

APPENDIX 9A
ALERT STATUS PROJECTS IN EXECUTION
By Sub-Sector and Country Group
(As of December 31, 2001)

Social Sub-Sector	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
Health	25	2	9	0	11	44.0	
Education	42	3	9	0	12	28.6	
Environment	31	2	7	1	10	32.3	
Social Investment	70	5	7	0	12	17.1	
Water and Sanitation	43	4	10	0	14	32.6	
Urban Development	36	8	4	0	12	33.3	
Science and Technology	12	1	0	0	1	8.3	
Total	259	25	46	1	72	27.8	

Modernization of the State Sub-Sectors	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
Civil	2	1	0	0	1	50.0	
Decentralization	4	0	0	0	0	0.0	
Financial	14	1	2	0	3	21.4	
Public Sector	34	4	4	0	8	23.5	
Taxation and Fiscal Reform	15	3	0	0	3	20.0	
Planning and State Reform	2	0	0	0	0	0.0	
Privatization	1	1	0	0	1	100.0	
Justice	16	1	5	0	6	37.5	
Legislative	7	1	0	0	1	14.3	
Reform and Modernization	13	2	0	0	2	15.4	
Total	108	14	11	0	25	23.1	

Infrastructure Sub-Sectors ^{1/}	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
Energy	14	1	4	0	5	35.7	
Transportation	48	4	10	0	14	29.2	
Total	62	5	14	0	19	30.6	

^{1/} Does not include PRI operations

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Productive Sub-Sectors	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
Agriculture	42	4	7	0	11	26.2	
Forestry	1	1	0	0	1	100.0	
Infrastructure	7	0	0	0	0	0.0	
Microenterprise	4	1	0	0	1	25.0	
Tourism	8	1	2	0	3	37.5	
Total	62	7	9	0	16	25.8	

Preinvestment Sub-Sector	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
Global	7	0	3	0	3	42.9	
Investment	1	1	0	0	1	100.0	
Preinvestment	2	0	1	0	1	50.0	
Project	1	0	1	0	1	100.0	
Studies	1	0	0	0	0	0.0	
Total	12	1	5	0	6	50.0	

Others	Reported Portfolio	Projects on Alert					% of Portfolio
		A & B	C & D	Regional	Total		
	5	1	0	1	2	40.0	
Total	5	1	0	1	2	40.0	

Grand Total	508	53	85	2	140	27.6
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APPENDIX 9B
ALERT STATUS PROJECTS IN EXECUTION
By Sub-Sector and Region
(As of December 31, 2001)

Social Sub-Sector	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
Health	25	2	6	3	0	11	44.0
Education	42	2	5	5	0	12	28.6
Environment	31	2	4	3	1	10	32.3
Social Investment	70	3	4	5	0	12	17.1
Water and Sanitation	43	5	5	4	0	14	32.6
Urban Development	36	8	2	2	0	12	33.3
Science and Technology	12	0	1	0	0	1	8.3
Total	259	22	27	22	1	72	27.8

Modernization of the State Sub-Sectors	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
Civil	2	0	0	1	0	1	50.0
Decentralization	4	0	0	0	0	0	0.0
Financial	14	0	2	1	0	3	21.4
Justice	16	1	4	1	0	6	37.5
Public Sector	34	1	4	3	0	8	23.5
Taxation and Fiscal Reform	15	2	0	1	0	3	20.0
Planning and State Reform	2	0	0	0	0	0	0.0
Privatization	1	1	0	0	0	1	100.0
Legislative	7	1	0	0	0	1	14.3
Reform and Modernization	13	2	0	0	0	2	15.4
Total	108	8	10	7	0	25	23.1

Infrastructure Sub-Sectors	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
Energy	14	1	3	1	0	5	35.7
Transportation	48	3	2	9	0	14	29.2
Total	62	4	5	10	0	19	30.6

^{1/} Does not include PRI operations

APPENDIX 9B
ALERT STATUS PROJECTS IN EXECUTION
By Sub-Sector and Region
(As of December 31, 2001)

Productive Sub-Sectors	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
Agriculture	42	2	3	6	0	11	26.2
Forestry	1	0	0	1	0	1	100.0
Infrastructure	7	0	0	0	0	0	0.0
Microenterprise	4	1	0	0	0	1	25.0
Tourism	8	1	0	2	0	3	37.5
Total	62	4	3	9	0	16	25.8

Preinvestment Sub-Sector	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
Global	7	0	2	1	0	3	42.9
Investment	1	1	0	0	0	1	100.0
Preinvestment Sub-Sector	2	1	0	0	0	1	50.0
Project	1	1	0	0	0	1	100.0
Studies	1	0	0	0	0	0	0.0
Total	12	3	2	1	0	6	50.0

