



Annual Report **2015**

VOICE

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ACCESS

ICY

INDEPENDENCE

MICI
Independent Consultative
and Investigation Mechanism

MESSAGE FROM THE DIRECTOR



2015 was the culmination of a three-year transition period for the Independent Consultation and Investigation Mechanism (MICI) and the beginning of a new phase of operations, in which a new operational structure and procedures consistent with the new Policy, approved by the Board of Executive Directors of the Inter-American Development Bank (IDB) in late 2014, were adopted. These, in turn, introduced more rigorous requirements for the processing of Requests and greater transparency in the accountability work of the Mechanism.

MICI also faced a new challenge in 2015, as at the end of the year its institutional mandate and scope were significantly broadened when it became the accountability mechanism for the Inter-American Investment Corporation (IIC), which finances private sector operations in Latin America and the Caribbean. MICI's mandate now covers all operations financed by any of the three institutions of the IDB Group.

The consolidation of MICI's work reflects a commitment by the Executive Directors of the IDB and the IIC to the principle of accountability, and their confidence in the essential services MICI provides to the institution.

After a rigorous and competitive selection process, I had the honor of being selected by the Board of Executive Directors in August of 2015 to serve as the Director of MICI for the 2015-2020 term. I look forward to using my prior experience at MICI to further consolidate and strengthen its work over the next five years of my mandate.

We also held a competitive hiring process in 2015 to identify the Consultation and Compliance Review Phase Coordinators. In addition to Bank staff, who served on the candidate selection panels, we received additional pro bono support from two renowned and independent experts, David Hunter and Susan Wildau, who enriched the process with their vast experience in the accountability field. At the end of the year, MICI consisted of a solid team of professionals; Francisco Giménez-Salinas and Arantxa Villanueva are young, dynamic lawyers with noteworthy careers in human rights and mediation in the region of Latin America, and bring a strong commitment to MICI's accountability mandate.

2015 was also a year of departures, as the mandates of the three members of the independent Panel —Mary Rose Brusewitz, Korinna Horta, and Mario Epstein—ended in September. I would like to express my gratitude to this group of experts for their valuable service to MICI, and recognize the important role they played in the consolidation of the Mechanism.

As mentioned above, the Policy includes various features, which improve MICI's independent accountability work. While the clearer organizational structure was already highlighted, the single eligibility process for the Consultation and Compliance Review Phases is also important, as well as the opportunity Requesters have of selecting either or both phases. In addition, clear and firm deadlines were introduced

for the various steps of the process, such as registration, eligibility, mediation, and investigations. Finally, we began carrying out Eligibility Visits, which enable us to visit project sites and meet with Requesters, Executing Agencies, and other stakeholders prior to making a decision on the eligibility of a Request.

Last year, MICI not only concluded its investigation related to the Panama Canal expansion project, but also conducted mediation and investigation processes throughout Latin America on a variety of significant cases. In this regard, MICI finished a three-year mediation process in Brazil between displaced families and the municipal government of São José dos Campos, related to an IDB-funded resettlement project. In Mexico, indigenous groups from several communities in the Isthmus of Tehuantepec, in the State of Oaxaca, held dialogue meetings with the Compliance Review Panel investigating alleged harms to their way of life, livelihoods, and the environment, resulting from a wind energy project. In Peru, more than a thousand indigenous communities in the Amazon region sought the services of MICI to carry out a mediation process with the Executing Agency to address their concerns with regard to a land titling program that is to be implemented in their territories, and which could potentially undermine their communal land rights and cause environmental and socioeconomic harm.

As a fundamental part of the promotion of access and dissemination of the new procedures, an emphasis was put on the activities of outreach and learning. In terms of access, significant improvements were made to MICI's virtual Public Registry, and new materials were published to explain the new procedure. Outreach events were organized throughout the Region for a wide range of stakeholders, including civil society organizations (CSOs), governments, and academia, among others. In addition, MICI made numerous presentations to IDB Group staff in Washington and at some country offices to inform them of the role and work of the Mechanism.

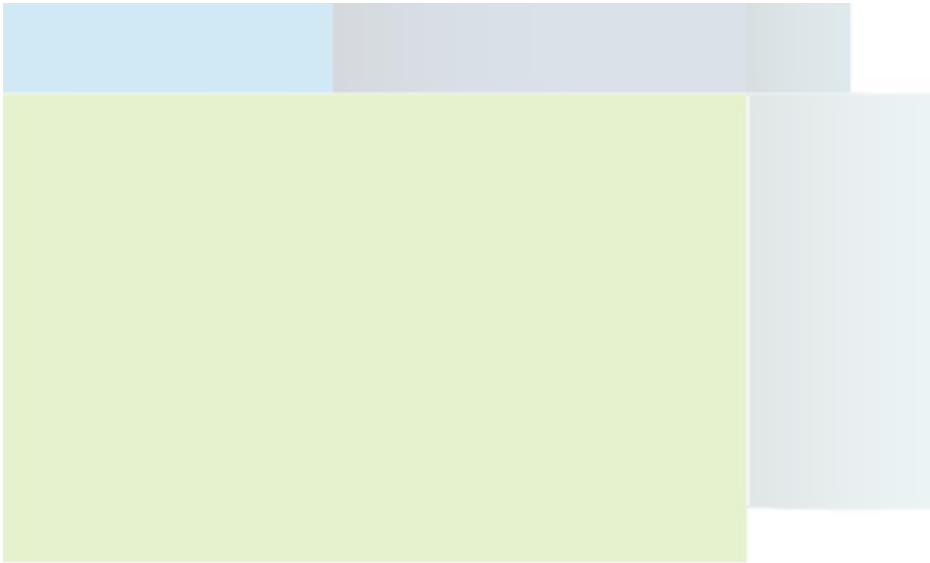
MICI also carried out numerous knowledge-sharing activities throughout the year, focused on information exchange and lessons learned. It also co-organized several outreach events in Latin America, in cooperation with the International Accountability Mechanisms Global Network.

In order to reduce our carbon footprint, the 2015 Annual Report is being disseminated in electronic format in the 4 languages of the Bank. This report contains detailed information on each of the 20 Requests managed during this year, as well as other relevant data and statistics.

It is my hope that this report vividly captures the solid and intense work carried out by the MICI Team to fulfill the IDB Group's mission to improve lives in Latin America and the Caribbean. This is a task we take on with a great sense of responsibility and commitment.

Victoria Márquez-Mees

Director of MICI
2015-2020



MICI Team – 2015





I. THE YEAR 2015 FROM THE PERSPECTIVE OF ITS FUNDAMENTAL PRINCIPLES

2015 was a year of transition, in which MICI began working under a new structure and a strengthened Policy. Multiple changes have been implemented during this period, seeking not only to reflect the new procedures, but also with the aim of increasing the responsiveness, effectiveness, and transparency of the Mechanism. As part of this effort, the Annual Report features a new format, designed to reflect how MICI embodies its core principles as an independent accountability mechanism in constant pursuit of a more effective and credible performance. Accordingly, the report will highlight the work of MICI in 2015 around eight principles central to its mandate: access, transparency, independence, accountability, responsiveness, effectiveness, learning, and innovation.

ACCESS AND TRANSPARENCY

Access and transparency are central to MICI's accountability work. In this regard, new procedures were adopted in 2015 that promoted greater transparency, and outreach activities were intensified to both external and internal audiences, in order to strengthen access.

These activities were geared, on the one hand, toward increasing access by potential Requesters to MICI and enabling them to make informed decisions when submitting a Request. On the other hand, greater transparency provides more certainty and clarity, which facilitates the involvement of IDB Group Management in MICI's processes.

A new and important feature of [MICI's Policy](#) was to require all Requests received to be posted promptly on the online [Public Registry](#), and that their handling by MICI be clearly described. The Public Registry was redesigned in 2015 to address this new provision of the Policy, and procedures were established in order to ensure that all stakeholders are maintained continually informed.

