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| **SAFEGUARD POLICY FILTER REPORT**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | TRANSPORT-LOGISTIC PLANNING, MULTIMODAL TRANSPORT AND LOGISTIC PLATFORMS | | **Type of Operation** | Investment Loan | | **Additional Operation Details** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Orellana Arevalo, Edgar R. (EDGARO@iadb.org) | | **Project Title** | Comprehensive Intervention Plan for the Pacific Region of Colombia | | **Project Number** | CO-L1156 | | **Safeguard Screening Assessor(s)** | Came Saldivar, Oscar Luis (OSCARLUISC@iadb.org) | | **Assessment Date** | 2015-08-31 |  |  |  |  | | --- | --- | --- | | **SAFEGUARD POLICY FILTER RESULTS** | | | | **Type of Operation** | Loan Operation | | | **Safeguard Policy Items Identified (Yes)** | Potential disruption to people’s livelihoods living in the project's area of influence (not limited to involuntary displacement, also see Resettlement Policy.) | (B.01) Resettlement Policy– OP-710 | | Potential to negatively affect Indigenous People (also see Indigenous Peoples Policy.). | (B.01) Indigenous People Policy– OP-765 | | Type of operation for which disaster risk is most likely to be low . | (B.01) Disaster Risk Management Policy– OP-704 | | The Bank will make available to the public the relevant Project documents. | (B.01) Access to Information Policy– OP-102 | | Potential to negatively affect women or gender equality ([See Gender Equality Policy](http://vps/esg/LinkClick.aspx?link=http%3a%2f%2fwww.iadb.org%2fdocument.cfm%3fid%3d35428399&tabid=748&mid=12291)) | (B.01) Gender Equality Policy– OP-761 | | The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | (B.02) | | The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | (B.03) | | An Environmental Assessment is required. | (B.05) | | Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups. | (B.06) | | The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | (B.07) | | Environmental or culturally sensitive areas, defined in the Policy as critical natural habitats or critical cultural sites in project area of influence. | (B.09) | | The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...). | (B.11) | | Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | (B.17) | | **Potential Safeguard Policy Items(?)** | No potential issues identified |  | | **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR. | | | **Additional Comments:** |  | |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Came Saldivar, Oscar Luis (OSCARLUISC@iadb.org) | | **Title:** |  | | **Date:** | 2015-08-31 |      |  | | --- | | **COMMENTS** | | **No Comments** | | **SAFEGUARD SCREENING FORM**   |  |  | | --- | --- | | **PROJECT DETAILS** | | | **IDB Sector** | TRANSPORT-LOGISTIC PLANNING, MULTIMODAL TRANSPORT AND LOGISTIC PLATFORMS | | **Type of Operation** | Investment Loan | | **Additional Operation Details** |  | | **Country** | COLOMBIA | | **Project Status** |  | | **Investment Checklist** | Generic Checklist | | **Team Leader** | Orellana Arevalo, Edgar R. (EDGARO@iadb.org) | | **Project Title** | Comprehensive Intervention Plan for the Pacific Region of Colombia | | **Project Number** | CO-L1156 | | **Safeguard Screening Assessor(s)** | Came Saldivar, Oscar Luis (OSCARLUISC@iadb.org) | | **Assessment Date** | 2015-08-31 |  |  |  |  |  | | --- | --- | --- | --- | | **PROJECT CLASSIFICATION SUMMARY** | | | | | **Project Category:** B | **Override Rating:** | | **Override Justification:** | | **Comments:** | | **Conditions/ Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).   The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.   These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. | | | | **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** | | | | | **Identified Impacts/Risks** | | **Potential Solutions** | | | The project will or may require involuntary resettlement and/or economic displacement of a minor to moderate nature (i.e. it is a direct impact of the project) and does not affect indigenous peoples or other vulnerable land based groups. | | **Develop Resettlement Plan (RP):**The borrower should be required to develop a simple RP that could be part of the ESMP and demonstrates the following attributes: (a) successful engagement with affected parties via a process of Community Participation; (b) mechanisms for delivery of compensation in a timely and efficient fashion; (c) budgeting and internal capacity (within borrower's organization) to monitor and manage resettlement activities as necessary over the course of the project; and (d) if needed, a grievance mechanism for resettled people. Depending on the financial product, the RP should be referenced in legal documentation (covenants, conditions of disbursement, project completion tests etc.), require regular (bi-annual or annual) reporting and independent review of implementation. | | | Minor or moderate conversion or degradation impacts to natural habitats (such as forests, wetlands or grasslands). | | **Ensure Proper Management and Monitoring of the Impacts of Natural Habitat Loss:** A Biodiversity Management Plan (BMP) should be prepared that defines how impacts will be mitigated (roles and responsibilities, monitoring, budget, etc.) and could be incorporated in the ESMP. Depending on the financial product, the BMP should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.). Confirmation should be obtained from competent experts that they are confident that the plan can mitigate impacts and also that the relevant authorities have approved the BMP. | | | Generation of solid waste is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks. | | **Solid Waste Management:** The borrower should monitor and report on waste reduction, management and disposal and may also need to develop a Waste Management Plan (which could be included in the ESMP). Effort should be placed on reducing and re-cycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam Convention, the Stockholm Convention, the Basel Convention, the WHO List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration. | | | The project has or will have minor negative impacts on Indigenous Peoples. | | **Mitigation Framework:** Include specific mitigation measures as needed in consultation with affected IPs. Consult with Indigenous Peoples specialist. Incorporate measures in legal documentation (covenants, conditions of disbursement, etc.). Include mitigation measures as part of overall environmental and social management plans or provisions. | |  |  |  | | --- | --- | | **DISASTER RISK SUMMARY** | | | **Disaster Risk Category:** Low | | | **Disaster/ Recommendations** | * No specific disaster risk management measures are required. |  |  |  | | --- | --- | | **ASSESSOR DETAILS** | | | **Name of person who completed screening:** | Came Saldivar, Oscar Luis (OSCARLUISC@iadb.org) | | **Title:** |  | | **Date:** | 2015-08-31 | | **COMMENTS** | | | **No Comments** | | | |