

## Operation Information

Operation		
PN-L1105 Social Inclusion and Development Program		
Environmental and Social Impact Category	Disaster Risk Rating	
B	Moderate	
Country	Executing Agency	
PN	PN-MIDES	
Organizational Unit	IDB Sector/Subsector	
SCL/SPH	POVERTY ALLEVIATION	
Team Leader	ESG Primary Team Member	
CAROLINA ANGELICA FREIRE SAMUDIO	LEYSON GUILLEN VALDIVIESO	
Type of Operation	Original IDB Amount	% Disbursed
LON	\$0.00	
Assessment Date	Author	
2021-09-28	Fishpaw, Heidi Zoe	
Operation Cycle Stage	Completion Date	
ERM	2015-03-04	
QRR	2015-05-29	
Board Approval	2015-08-05	
Safeguard Performance Rating		
Rationale		

## Safeguard Policy Items Identified

### B.1 Bank Policies

The Bank will only finance operations and activities that comply with the directives of this policy, and are consistent with the relevant provisions of other Bank policies. The Bank will make the relevant project documents available to the public.

### B.2 Country Laws and Regulations

The operation is expected to be designed and carried out in compliance with environmental laws and regulations of the country where the operation is being implemented, including national obligations established under ratified Multilateral Environmental Agreements (MEAs).

### B.3 Screening and Classification

The operation (including associated facilities) is screened and classified according to its potential environmental and associated social impacts

### B.4 Other Risk Factors (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

The borrower/executing agency exhibits weak institutional capacity for managing environmental and social issues.

### B.4 Other Risk Factors (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

The operation will increase community risk from disease (e.g. from water borne diseases or as a result of an influx of temporary or permanent labor)

### B.4 Other Risk Factors (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

Construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers.

### B.4 Other Risk Factors (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

The operation will mobilize personnel foreign to project zones and the borrower does not have a code of conduct or internal practices/rules which will negatively affect local communities.

### B.5 Environmental Assessment and Plans Requirements (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

The operation has environmental and/or social impacts and the borrower will conduct a process of environmental and social assessment and establish and maintain an environmental and social management system appropriate to the nature and scale of the operation and commensurate with the level of its environmental and social risks and impacts.

### B.5 Environmental Assessment and Plans Requirements (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

The operation has the potential to cause economic displacement (economic loss or impact on livelihoods)

### B.5 Environmental Assessment and Plans Requirements (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)

Environmental and/or social assessment is required, and the Bank will need to ensure that those are made available to the public in time and substance.

**B.6 Consultation (including consultation with affected women, indigenous persons, and/or minority groups) (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

If Indigenous Peoples have the potential to be affected, the operation will carry out a socio-culturally appropriate consultation process during preparation and implementation

**B.6 Consultation (including consultation with affected women, indigenous persons, and/or minority groups) (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

The operation will carry out stakeholder consultation processes during preparation and implementation (including the equal participation of women and men).

**B.9 Natural Habitats (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

The operation has the potential to damage or negatively impact cultural sites.

**B.10 Hazardous Materials (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

The operation will have negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material, including organic and inorganic toxic substances, pesticides, and Persistent Organic Pollutants (POPs).

**B.11 Pollution Prevention & Abatement (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

The operation will have emissions or discharges (i.e. air contaminants, noise, effluents) that would negatively affect ambient environmental conditions.

**B.11 Pollution Prevention & Abatement (Operational Policy on Environment and Safeguards Compliance Policy - OP-703)**

The operation will generate solid waste.

**OP-704 - Natural Disaster Risk Management Policy**

A natural hazard\* (including climate change influence) is likely to occur in the operation area with impacts to the operation, communities and/or the environment (see <https://iadb-esg.maps.arcgis.com/> and [https://idbg.sharepoint.com/sites/ESGCompassUAT/Shared%20Documents/criticality\\_charts\\_ENG.pdf](https://idbg.sharepoint.com/sites/ESGCompassUAT/Shared%20Documents/criticality_charts_ENG.pdf) to help screen for potential disaster risks).

**OP-710 - Operational Policy on Involuntary Resettlement**

The operation has the potential to cause physical displacement (involuntary resettlement) or significant economic displacement (economic loss or impact on livelihoods caused by an operation is considered significant if it can lead to physical displacement, for example in case of land-based activities such as farming) that potentially leads to Physical Displacement.