Request number	Date of Receipt	Relevant Project/Operation	Stage of the Process	Processing to date	Current Status
MICI-CO- 2015-0096	24/11/2015	Porce III Hydroelectric Power Plant (CO-L1005)	Registration Eligibility	Registered Not eligible	Closed
MICI-AU- 2015-0095	22/09/2015	Request not related to any operation	Registration	Not Registered	Closed
MICI-PE- 2015-0094	27/08/2015	Rural Land Titling & Registration Project in Peru - Third Phase (PTRT-3) (PE-L1026)	Registration Eligibility	Registered In process	Open
MICI-BR- 2015-0093	21/08/2015	Blumenau's Sustainable Urban Mobility Program (BID-Blumenau Program) (BR-L1272)	Registration Eligibility	Registered In process	Open

Requests by country

Status: ☒ Open☐ Closed

Year of reception: ALL

Clear



Blumenau's Sustainable Urban Mobility Program (MICI-BR-2015-0093)
Brazil - 2015
Status: Closed

Mario Covas Rodoanel Project - Northern Section (MICI-BR-2015-0090)
Brazil - 2015
Status: Closed

Mario Covas Rodoanel Project - Northern Section (MICI-BR-2015-0088)
Brazil - 2015
Status: Closed

Blumenau Urban Sustainable Mobility Program, on behalf of 800 residents of the neighborhood of Ponta Aguda in Blumenau (MICI-BR-2014-078)
Brazil - 2014
Status: Closed

Drainage, roads, water supply and sewerage in the low areas of Belem (MICI-BR-2013-076)
Brazil - 2013
Status: Closed

Blumenau Urban Sustainable Mobility Program (MICI-BR-2013-068)
Brazil - 2013
Status: Closed



What happen to active cases?



Requests by country

Home

What is the scope?

How is the Request processed?

How do I send a Request?

Public Registry

Chronological
By country

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GLOSSARY

See the Glossary for the definition of the terms that you find in bold on this web page

Brazil - São José dos Campos Urban Structuring Program (BR-MICI006-2011)

Country: Brazil

Status: Open

Complaint Date: June 10, 2011

Requesters: Families of the community Jardim Nova Esperança represented by the Central de Movimentos Populares in The city of São José dos Campos

Summary of the Request

The objective of the São José dos Campos Urban Structuring Program (BR-L1160) is to support the restructuring and management of that city's urban development. Some residents of the community of "Jardim Nova Esperança o Banhado" allege that certain components of that Program, in particular the resettlement of families and the construction of a road next to the community's current location, will have negative social and environmental impacts. The Requesters state that they have not been consulted regarding the Program, or informed of the reasons for the resettlement and the options for financial compensation. They also allege that the only resettlement option offered by the Municipality would consist of moving to apartments in housing complexes far from the city center, an alternative that would make it difficult to access public services and employment and income opportunities, in addition to creating additional expenses for the families due to the costs of water, electrical power, and gas utilities, as well as public transportation. They further state that the creation of the Banhado Municipal Nature Park on the site where the community is currently located has not been properly discussed with the residents at public hearings. Finally, they allege that the road construction will have significant environmental impacts, such as the potential disappearance of rivers and lakes.

MICI Actions

The Request was declared eligible for the Consultation Phase. During the assessment stage it was determined that the process sponsored by the MICI was an opportunity for the Parties (the Requesters and the Municipality of São José dos Campos) to seek alternatives to resolve the concerns expressed in the Request. The primary actors stated their willingness to engage in dialogue, and in March 2012, they developed a joint agenda to begin the dialogue process. The process was postponed due to municipal elections, and was subsequently resumed in 2013 after the new municipal Government confirmed that it was willing to continue with the preparation of that process.

Project Number:
BR-L1160

Environmental Category: B

Project Name:
São José dos Campos Urban Structuring ProgramSector:
URBAN DEVELOPMENT AND HOUSINGProject Type:
Loan OperationIDB Financing:
USD 85.672.400

MICI's commitment to transparency also extended to its staff selection and hiring process. The new Consultation and Compliance Review Phase Coordinators were hired through an open and competitive process that included broad dissemination of the job openings and the participation of two independent experts, David Hunter and Susan Wildau, on the selection panels. MICI also announced the position openings for the Roster of Experts, which provides technical support to MICI's investigation processes, in various media outlets.

MICI also strengthened transparency during the past year through the creation of new content, publication of dissemination materials, and improvements to its website. MICI published a number of booklets and other printed materials, geared toward potential Requesters and the general public, on its operational procedures and how to file a Request. These booklets were distributed digitally to more than 6,000 people in Latin America and the Caribbean.



Other improvements to the website included the creation of an orientation section on how to file a Request, which provides a downloadable [model letter template](#). In addition, in order to provide Requesters with more clarity about the handling of their requests, detailed information was posted on the [Transition Plan](#) adopted by the Board of Executive Directors in February of 2015, which explains how requests received under the old Policy would be processed.



**MICI Workshop,
theory and practice,
Buenos Aires, Argentina**

MICI held various internal and external outreach events in 2015, with the objective of fostering greater understanding of the Mechanism and facilitating access. The Mechanism organized or participated in over 30 workshops and seminars on MICI's role and work geared to a wide range of stakeholders, including community groups, indigenous peoples' organizations, civil society organizations (CSOs), and academic institutions. In-person workshops were held in Argentina, Brazil, Honduras, Trinidad and Tobago, and Peru, in addition to videoconference presentations held in other countries, which together reached more than 800 people in nine countries of the region. A number of these events were held in cooperation with CSOs and sister accountability mechanisms of other International Financial Institutions (IFIs).

2015 OUTREACH ACTIVITIES GEARED TOWARD CIVIL SOCIETY

853	Participants representing Civil Society
13	Presentations made by MICI in 2015
9	Countries of the region (Argentina, Barbados, Brazil, Chile, Ecuador, Guatemala, Honduras, Peru, Trinidad and Tobago)

Annex I of this report lists all of the MICI outreach events held in 2015.

INDEPENDENCE AND ACCOUNTABILITY

Another of MICI's core principles is its independence from the IDB Group's Senior Management and Staff. This independence safeguards the impartiality of its mediation and investigation work and ensures the effective accountability of the institution. During 2015, while still preserving its independent role, MICI reached out and built relations with a broad spectrum of internal and external actors, thereby helping to strengthen the institution's accountability.

MICI worked on a number of important cases in countries such as Brazil, Colombia, Panama, and Peru. The mediation and investigation processes required MICI to work on building trust and promoting openness with the Requesters. It also required close contact with the Executive Directors, keeping them abreast of the handling of each case and addressing concerns related to the application of the Policy. MICI also maintained active communication with Senior Management and staff of the IDB Group, with regard to specific cases through regular presentations and status update meetings. In this way, MICI seeks to promote a better understanding of the Requesters' concerns and the best way to address them.

The [MICI's Policy](#) also introduced various staffing provisions, which further strengthened its independence. Firstly, the policy stipulates that Bank staff may not serve as the Director or Phase Coordinators until three years have lapsed from the end of their employment with the IDB. Secondly, neither the Director nor the Coordinators may work in the IDB Group in any capacity after serving their terms. The Director may serve two consecutive five-year terms, while the Coordinators may only serve a single five-year term.

In addition, MICI launched an effort to identify independent and well-recognized experts from numerous fields for the [Roster of Experts](#). MICI identified specialists experienced in such fields as resettlement, infrastructure, and environment to support evidence-based investigation processes. These professionals will work under the supervision of the Compliance Review Phase Coordinator on temporary investigation panels created for each case, on an ad hoc basis.

