

### CERTIFICATION

I hereby certify that this operation was approved for financing under the Sustainable Energy and Climate Change Initiative (SECCI-SCI) through a communication dated October 16, 2015 and signed by Felipe Caicedo (ORP/GCM). Also, I certify that resources from said fund are available for up to US\$500,000 in order to finance the activities described and budgeted in this document. This certification reserves resources for the referenced project for a period of four (4) calendar months counted from the date of eligibility from the funding source. If the project is not approved by the IDB within that period, the reserve of resources will be cancelled, except in the case a new certification is granted. The commitment and disbursement of these resources shall be made only by the Bank in US dollars. The same currency shall be used to stipulate the remuneration and payments to consultants, except in the case of local consultants working in their own borrowing member country who shall have their remuneration defined and paid in the currency of such country. No resources of the Fund shall be made available to cover amounts greater than the amount certified herein above for the implementation of this operation. Amounts greater than the certified amount may arise from commitments on contracts denominated in a currency other than the Fund currency, resulting in currency exchange rate differences, for which the Fund is not at risk.

Original signed	12/04/2015  Date
Sonia M. Rivera Chief Grants and Cofinancing Management Unit ORP/GCM	
APPROVAL	
Approved: Original signed	12/07/2015
Amal-Lee Amin Division Chief Climate Change and Sustainability Division INE/CCS	Date