**OP-765 - Operational Policy on Indigenous Peoples**

The three criteria for the application of the OP-765 are met\* : (i) they are descendants from populations inhabiting Latin America and the Caribbean at the time of the conquest or colonization; (ii) irrespective of their legal status or current residence, they retain some or all of their own social, economic, political, linguistic and cultural institutions and practices; and (iii) they recognize themselves as belonging to Indigenous or pre-colonial cultures or peoples. (see <https://iadb-esg.maps.arcgis.com/> to help screen for

potential risks and impacts on indigenous peoples).

**OP-761 - Operational Policy on Gender Equality in Development**

There is increased gender-based violence risks because of the operation.

**OP-102 - Access to Information Policy**

Environmental and/or social assessment is required, and the Bank will need to ensure that those are made available to the public in time and substance.



# Safeguard Screening Form

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### Operation Classification Summary

Overridden E&S Category	Overridden E&S Category Justification
Comments	

Overridden Disaster Risk	Overridden Disaster Risk Justification
Comments	

### Summary of Impacts / Risks and Potential Solutions

#### **Assessment and Management of Environmental and Social Risks and Impacts**

The operation has environmental and/or social impacts and the borrower will conduct a process of environmental and social assessment and establish and maintain an environmental and social management system appropriate to the nature and scale of the operation and commensurate with the level of its environmental and social risks and impacts.

The operation has environmental and/or social impacts and the borrower will need to prepare environmental and social assessments and establish and maintain an environmental and social management system appropriate to the nature and scale of the project and commensurate with the level of its environmental and social risks and impacts

The borrower/executing agency exhibits weak institutional capacity for managing environmental and social issues.

The borrower/executing agency exhibits weak institutional capacity for managing environmental and social issues. The client will need to prepare an institutional capacity plan to ensure those risks are adequately managed

The operation will implement a grievance mechanism accessible to all stakeholders.

The operation will provide a grievance mechanism for all stakeholders

#### **Labor and Working Conditions**

The executing agency or other relevant entity (in relation to the operation) has a commitment/capacity to comply with applicable ILO requirements (including commitment to non-discrimination, equal opportunity, work accommodations, migrant workers' rights, collective bargaining and rights of association) and national employment in relation to working conditions and employment.

The executing agency or other relevant entity has limited commitment/capacity to comply with applicable ILO requirements and national employment in relation to working conditions and employment. The client will need to prepare a plan to ensure they comply with applicable ILO requirements and national employment in relation to working conditions and employment

The operation will provide a grievance mechanism for workers (and their organizations, where they exist) to raise workplace concerns.

The operation will provide a grievance mechanism for workers (and organizations, where they exist).

#### **Pollution Prevention and Resource Efficiency**



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The operation will have negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material, including organic and inorganic toxic substances, pesticides, and Persistent Organic Pollutants (POPs).

The operation will have minor to moderate negative impacts to the environment and human health and safety due to the production, procurement, use, and disposal of hazardous material. The client will need to prepare a hazardous materials management plan

The operation will generate solid waste.

The operation will generate minor to moderate quantities of solid waste. The client will need to prepare a waste management plan

The operation will have emissions or discharges (i.e. air contaminants, noise, effluents) that would negatively affect ambient environmental conditions.

The operation will have minor to moderate emissions or discharges that would negatively affect ambient environmental conditions. The client will need to prepare an emissions management plan

### **Community Health, Safety, and Security**

The operation will increase community risk from disease (e.g. from water borne diseases or as a result of an influx of temporary or permanent labor)

The operation will increase minorly or moderately community risk from disease. The client will need to prepare a community health and safety plan to ensure those risks are adequately managed

Construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers.

Construction activities are likely to lead to minor or moderate impacts that will affect local communities and workers. The client will need to prepare a community health and safety plan to ensure those impacts are adequately managed

The operation will mobilize personnel foreign to project zones and the borrower does not have a code of conduct or internal practices/rules which will negatively affect local communities.