RESPONSIVENESS AND EFFECTIVENESS

A predictable and consistent process helps build an institution's credibility by meeting the expectations of the people involved. This, in turn, can lead to greater operational effectiveness and improved results. MICI took several important steps in 2015 to increase the reliability of its work. This included clarifying its procedures, adopting firmer processing deadlines, and introducing eligibility visits. In addition, by becoming the accountability mechanism of the Inter-American Investment Corporation (IIC), which finances private sector investments in the Region, MICI expanded the institution's ability to respond to potential Requesters.



**Eligibility mission:
Tarapoto, Peru**

The new Requests received during the year have already been processed under the new [MICI Policy](#), which offers greater response capacity, consistency, and above all clarity. The innovations it introduced included giving the Requesters the opportunity to opt for one or both of the mediation and investigation phases, requiring them to be sequential only when both phases are selected. Furthermore, there is now a single eligibility process for both phases, which is more efficient. In addition, MICI now follows more rigorous deadlines for each one of the processing phases, thus creating greater certainty and ensuring more effective outcomes. The Requests received in 2015 benefited from the shorter time limits, which were strictly observed by MICI, in compliance with the Policy.



Eligibility mission: Blumenau, Brazil

Eligibility visits were also undertaken for the first time in 2015. These were welcomed by the Requesters because they affirmed the importance of listening and understanding problems before making a decision on eligibility. This also allows MICI to explain its process and the potential outcomes in detail, both to Requesters and to the Executing Agencies.

As previously mentioned, in late 2015 MICI became the accountability mechanism of the [Inter-American Investment Corporation \(IIC\)](#), which provides loans, capital investments, guarantees, advisory services, and training to private companies across Latin America and the Caribbean. With this inclusion, MICI assumes the responsibility of ensuring compliance with social and environmental policies throughout the IDB Group.

LEARNING AND INNOVATION

In order to implement its accountability mandate, MICI has endeavored to take innovative approaches and learn from its experience. In addition, it has shared this valuable knowledge with IDB staff through training activities. For instance, MICI organized a forum on involuntary resettlement experiences in Brazil, highlighting several of its pertinent cases.

MICI also expanded its practice of dialoguing with a wide variety of actors, in order to promote reflection and learning, based on its accountability work. An example of its openness to discuss both opportunities and challenges is the discussion held with the group of civil society organizations that drafted the report entitled “*Glass Half Full*”, which evaluates the effectiveness and impact of 11 mechanisms, including MICI.

MICI agreed with some of the analysis in the report and viewed it as a contribution to its own efforts at continually improving its performance, and thus MICI adopted several of the recommendations made. These recommendations included adopting firm processing deadlines, considering the possibility of establishing an external consultative group, and agreeing to develop guidelines for preventing retaliation against persons involved in MICI cases.

MICI also promoted the exchange of information and collaboration among the accountability mechanisms of the World Bank Group, the European Investment Bank, the African Development Bank, and the Asian Development Bank, in order to learn from their compliance work throughout the world and to share experiences. It took part in various events in Washington, DC and attended the Twelfth Meeting of Accountability Mechanisms held in Paris, France, within the framework of the COP21.

In addition, MICI organized a workshop geared toward sharing experiences and perspectives on public consultation and access to complaint mechanisms, which included operational staff from several international financial institutions to which these mechanisms belong.

MICI encouraged learning and shared its experiences with IDB staff through numerous training sessions. 16 presentations were made to nearly 400 staff members in Washington, DC and in country offices. These included general orientation sessions for new employees, as well as specific presentations to thematic departments and country offices. The objective of these sessions was to familiarize staff members with the Mechanism, its procedures, and the importance of its accountability role within the three agencies of the IDB Group. As part of these efforts, MICI staff also maintained ongoing contact with operational staff, in order to discuss the consultation or investigation processes in active cases.

Seminar on Public Policy, human rights and sustainable financing, Sao Paulo, Brazil



In order to ensure a team with a high capacity to respond, MICI organized numerous technical training sessions and language training courses for its staff.

2015 LEARNING AND TRAINING SESSIONS FOR IDB STAFF

388	Number of participating Bank staff members at headquarters and country offices
16	Events organized for Bank staff in 2015
4	Number of IDB country offices (Argentina, Brazil, Peru, and Trinidad and Tobago), which hosted MICI in-person sessions during eligibility missions to these countries

Annex I of this report contains a list all of the outreach and training events carried out by MICI in 2015..



MICI presentation to BID Country Office personnel, Argentina

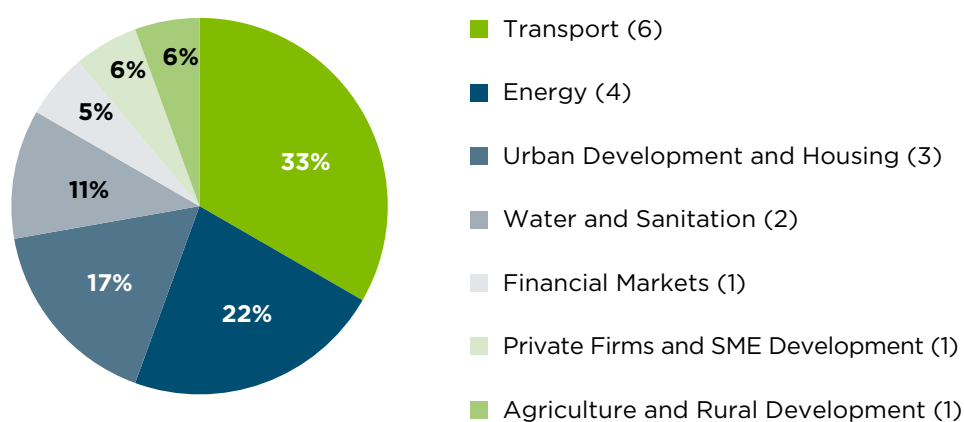
II. 2015 REQUESTS PORTFOLIO MANAGEMENT

In 2015, MICI processed a total of 20 cases under a two-track management system: seven preexisting requests received under the 2010 Policy were handled according to the Transition Plan adopted by the Board of Executive Directors in early 2015; while 13 new requests were processed under the 2014 Policy.

The characteristics of the Requests processed in 2015 are shown in the chart below.¹ The Requests are concentrated in seven key sectors, most notably the following: 33% are related to the transport sector; 22% to the energy sector, and 17% to the urban and housing sector. In terms of the type of operation, the vast majority 79% are implemented by governments and 21% are implemented by the private sector. As for countries of origin, most of the cases are from Brazil (5), followed by Colombia (4), and Argentina (2).