Others	Reported Portfolio	Projects on Alert					
		Region 1	Region 2	Region 3	Regional	Total	% of Portfolio
	5	1	0	0	1	2	40.0
Total	5	1	0	0	1	2	40.0

Grand Total	508	42	47	49	2	140	27.6
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APPENDIX 9C
PORTFOLIO OF PROJECTS IN EXECUTION AND ON ALERT STATUS
By Country Group and Sub-Sectors
(As of December 31, 2001)

COUNTRY GROUP	Total Portfolio	Social									Modernization of the State									Infrastructure			Productive					Total	PR	OT	Total Alert Projects
		ED	ENV	HE	S&T	SO	SI	UD	W&S	Sub-Total	CIV	FIN	PRV	JUS	LEG	PUB	RM	FI	Sub-Total	EN	TR	Sub-Total	AG	FO	ME	TOU	Sub-Total				
Argentina	39	-	-	1	-	1	-	3	2	7	-	-	1	-	-	1	1	3	-	2	2	1	-	1	-	2	4	1	-	15	
Brazil	50	2	1	-	-	-	3	2	8	-	-	-	0	1	1	1	4	-	-	-	-	-	-	1	1	2	-	13			
Mexico	18	-	1	-	1	-	1	-	3	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	3			
Venezuela	19	-	-	-	-	1	-	-	1	1	-	-	-	-	-	1	1	3	1	1	2	1	-	-	-	1	2	-	7		
GROUP A	126	2	2	1	1	-	-	7	4	19	1	-	1	-	1	-	3	3	10	1	3	4	2	-	1	1	4	8	-	38	
Chile	5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1		
Colombia	27	-	-	1	-	1	-	1	3	-	-	-	1	-	3	-	4	-	-	-	2	1	-	-	3	6	-	10			
Peru	18	1	-	-	-	2	-	-	3	-	-	-	-	-	-	-	-	-	-	1	1	-	-	-	-	-	-	4			
GROUP B	50	1	-	1	-	-	-	1	-	6	-	-	-	1	-	-	-	4	-	1	1	2	1	-	-	3	14	-	15		
Bahamas	7	1	-	-	-	-	-	1	2	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2			
Barbados	6	1	1	-	-	-	-	1	3	-	-	-	-	-	-	-	-	-	-	1	1	-	-	-	-	-	-	4			
Costa Rica	10	1	-	1	-	-	-	1	3	-	-	-	1	-	-	-	1	1	-	1	-	-	-	-	-	-	1	6			
Jamaica	17	-	1	2	-	-	-	-	3	-	-	-	-	-	-	-	-	-	-	2	2	-	-	-	-	-	1	6			
Panama	21	1	-	-	-	-	-	-	1	1	-	-	1	-	-	-	2	1	-	1	-	-	-	-	-	-	-	4			
Suriname	5	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	-	-	-	1	2	-	1			
Trinidad & Tobago	7	1	-	-	-	-	1	-	2	-	-	-	-	-	-	-	-	-	1	1	-	-	-	1	1	2	-	4			
Uruguay	22	-	-	-	-	-	1	-	1	-	-	-	-	-	-	-	-	1	-	1	-	-	-	-	-	-	-	2			
GROUP C	95	5	2	3	-	-	-	2	3	15	1	-	-	2	-	-	-	3	3	4	7	1	-	-	1	2	4	-	29		
Belize	9	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-			
Bolivia	26	-	1	-	-	2	-	1	5	-	-	-	1	-	-	-	1	-	1	1	1	1	-	-	1	2	1	9			
Dominican Republic	16	-	-	-	-	1	-	-	1	-	-	-	1	-	1	-	2	-	-	-	1	-	-	-	1	2	-	4			
Ecuador	25	-	-	-	-	1	-	-	1	-	-	-	-	-	-	-	-	-	-	-	1	-	-	1	2	4	-	3			
El Salvador	22	1	1	1	-	-	-	-	3	-	-	-	-	1	-	-	1	-	-	-	-	-	-	-	-	-	-	4			
Guatemala	22	-	-	1	-	-	-	1	3	-	-	-	-	-	1	-	1	-	-	-	-	-	-	-	-	-	-	4			
Guyana	14	1	1	-	-	-	-	2	4	-	-	-	-	-	-	-	-	-	3	3	1	-	-	-	1	2	-	8			
Haiti	15	1	-	1	-	-	-	2	4	1	-	-	-	-	-	-	1	-	2	2	1	-	-	-	1	2	-	8			
Honduras	31	1	1	1	-	-	-	-	3	-	-	-	-	-	2	-	2	-	-	1	1	-	-	-	1	2	-	6			
Nicaragua	30	-	1	1	-	3	-	1	6	-	-	-	-	-	-	-	-	1	-	1	-	-	-	-	-	-	1	8			
Paraguay	21	-	-	1	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	2			
GROUP D	231	4	5	6	-	-	-	2	7	31	1	-	-	2	-	-	-	8	1	6	7	6	-	-	1	7	14	-	56		
Regional	2	-	1.0	-	-	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1			
Central America	4	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1	1			
GRAND TOTAL	508	12	10	##	1	-	-	12	14	60	3	-	1	5	1	-	3	3	16	5	14	19	##	1	1	3	16	40	-	2	140
Not Required	29	*Sub-Sector Definitions: Social = ED (EDUCATION), ENV (Environment), HE (Health), S&T, Science & Technology, UD (Urban Development), and W&S (Water and Sanitation). Modernization of the State = (FIN) Financial, JUS (Justice), LEG (Legislative/Parliamentary Modernization), Planning and State Reform, Public Sector, Reform and Modernization of the State, and (FI) Taxation and Fiscal Reform. Infrastructure = EN (Energy) and TR (Transportation). Productive = AG (Agriculture), Forestry (FO), Microenterprise (ME) and TOU (Tourism). PR = Preinvestment. OT = Others																													
TOTAL PORTFOLIO	537																														