The operation will mobilize personnel foreign to project zones and the borrower does not have a code of conduct or internal practices/rules which will negatively affect local communities in a minor to moderate way. The client will need to prepare a community health and safety plan which includes a code of conduct or internal practices/rules to ensure those risks are adequately managed

### **Resettlement and Livelihoods**

The operation has the potential to cause physical displacement (involuntary resettlement) or significant economic displacement (economic loss or impact on livelihoods caused by an operation is considered significant if it can lead to physical displacement, for example in case of land-based activities such as farming) that potentially leads to Physical Displacement.

The operation has the potential to cause minor to moderate physical displacement or significant economic displacement that potentially leads to minor to moderate Physical Displacement. The client will need to prepare a resettlement action plan

The operation has the potential to cause economic displacement (economic loss or impact on livelihoods)

The operation has the potential to cause minor to moderate economic displacement. The client will need to prepare a livelihood restoration plan, according to the level of impacts, and carry out specific consultations with affected people

### **Indigenous Peoples**

The three criteria for the application of the OP-765 are met<sup>1</sup>: (i) they are descendants from populations

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<sup>1</sup>"Irrespective of their legal status and current residence" is interpreted in the context of OP-765 as referring to IPs that still  
Safeguard Policy Filter Report



## Safeguard Screening Form

inhabiting Latin America and the Caribbean at the time of the conquest or colonization; (ii) irrespective of their legal status or current residence, they retain some or all of their own social, economic, political, linguistic and cultural institutions and practices; and (iii) they recognize themselves as belonging to Indigenous or pre-colonial cultures or peoples. (see <https://iadb-esg.maps.arcgis.com/> to help screen for potential risks and impacts on indigenous peoples).

Indigenous peoples can be affected or benefit from the operation

### **Cultural Heritage**

The operation has the potential to damage or negatively impact cultural sites.

The operation has the potential to damage or negatively impact cultural sites in a minor to moderate manner. The client will need to prepare a cultural heritage management plan to ensure such impacts are adequately mitigated

### **Gender Equality**

There is increased gender-based violence risks because of the operation.

There is minor to moderate increased gender-based violence risks because of the operation. The client will need to prepare a gender action plan to ensure such impacts/risks are adequately mitigated

### **Access to information**

Environmental and/or social assessment is required, and the Bank will need to ensure that those are made available to the public in time and substance.

Environmental and/or social assessment(s) is(are) required. The Bank will ensure that those are made available to the public in time and substance

### **Disaster Risk (Type 1)**

A natural hazard<sup>2</sup>(including climate change influence) is likely to occur in the operation area with impacts to the operation, communities and/or the environment (see <https://iadb-esg.maps.arcgis.com/> and [https://idbg.sharepoint.com/sites/ESGCompassUAT/Shared%20Documents/criticality\\_charts\\_ENG.pdf](https://idbg.sharepoint.com/sites/ESGCompassUAT/Shared%20Documents/criticality_charts_ENG.pdf) to help screen for potential disaster risks).

A natural hazard is likely to occur in the operation area with moderate impacts to the operation. The project is located in an area prone to Riverine flooding, Urban or local flooding, Earthquakes, Drought, Hurricane wind, Storm surge, Heatwaves, Sea level rise, Tsunami. A DRA/DRMP may need to be prepared, depending on the complexity of the project. For details see the DRM policy guidelines

### **Gender and Diversity (Mainstreaming)**

The operation will offer opportunities for women.

The operation will offer opportunities for women. Please ensure GDI is involved in the preparation and execution of the operation

The operation is designed specifically to address or promote gender equality or women's empowerment issues.

The operation is designed specifically to address or promote gender equality or women's empowerment issues. Please ensure GDI is involved in the preparation and execution of the operation

The operation is designed specifically to address indigenous people's issues.

The operation is designed specifically to address indigenous people's issues. Please ensure GDI is involved in the preparation and execution of the operation

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*have a strong collective attachment to a traditional territory, even if they have for any reason been displaced from it or do not hold the legal rights to it as recognized by the state. Whenever other of the criteria are met, but not this, sociocultural appropriateness still applies, but in the context of OP-703 B.5 and B.6*

<sup>2</sup>Natural hazards include volcanic activity, coastal flooding from storm surge, droughts, hurricanes, inland flooding, landslides, sea-level rise, earthquakes, glacier retreats.





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The operation will offer opportunities for indigenous people.

The operation will offer opportunities for indigenous people. Please ensure GDI is involved in the preparation and execution of the operation