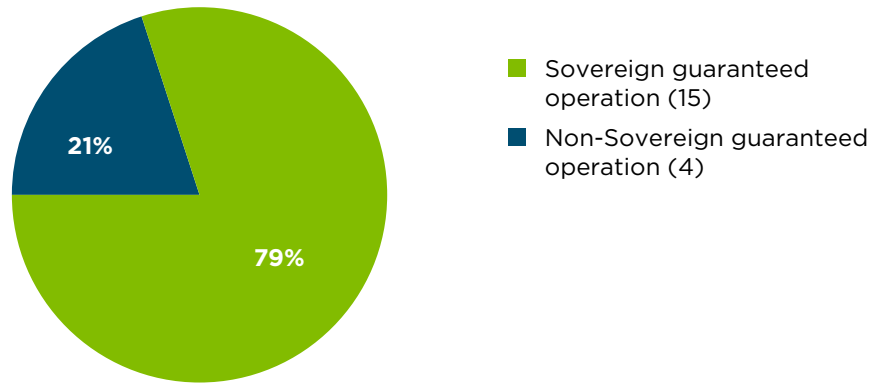
2015 REQUESTS

Project Sector

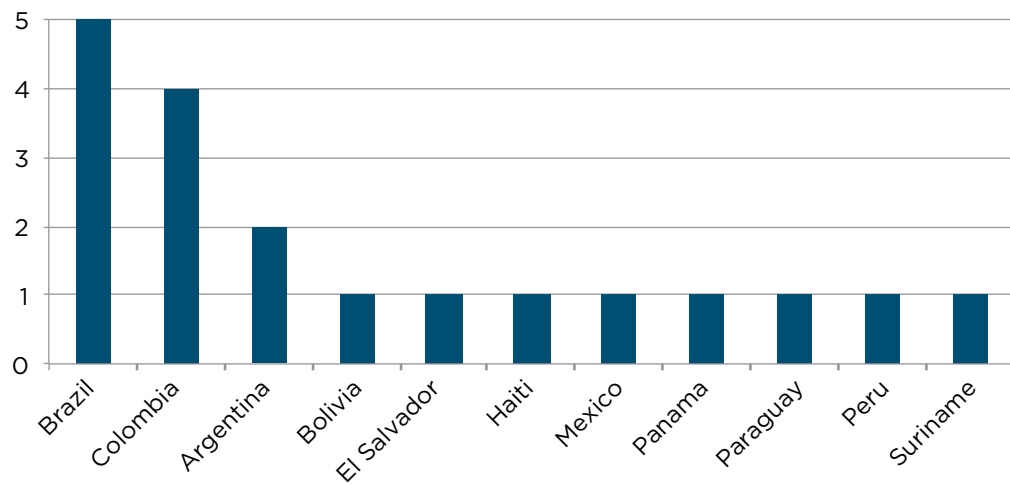


¹ This analysis does not include Request MICI-AU-2015-0095.

Type of operation



Country of origin



REQUESTS PROCESSED IN 2015

	REQUEST NUMBER ²	OPERATION NUMBER	COUNTRY	PROJECT NAME
1	AR-MICI001-2010	1914/OC-AR	Argentina	Multiphase Development Infrastructure Program: Support Production in Entre Rios -
2	BR-MICI004-2011	1126/OC-BR	Brazil	Low-Income Neighborhood Improvement Program- Habitar Brazil
3	BR-MICI006-2011	2323/OC-BR	Brazil	São José dos Campos Urban Structuring Program
4	ME-MICI002-2012	2644A/OC-ME	Mexico	Mareña Sustainable Wind Project
5	CO-MICI002-2011	2477A/OC-CO	Colombia	El Dorado International Airport
6	MICI-BO-2014-0079	1926/BL-BO 2440/BL-BO	Bolivia	Drainage in the Municipalities of La Paz and El Alto
7	PN-MICI002-2011	2027/OC-PN	Panama	Panama Canal Expansion Program
8	MICI-AR-2015-0084	1868/OC-AR	Argentina	Solid Waste Management Program for Touristic Municipalities
9	MICI-CO-2015-0085	2575/OC-CO	Colombia	Strategic Public Transportation Systems (SPTS)
10	MICI-PR-2015-0086	2891/OC-PR	Paraguay	Transmission System Support in Paraguay Project
11	MICI-SU-2015-0087	3059/OC-SU	Suriname	Support to Improve Sustainability of the Electricity Service
12	MICI-BR-2015-0088	2618/OC-BR	Brazil	Mario Covas Rodoanel Project - Northern Section
13	MICI-HA-2015-0089	3384/GR-HA	Haiti	Productive Infrastructure Program III
14	MICI-BR-2015-0090	2618/OC-BR	Brazil	Mario Covas Rodoanel Project - Northern Section
15	MICI-CO-2015-0091	3247A/OC-CO	Colombia	Bayport Colombia: Financial Inclusion for BOP Public Employees
16	MICI-ES-2015-0092	2583/OC-ES	El Salvador	Program to Support Production Development for International Integration
17	MICI-BR-2015-0093	2746/OC-BR	Brazil	Blumenau's Sustainable Urban Mobility Program
18	MICI-PE-2015-0094	3370/OC-PE	Peru	Rural Land Titling and Registration Project in Peru - Third Phase (PTRT3)
19	MICI-AU-2015-0095	N/A	Austria	Request not related to any operation
20	MICI-CO-2015-0096	1664/OC-CO	Colombia	Porc III Hydroelectric Power Plant

² The table lists the 20 active Requests managed during 2015. The first seven are preexisting Requests received under the 2010 Policy.

THE 7 REQUESTS RECEIVED UNDER THE 2010 POLICY

In the case of the 7 Requests received under the 2010 Policy, the Transition Plan highlighted the importance of allowing the opportunity for Requesters to access a more efficient process offered by the 2014 Policy. It also emphasizes the need to keep the Requesters informed of the changes, and any possible impacts the new procedures may have on the processing of their Requests.

The Consultation Phase, with three legacy active Requests received under the 2010 Policy, continued following up on the agreements of two of these Requests, and in the case of the third one, the Requesters decided not to pursue the mediation process and asked for a transfer to the Compliance Review Phase.

The Compliance Review Phase, with five active requests received under the 2010 Policy, achieved significant results in two of these cases within the private sector. During the third quarter, a Panel comprised of Mary Rose Brusewitz, Korinna Horta, and Mario Epstein, submitted the Compliance Review Report on the [“Panama Canal Expansion Program”\(2027/OC-PN](#) to the Board of Executive Directors for its consideration. The Board supported the Panel’s findings of non-compliance with the Disaster Risk Management Policy (OP-704) related to the Requester’s concerns about seismic risk, and instructed Bank Management to draft a report, which would include: (a) Management’s Response to the Compliance Review Report; (b) the results of the seismic classification report for the project, and; (c) a description of the measures taken by the Bank to ensure the appropriate management of the seismic risks.

The second private sector Request was the [“El Dorado International Airport” project \(2477A/OC-CO\)](#) of Colombia, for which the Board accepted, by the end of the year, MICI’s recommendation for an investigation.

REQUESTS MANAGEMENT OF 2015 LEGACY PORTFOLIO

3 Requests processed under the Consultation Phase	2 agreements being monitored (AR-MICI001-2010 , BR-MICI004-2011)
	1 mediation process terminated and transferred to the Compliance Review Phase (BR-MICI006-2011)
5 Requests processed under the Compliance Review Phase	1 investigation concluded (PN-MICI002-2011)
	1 ongoing investigation (ME-MICI002-2012)
	1 in which the investigation Terms of Reference were approved (CO-MICI002-2011)
	2 in which the investigation Terms of Reference were being drafted (BR-MICI006-2011, MICI-BO-2014-0079)

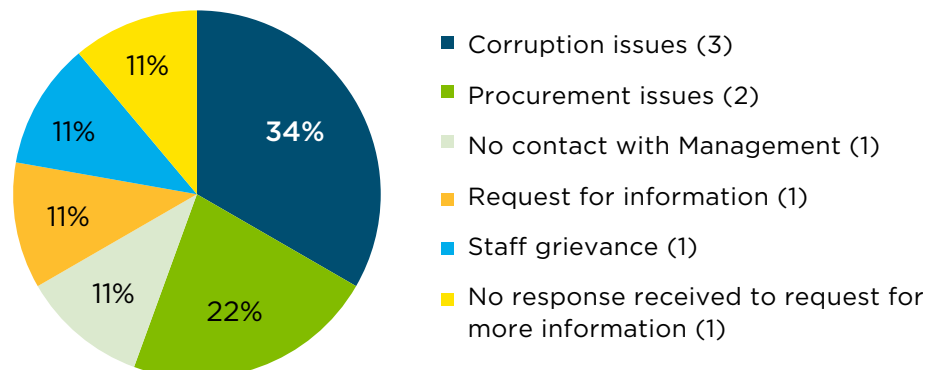
NEW REQUESTS RECEIVED IN 2015

Thirteen new Requests were received in 2015. Of these, four moved forward to the eligibility stage and nine were closed at the registration stage. In the request registration stage, the new Policy provides for an initial period of five business days for the MICI Director to decide whether the request should proceed to the eligibility stage. This initial review, carried out over a maximum period of 5 business days, is based on the Requester's right to a prompt reply and geared toward respecting the time and effort individuals have spent on the process. As a result, when MICI receives Requests that fall outside its mandate, it assists the Requesters by forwarding it to the appropriate department. As shown in the chart below, in 2015, six Requests addressed issues of corruption, procurement, or administrative complaints about Bank employees, and thus did not fall within MICI's mandate and were therefore forwarded to the appropriate departments.