APPENDIX 9D.1
BREAKDOWN OF ALERT STATUS PROJECTS
By Project Type ^{1/}
(2000 – 2001)

Type	2000 Totals		2001 Totals	
	Number	%	Number	%
Investment	124	95	134	96
Hybrid	3	2	2	1
Policy-Based Loan	3	2	4	3
Financial Sector Emergency	0	0	0	0
Total	130	100	140	100
Unsatisfactory / Very Unsatisfactory				
Investment	62	98	94	95
Hybrid	0	0	1	1
Policy-Based Loan	1	2	4	4
Financial Sector Emergency	0	0	0	0
Total	63	100	99	100
Low Assumptions				
Investment	10	83	9	82
Hybrid	0	0	0	0
Policy-Based Loan	2	17	2	18
Financial Sector Emergency	0	0	0	0
Total	12	100	11	100

^{1/} Investment category usually includes hybrid projects, but is broken down here for analysis purposes

APPENDIX 9D.2
TRENDS IN ALERT STATUS PROJECTS^{1/}
By Project Type^{2/}
(1997 – 2001)

<i>Total Alert Status Projects</i>										
Project Type	1997		1998		1999		2000		2001	
	No.	%	No.	%	No.	%	No.	%	No.	%
<i>Investment</i>	99	95	96	92	109	93	108	96	132	96
<i>Hybrid</i>	5	5	1	1	2	2	2	2	2	1
<i>Policy-Based</i>	0	0	7	7	6	5	3	3	4	3
<i>Financial Emergency</i>					0	0	0	0	0	0
Total	104	100	104	100	117	100	113	100	138	100
<i>Unsatisfactory/Very Implementation</i>										
Project Type	1997		1998		1999		2000		2001	
	No.	%	No.	%	No.	%	No.	%	No.	%
<i>Investment</i>	34	87	43	88	56	90	62	98	94	95
<i>Hybrid</i>	0	0	0	0	1	2	0	0	1	1
<i>Policy-Based</i>	5	13	6	12	5	8	1	2	4	4
<i>Financial Emergency</i>					0	0	0	0	0	0
Total	39	100	49	100	62	100	63	100	99	100
<i>Low Assumptions</i>										
Project Type	1997		1998		1999		2000		2001	
	No.	%	No.	%	No.	%	No.	%	No.	%
<i>Investment</i>	1	100	9	90	12	92	10	83	9	82
<i>Hybrid</i>	0	0	0	0	0	0	0	0	0	0
<i>Policy-Based</i>	0	0	1	10	1	8	2	17	2	18
<i>Financial Emergency</i>					0	0	0	0	0	0
Total	1	100	10	100	13	100	12	100	11	100

^{1/} The concept of Alert Status projects began in 1997 and in 2000, the set of complementary indicators was redefined. In order to assure comparability with previous years, the new Audited Financial Statement indicator was suppressed for this analysis, since this information was not available for earlier years.

^{2/} Note: Investment category usually includes hybrid loans, but is broken down here for analysis purposes. Policy-based category usually includes financial emergency loans (unless otherwise noted), but is broken down here for analysis purposes.