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| **Safeguard Policy Filter Report**

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| **PROJECT DETAILS** |
| **IDB Sector** | ENVIRONMENT AND NATURAL DISASTERS-FOREST RESOURCES MANAGEMENT |
| **Type of Operation** | Investment Loan |
| **Additional Operation Details** |  |
| **Investment Checklist** | Generic Checklist |
| **Team Leader** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Project Title** | Provincial Road Support Program for GAD: PROVIAL |
| **Project Number** | EC-L1125 |
| **Safeguard Screening Assessor(s)** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Assessment Date** | 2013-10-09 |

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| **SAFEGUARD POLICY FILTER RESULTS** |
| **Type of Operation** | Loan Operation |
| **Safeguard Policy ItemsIdentified (Yes)** | Potential to negatively affect Indigenous People (also see Indigenous Peoples Policy.). | (B.01) Indigenous People Policy– OP-765 |
| Does this project offer opportunities for indigenous peoples through its project components? | (B.01) Indigenous People Policy– OP-765 |
| Activities to be financed by the project are in a geographical area and sector exposed to natural hazards\* (Type 1 Disaster Risk Scenario). | (B.01) Disaster Risk Management Policy– OP-704 |
| The Bank will make available to the public the relevant Project documents. | (B.01) Access to Information Policy– OP-102 |
| Does this project offer opportunities to promote gender equality or women's empowerment through its project components? | (B.01) Gender Equality Policy– OP-761 |
| The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements). | (B.02) |
| The operation (including associated facilities) is screened and classified according to their potential environmental impacts. | (B.03) |
| The Borrower/Executing Agency exhibits weak institutional capacity for managing environmental and social issues. | (B.04) |
| The project includes activities to close current “adaptation deficits” or to increase the capacity of human social and ecological systems to adapt to a changing climate. | (B.04) |
| An Environmental Assessment is required. | (B.05) |
| Consultations with affected parties will be performed equitably and inclusively with the views of all stakeholders taken into account, including in particular: (a) equal participation of women and men, (b) socio-culturally appropriate participation of indigenous peoples and (c) mechanisms for equitable participation by vulnerable groups.  | (B.06) |
| The Bank will monitor the executing agency/borrower’s compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations. | (B.07) |
| Environmental or culturally sensitive areas, defined in the Policy as critical natural habitats or critical cultural sites in project area of influence (please refer to the [Decision Support System](https://databasin.org/rest/auth/login?username=IDBUSER&password=IDBUSER&redirect=http%3A%2F%2Fidb.databasin.org%2Fmaps%2Fnew%23datasets%3D3ed5b5d200ce41e898a001ced7bde5dd) for more information). | (B.09) |
| Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement. | (B.17) |
| **Potential Safeguard PolicyItems(?)** | No potential issues identified |  |
| **Recommended Action:** | Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.The project triggered the Disaster Risk Management policy (OP-704). A Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704) in case of high risk, a limited DRA in case of moderate risk. Next, please complete a Disaster Risk Classification along with Impact Classification. The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. |
| **Additional Comments:** |  |

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| **ASSESSOR DETAILS** |
| **Name of person who completed screening:** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Title:** |  |
| **Date:** | 2013-10-09 |

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| **Safeguard Screening Form**

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| **PROJECT DETAILS** |
| **IDB Sector** | ENVIRONMENT AND NATURAL DISASTERS-FOREST RESOURCES MANAGEMENT |
| **Type of Operation** | Investment Loan |
| **Additional Operation Details** |  |
| **Country** | ECUADOR |
| **Project Status** |  |
| **Investment Checklist** | Generic Checklist |
| **Team Leader** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Project Title** | Provincial Road Support Program for GAD: PROVIAL |
| **Project Number** | EC-L1125 |
| **Safeguard Screening Assessor(s)** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Assessment Date** | 2013-10-09 |

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| **PROJECT CLASSIFICATION SUMMARY** |
| **Project Category:**B | **Override Rating:** | **Override Justification:** |
| **Comments:** |
| **Conditions/Recommendations** |  Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements). The Project Team must send to ESR the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports. These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary. |

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| **SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS** |
| **Identified Impacts/Risks** | **Potential Solutions** |
| Generation of solid waste is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks. | **Solid Waste Management:** The borrower should monitor and report on waste reduction, management and disposal and may also need to develop a Waste Management Plan (which could be included in the ESMP). Effort should be placed on reducing and re-cycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam Convention, the Stockholm Convention, the Basel Convention, the WHO List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration. |
| The project has or will have minor negative impacts on Indigenous Peoples. | **Mitigation Framework:** Include specific mitigation measures as needed in consultation with affected IPs. Consult with Indigenous Peoples specialist. Incorporate measures in legal documentation (covenants, conditions of disbursement, etc.). Include mitigation measures as part of overall environmental and social management plans or provisions. |

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| **DISASTER RISK SUMMARY** |
| **Disaster Risk Category:** Low |
| **Disaster/Recommendations** | * No specific Disaster Risk Management is required.
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| **DISASTER SUMMARY** |
| **Details**The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary. | **Actions**The project triggered the Other Risks policy (B.04): climate risk.Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc);Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies. |

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| **ASSESSOR DETAILS** |
| **Name of person who completed screening:** | Acevedo-Daunas, Rafael M. (RAFAELAC@iadb.org) |
| **Title:**  |  |
| **Date:** | 2013-10-09 |

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