During this initial five business day period, MICI also determines whether the Request contains all of the information required for its processing and whether it falls within any of the Policy exclusions, in which case MICI cannot process it. In the event that information is missing, the registration decision is postponed for a period of 10 business days to give the Requesters the opportunity to complete the information. The decision not to register two requests in 2015 was due to the fact that the Requesters either failed to submit the missing information to MICI or simply did not respond to communications from MICI within the prescribed time period. It is important to highlight that, although the processing of the case is deemed concluded at that point, the Requesters are informed that they may always re-submit their Request once they have been able to meet formal requirements.

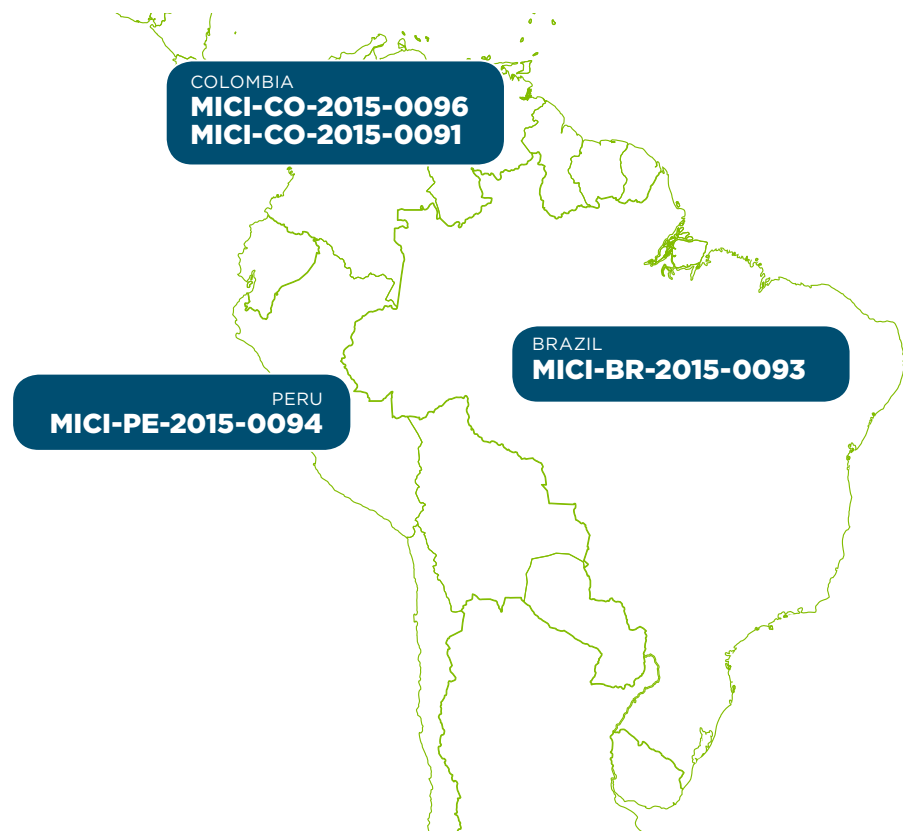
Finally, the decision not to register an additional Request in 2015 was based on the fact that the Requester preferred to only receive information rather than activate the Mechanism.

Unregistered Requests



The high standard of transparency that the new Policy establishes should be noted, given that the Public Registry must report all of the Requests received and indicate how they were designated. In addition, the Policy requires that any decision on designation must be promptly communicated with all the actors involved: Requesters, Bank Management, the Board of Executive Directors, and the interested public.

Of the four registered Requests, two referred to projects being implemented in Colombia, one to a project in Brazil, and one to a project in Peru.



The new Policy introduced a new provision for Requests registered, which provides Bank Management with an opportunity to address, in writing, the issues raised in the Request, as well as describe how the project in question is being implemented. This response is public and used as an additional input for MICI's eligibility analysis, and is also publicly disclosed through posting on the Public Registry. Management can also request suspension of MICI's eligibility process in order to make needed corrections to the project or seek to directly address the concerns raised by the Requesters. In these cases, MICI makes a decision on whether to grant this suspension, which has a maximum duration of 45 business days. As stated in the Policy, if the eligibility process is suspended, Management is required to present a timeline of actions it will undertake during the suspension.

MICI received Management responses related to four Requests during 2015, and in the case of the Request from Peru, Management requested a suspension of 45 days in order to fully inform Requesters about project activities and how the Bank would be addressing their concerns.

Although the Policy does require it, MICI shares Management's response and the request for a suspension with the Requesters, and translates the documents into their local language when needed. In addition, once the time period has elapsed and prior to beginning the eligibility analysis, MICI communicates with the Requesters to determine whether the actions taken during the suspension have resolved the concerns expressed in the Request. This information is then taken into consideration during the eligibility determination process. In the case of the Peru Request, whose 2015 management process is summarized in the next section of the report, the eligibility suspension did not lead to a resolution of the concerns raised in the Request.

Also during this stage, the new Policy introduced the option for MICI to conduct visits to the project site. This is to allow MICI to more completely understand the nature of the complaint and provide an opportunity to speak directly with the Requesters about their concerns and the MICI process. During 2015, MICI undertook eligibility visits to Brazil and Peru. In both cases, the visit helped Requesters get a better sense of the MICI process, as well as helped MICI better understand the problems being experienced.

In late 2015, two cases were closed after having been declared ineligible. In the case of Brazil ([MICI-BR-2015-0093](#)), the Request alleged that the change in the location of a new bridge would result in negative environmental impacts. During the eligibility visit, the Bank was able to clarify that the proposed change in bridge location had not been approved and that the bridge would remain at the originally-planned site.



**Eligibility mission:
Blumenau, Brazil**

In the case of Colombia ([MICI-CO-2015-0091](#)), the Request alleged that the Requesters would be harmed economically by the cancellation costs of a credit granted by a financial intermediary. However, the analysis of the case determined that there was no link between the alleged harm and IDB financing. Furthermore, the Requesters had not made prior contact with Management, nor given reasons for their failure to do so.

The other two Requests ([MICI-PE-2015-0094](#), [MICI-CO-2015-0096](#)) were still in the eligibility analysis stage at the end of the year.

In short, of the 20 cases processed in 2015, 12 were closed and eight remained active at the end of the year.

The next section provides detailed information on each one of the active Request and how they were processed by MICI during 2015. In addition, the online Public Registry on MICI's website (<http://www.iadb.org/en/mici/mici,1752.html>) includes the complete information and supporting documents for these cases and all other Requests currently being processed by MICI.

Eligibility mission: Tarapoto, Peru



INDIVIDUAL CASE MANAGEMENT

This section provides detailed information on each of the 20 Requests in the portfolio managed by MICI in 2015. The case registry files are presented in chronological order of the date the Request was received, and each one includes a link to the Public Registry that offers complete information about management and access to public documents related to the case (www.iadb.org/mici).



MULTIPHASE DEVELOPMENT INFRASTRUCTURE PROGRAM: SUPPORT PRODUCTION IN ENTRE RÍOS (1914/OC-AR)

Country: **ARGENTINA**

Current Status: **OPEN**

Environmental Category: **B**

Date of Receipt: **JUNE 28, 2010**

Case Timeline and Public Documents: [Public Registry AR-MICI001-2010](#)

PROJECT. This is a sovereign guaranteed loan operation, approved in November of 2007 with the objective of supporting basic infrastructure development in the production sector in the Province of Entre Ríos. The initial phase is focused on the transportation and electricity components to ensure the availability of electrical power in areas of agro-industrial expansion. The construction of the 500-132 kW “Gran Paraná” electrical substation referred to in the Request is included in the electrical energy component.



REQUEST. Mr. Pablo Folonier and other members of his family filed a Request alleging potential social and environmental impacts caused by the construction and operation of the electrical transmission plant. These include: (i) lack of due process in the expropriation of the family’s land when it was selected as the site for construction of the plant; (ii) lack of protection and/or mitigation measures in the areas surrounding the land, which was part of a riparian area of woodland and hills declared of value and interest to the city of Paraná; (iii) financial harm arising from potential impacts of the plant’s location on local agriculture; and (iv) lack of access to information on the project and its scope.



CASE MANAGEMENT PROCESS. In November of 2015, a MICI Team visited Entre Ríos to verify the status of compliance with the agreements reached in December of 2012, and to determine what progress had been made with respect to the construction of the Transformer Station (TS) central to the Request. During the mission, the Team visited the TS together with the Requesters' representative, Mr. Pablo Folonier, personnel from ENERSA (Electricidad Energía de Entre Ríos Sociedad Anónima), and the IDB consultant in charge of monitoring the project. They were able to verify that the construction work was virtually complete, and that the station was slated to begin operating in 2016. They checked on the growth of the forest barrier, which had been agreed to as a visual mitigation measure, and decided to reinforce maintenance actions to improve its effectiveness. The Parties held a meeting to review pending agreed activities, and identified alternatives for some of them that might be difficult to implement, given their dependence upon third parties not directly involved in the project. For instance, the Parties considered the possibility of replacing the construction of a health center with support for an educational center. Finally, it was verified that 11 of the 22 actions covered by the agreement had been completed in their entirety, and it is expected that, by mid-2016, the rest of the activities will be either completed or in a state of compliance, thus allowing MICI to conclude its monitoring role.



LOW-INCOME NEIGHBORHOOD IMPROVEMENT PROGRAM – HABITAR BRASIL (1126-OC-BR)

Country: **BRAZIL**

Environmental Category: **NOT APPLICABLE**

Current Status: **OPEN**

Date of Receipt: **JUNE 10, 2011**

Case Timeline and Public Documents: [Public Registry BR-MICI004-2011](#)

PROJECT. This is a sovereign guaranteed loan operation, approved in 1998 to support a program to be carried out by the Municipality of São José dos Campos to improve the quality of life of low-income families in metropolitan areas. It comprises integrated neighborhood improvement projects, including sanitation, education, health, solid waste collection, and environmental improvements.



REQUEST. The Request was filed by the Central de Movimentos Populares, on behalf of a group of families from the Vila Nova Tatetuba community in São José dos Campos, who were going to be resettled under the Habitar Program. They refused the resettlement alternative because the proposed new location would potentially have adverse economic and social effects on their living conditions. Since January of 2004, these families have resided in an abandoned railroad warehouse, under precarious living conditions. The families also requested financial compensation for furniture that was lost when they were evicted.



CASE MANAGEMENT PROCESS. MICI conducted two visits to São José dos Campos during the first semester of 2015 to inform the Requesters of the adoption of MICI's new Policy and its implications on the processing of this Request. The Team also supported efforts to dialogue and build understanding between the Parties regarding the provisions of the Agreement, especially the types of houses to be built, and to gain a first-hand understanding of the perspectives of each stakeholder on the implementation of the Agreement. In April, the Mayor of São José dos Campos signed the contract with the housing construction company and officially initiated the construction process. The beneficiary families, accompanied by the Mayor, his team, and the members of the Joint Committee, visited the construction site and saw, for the first time, the location of their future homes. MICI conducted a monitoring mission in October, during which meetings were held with each of the Parties, and a visit was made to the warehouse where the families are currently living. An on-site visit to the construction site was coordinated with the Joint Committee, which consists of members of the Municipal government and the Requesters, who were able to see the progress on the construction of their future homes, scheduled to be completed in the third quarter of 2016. MICI was able to verify that the housing construction is 20% complete, as well as confirm progress on and/or completion of 13 of the 22 action items contained in the Agreement. It will continue to monitor the Agreement under the Monitoring Plan until 6 months after the houses have been handed over to the owners.



SÃO JOSÉ DOS CAMPOS URBAN STRUCTURING PROGRAM (2323/OC-BR)Country: **BRAZIL**Current Status: **OPEN**Environmental Category: **B**Date of Receipt: **JUNE 10, 2011**Case Timeline and Public Documents: [Public Registry BR-MIC1006-2011](#)

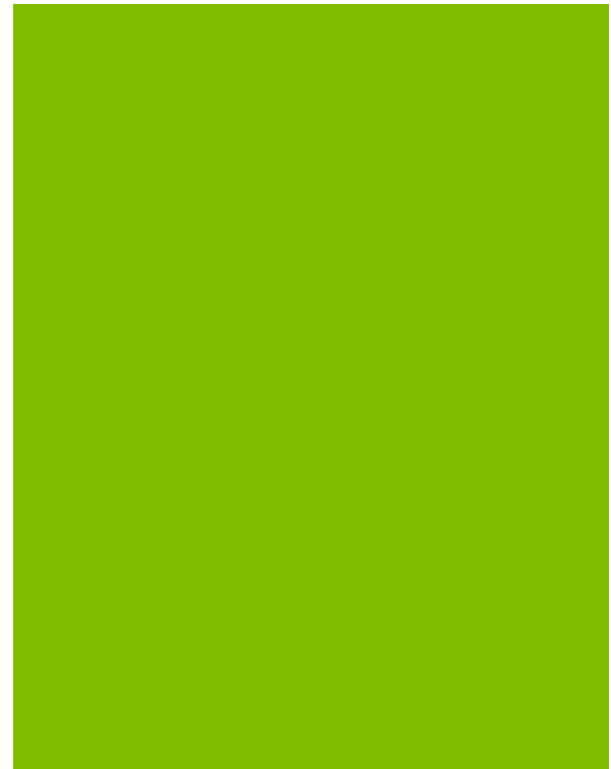
PROJECT. The objective of this sovereign guaranteed loan operation, approved in May of 2010, is to contribute to the sustainable development of the Municipality of São José dos Campos, seeking to achieve greater balance in its economic, environmental, and social aspects, through the improvement and consolidation of the municipality's physical infrastructure and urban management. The Program contains three structural components: (i) urban/environmental improvements; (ii) improvements in urban transportation; and (iii) institutional strengthening. The Program's first component includes the relocation of families living in at-risk conditions within environmental preservation areas.



REQUEST. *The Central de Movimientos Populares de São José dos Campos* filed a Request on behalf of 100 families residing in the community of Jardim Nova Esperança (also known as O Banhado). They alleged that some components of the Program, in particular the resettlement of the families and the construction of a road near the community's current location, may have negative social and environmental impacts. They further alleged that they were not properly informed of the project and the details of the involuntary resettlement.



CASE MANAGEMENT PROCESS. The Request was initially handled at the Consultation Phase. However, in May of 2015, MICI received a communication in which the Requesters reported that they had decided not to continue participating in the mediation process and asked that the Request be transferred to the Compliance Review Phase. After holding meetings with the Requesters and the Municipal government to confirm that there was no longer any possibility of continuing the mediation process, MICI concluded the Consultation Phase. Although the dialogue process did not produce an agreement, it did substantially strengthen the resettlement process and improve communication between the residents of O Banhado and the municipal authorities. In August of 2015, and in keeping with the wishes of the Requesters, the Request was transferred to the Compliance Review Phase. According to the Transition Plan approved by the Board of Executive Directors in February of 2015, the Request will be processed under the new 2014 Policy, which does not require any additional eligibility assessment beyond that done at the Consultation Phase. Steps were taken to begin preparing the Terms of Reference and Recommendations for a Compliance Review, which will be forwarded at the beginning of 2016 to the Requesters and Management for comment, and then distributed to the Board of Executive Directors for its determination on whether an investigation should be conducted.



MAREÑA RENOVBLES WIND PROJECT (2644A/OC-ME)

Country: **MEXICO**

Current Status: **OPEN**

Environmental Category: **A**

Date of Receipt: **DECEMBER 26, 2012**

Case Timeline and Public Documents: [Public Registry ME-MICI002-2012](#)



PROJECT. This project is a non-sovereign guaranteed loan operation approved in November of 2011, and granted to Mareña Renovables Capital. The objective of the operation is to support the construction of a 396 KW wind farm in the Isthmus of Tehuantepec, in the State of Oaxaca, providing power to Fomento Económico Mexicano S.A.B. de C.V. under the Mexican legal framework of energy self-sufficiency. It consists in the construction of 132 towers with wind turbines and a 52-kilometer transmission line connecting the wind park to the electrical power grid.

REQUEST. Mr. Leonardo Crippa, of the Indian Law Resource Center, filed a Request with MICI on behalf of the residents of the indigenous communities of Santa María Xadani, San Mateo del Mar, Colonia Álvaro Obregón, San Francisco del Mar, San Dionisio del Mar, Juchitán de Zaragoza, and Unión Hidalgo in the Isthmus of Tehuantepec, State of Oaxaca, Mexico. They alleged several harms, including: (i) lack of appropriate public consultation; (ii) failure to properly assess potential environmental harm to



the area's fauna, and providing insufficient information to the communities about the anticipated impacts; and (iii) negative impacts on the communities' way of life and to fishing, which is their traditional means of subsistence.

CASE MANAGEMENT PROCESS. The Request was received in December of 2012 and declared ineligible for the Consultation Phase in March of 2013. The Requesters' representative then asked for it to be transferred to the Compliance Review Phase. After a careful analysis by the Panel Chairperson, the Request was declared eligible. Following the approval of the investigation in December of 2014, the Panel spent the first quarter of 2015 studying the background documentation and preparing the mission to Mexico, which was held on March 23 – April 1. The objective of the trip was to visit the project area, gain an understanding of the local context, and interview the Bank's country office staff in Mexico, as well as federal government authorities, representatives of the project proponent, Requesters in the seven complainant communities, and other members of civil society. In late September, the Panel issued the first draft of the Compliance Review Report, which was under final review at the end of the year, so it could be shared with the Requesters and Management for their comments. It would subsequently be presented to the Board of Executive Directors in 2016 for its consideration and decision on whether to approve the investigation.



EL DORADO INTERNATIONAL AIRPORT (2477A/OC-CO)Country: **COLOMBIA**Current Status: **OPEN**Environmental Category: **B**Date of Receipt: **AUGUST 12, 2011**Case Timeline and Public Documents: [Public Registry CO-MICI002-2011](#)

PROJECT. This non-sovereign guaranteed loan to Sociedad Concesionaria Operadora Aeroportuaria Internacional, S.A. (OPAIN) was approved in December of 2010 and earmarked for the modernization and expansion of the El Dorado Airport in Bogotá, Colombia. The objective of the project is to: expand the airport's capacity and streamline operations; reduce delays, fuel consumption, and maintenance costs; improve services for passengers, airlines, and cargo transportation clients; and strengthen security.



REQUEST. Mrs. Gloria Cecilia Molina Villamarín filed a Request on behalf of *Comunidades Unidas Macroproyecto Aeropuerto El Dorado*, citing several of the community's concerns over issues included in the environmental impact study. These included water pollution, the handling of hazardous waste, high noise levels, and OPAIN's relations with the community. The Request also alleged that the project failed to provide the affected communities with adequate and effective access to information and effective participation in decision making.



CASE MANAGEMENT PROCESS. The Request was received in August of 2011, and its processing in the Consultation Phase was concluded in November of 2014, when it was transferred to the Compliance Review Phase, in accordance with the Requesters' wishes. It was declared eligible after additional information was submitted and examined. During 2015, the Recommendation to conduct a Compliance Review was prepared. On December 3, the Board of Executive Directors approved the Recommendation and Terms of Reference. Based on the information presented, and in view of the fact that the Bank has several airport sector investment projects in its portfolio, MICI proposed that the findings of this investigation be used by the Board of Executive Directors when making decisions on similar operations in the future. The investigation will be conducted in accordance with the current 2014 Policy, and will begin as soon as two specialists from the Roster of Experts are identified and hired to carry out the investigation under the leadership of the Compliance Review Phase Coordinator. The investigation is expected to have a maximum duration of 6 months, concluding in August of 2016.



DRAINAGE IN THE MUNICIPIOS OF LA PAZ AND EL ALTO (2440/BL-BO)Country: **BOLIVIA**Current Status: **OPEN**Environmental Category: **B**Date of Receipt: **MARCH 27, 2014**Case Timeline and Public Documents: [Public Registry MICI-BO-2014-079](#)

PROJECT. This sovereign guaranteed loan operation, approved in November of 2010, was designed to help improve the quality of life of the inhabitants of the Municipalities of La Paz and El Alto. Specifically, the project was geared toward reducing the human loss and property damage caused by extreme geo-hydro-meteorological events through the construction of drains and complementary activities to improve the storm drainage systems of La Paz and El Alto and their management.

REQUEST. The Requester, who asked to remain confidential for fear of retaliation, claims to have suffered direct economic harm related to street blockages required to repair the covering of the Jancakollo River, as part of the La Paz Storm Drainage Program II. Among the allegations, the Requester indicated that the information given to local residents about the operation was limited and vague in terms of the expected duration of the civil works, and this had generated negative impacts on local businesses in the area. The Requester further alleges that public consultations were not carried out in an effective manner.

CASE MANAGEMENT PROCESS. The Request was received in March of 2014 and declared ineligible by the Eligibility Committee of the Consultation Phase, because the Requester did not wish to take part in the Consultation Phase process. In keeping with the Requester's wishes, the Request was transferred to the Compliance Review Phase and declared eligible. In 2015, the process began with the drafting of the Recommendation to conduct a Compliance Review and the subsequent Terms of Reference for the Review. At the beginning of 2016, those documents will be sent to the Parties for comment, after which —and within the established time period— the final document will be submitted to the Board of Executive Directors for its consideration and determination on whether to approve the investigation.

PANAMA CANAL EXPANSION PROGRAM (2027/OC-PN)

Country: **PANAMA**

Current Status: **CLOSED**

Environmental Category: **A**

Date of Receipt: **OCTOBER 10, 2011**

Case Timeline and Public Documents: [Public Registry PN-MICI002-2011](#)

PROJECT. This non-sovereign guaranteed loan to the Panama Canal Authority was approved in October 2008 as partial financing for the canal expansion program. The program comprises four components: (i) building a third set of floodgates; (ii) dredging the access channels in the Atlantic and the Pacific; (iii) expanding and deepening the existing navigation channels, including the Gaillard Cut; (iv) and increasing the maximum functioning level of Gatún Lake, which provides fresh water to the canal.



REQUEST. Ms. Leila Shelton-Louhi, Director of the *Comité Pro Defensa del Lago Gatún* submitted a Request to MICI on her own behalf and on behalf of the non-governmental organizations coalition *Alianza Pro Panamá* (the Requesters). She alleged, among other things: (a) lack of transparency, incomplete disclosure of information and misrepresentation of project-related facts; (b) the potential that the expansion of the Canal could lead to the increased inflow of salt water into the Panama Canal Watershed, adversely affecting biodiversity; (c) lack of adequate risk assessment and appropriate mitigation measures related to a major seismic fault threatening the Canal's Pacific end; and (d) potential insufficiency of water levels to operate the expansion of the Panama Canal.

CASE MANAGEMENT PROCESS. In July of 2015, the Board of Executive Directors considered the Compliance Review Report presented by the Panel and issued its final decision with respect to the three areas covered by the investigation. (i) Regarding seismic risk and compliance with the Disaster Risk Management Policy (OP-704), the Board concluded that Management had not complied with the Policy requirement to report the level of risk during the preparation Phase of the Project. Therefore, it instructed Management to produce a report consolidating Management's Response to the Compliance Review Report, which would include the result of the project's seismic risk classification and the steps taken by the Bank to ensure that seismic risks have been properly addressed. (ii) Regarding water availability and compliance with the Environment and Safeguards Compliance Policy (OP-703), the Board concluded that the Bank complied with the Policy and therefore did not accept the Panel's findings or recommendations. (iii) Regarding the Western Watershed, and based on the Panel's report, the Board confirmed that the area of the Western Watershed is not within the scope of the project and therefore concluded that, regardless of any findings by the Panel, the Bank cannot intervene or make recommendations. On August 4, 2015, following the disclosure of the Compliance Review Report and after sharing the Notice of the Final Decision of the Board of Executive Directors with the Requester, Borrower, and the general public, MICI's processing of this Request was concluded.



SOLID WASTE MANAGEMENT PROGRAM FOR TOURISTIC MUNICIPALITIES (1868/OC-AR)

Country: ARGENTINA	Current Status: CLOSED
Environmental Category: B	Date of Receipt: DECEMBER 20, 2014
Case Timeline and Public Documents: Public Registry MICI-AR-2015-0084	

PROJECT. This is a sovereign guaranteed operation approved in June of 2007 to finance construction works for the comprehensive management of solid waste and recovery of areas degraded by the improper disposal of such waste, especially in municipalities located in tourism development corridors, where the Bank is supporting the establishment of tourist service infrastructure (1648/OC-AR Improvements in Touristic Competitiveness).

REQUEST. The Requesters, who asked for their identities to be kept confidential, alleged potential violations of the Bank's procurement policies, acts of corruption, and prohibited practices.

CASE MANAGEMENT PROCESS. The Request was received in late December, 2014. Upon analysis, it was observed that the issues raised in the Request were clearly within the purview of exclusion 19(a), which establishes that *"Neither the Consultation Phase nor the Compliance Review Phase will be applied to: [...] Considerations of ethics or fraud, [...] complaints of corrupt practices and procurement decisions or processes."* Accordingly, the continued processing of the Request under MICI procedures did not apply. MICI proceeded to notify the Requesters that it had terminated the process and forwarded the complaint to the Office of Institutional Integrity (OII), in accordance with MICI Policy and the Bank's Code of Ethics. The confidentiality of the content of the Request and the identity of the Requesters were maintained, in keeping with OII guidelines.

STRATEGIC PUBLIC TRANSPORTATION SYSTEMS (SPTS) (2575/OC-CO)

Country: **COLOMBIA**Current Status: **CLOSED**Environmental Category: **B**Date of Receipt: **JANUARY 1, 2015**Case Timeline and Public Documents: [Public Registry MICI-CO-2015-0085](#)

PROJECT. This project is a sovereign guaranteed loan operation, approved with the objective of financing the Ministry of Transportation in order to support twelve cities in the improvement of their public transportation systems and transit in general. The cities initially identified include Santa Marta, Pasto, Armenia, Popayán, Valledupar, and Manizales.

REQUEST. The Request, filed by Mr. José Rafael Rengifo Matta, asks for information about the portion of the financing earmarked for his city, Pasto, and specifically for the construction works on Carreras 19 and 27. It also states that a large number of residents have been involuntarily displaced in order to make the Carrera 19 project viable, but does not offer additional details.

CASE MANAGEMENT PROCESS. The Request was not registered at the behest of the Requester, who informed MICI by telephone that that it was not in his interest at the moment to present a complaint, but rather only to receive the requested information.

TRANSMISSION SYSTEM SUPPORT IN PARAGUAY PROJECT (2891/OC-PR)

Country: **PARAGUAY**Current Status: **CLOSED**Environmental Category: **B**Date of Receipt: **JANUARY 2, 2015**Case Timeline and Public Documents: [Public Registry MICI-PR-2015-0086](#)

PROJECT. This is a sovereign guaranteed loan operation approved in December of 2012. It is the second loan of the ANDE Multiphase Power Transmission Program. The Project consists of (i) continuing investments in the transmission system, specifically to: (i) increase the interconnection of the Asunción metropolitan area with generation sites through the construction of 500 KW transmission lines; (ii) continue efforts to reduce power losses; and (iii) support the process of modernizing ANDE's management operations.

REQUEST. A Paraguayan civil organization submitted a complaint to MICI in reference to operation 2891/OC-PR (Support for the Transmission System in Paraguay). The organization's representative made allegations regarding potential prohibited practices, as well as other issues concerning irregularities in the competitive bidding processes.

CASE MANAGEMENT PROCESS. The examination of the Request confirmed that the issues raised therein were clearly within the purview of exclusion 19(a), which establishes that *"Neither the Consultation Phase nor the Compliance Review Phase will be applied to: [...] Considerations of ethics or fraud, [...] complaints of corrupt practices and procurement decisions or processes,"* and therefore the continued processing of the Request under MICI procedures did not apply. Accordingly, MICI proceeded to notify the Requester that it had terminated the process and forwarded the complaint to the Office of Institutional Integrity (OII), in keeping with MICI Policy and the Bank's Code of Ethics. It is important to mention that the content of the Request and the identity of the Requester were kept confidential, as this is the treatment the OII gives to complaints they receive and manage.

SUPPORT TO IMPROVE SUSTAINABILITY OF THE ELECTRICITY SERVICE (3059/OC-SU)

Country: SURINAME	Current Status: CLOSED
Environmental Category: C	Date of Receipt: FEBRUARY 13, 2015
Case Timeline and Public Documents: Public Registry MICI-SU-2015-0087	

PROJECT. This is a sovereign guaranteed operation approved in November of 2013. The program will contribute to the sustainability of the power sector in Suriname by strengthening the operational procedures and corporate performance of Energie Bedrijven Suriname (EBS), and by improving the sustainability of rural electricity supply.

REQUEST. The Request was submitted by a Surinamese law firm with complaints about the operation, and contained an official complaint concerning the international competitive bidding process and its results.

CASE MANAGEMENT PROCESS. The Request was received in February, and upon examination it was clear that the issues raised therein were within the purview of exclusion 19(a), which establishes that *“Neither the Consultation Phase nor the Compliance Review Phase will be applied to: [...] Considerations of ethics or fraud, [...] complaints of corrupt practices and procurement decisions or processes,”* and therefore the continued processing of the Request under MICI procedures did not apply. Accordingly, MICI proceeded to notify the law firm that it had terminated the process and forwarded the complaint to the Procurement Office, in keeping with MICI Policy. The documentation was classified as confidential, as it did not fall within the scope of MICI’s work.

MARIO COVAS RODOANEL PROJECT - NORTHERN SECTION (2618/OC-BR)Country: **BRAZIL**Current Status: **CLOSED**Environmental Category: **A**Date of Receipt: **FEBRUARY 13, 2015**Case Timeline and Public Documents: [Public Registry MICI-BR-2015-0088](#)

PROJECT. This is a sovereign guaranteed operation that was approved in November of 2011. The project entails the construction of the Northern Section of the Mario Covas Beltway for the City of São Paulo. Project activities will include: (i) engineering and administration; (ii) civil works and supervision; (iii) institutional strengthening; and (iii) social and environmental viability. The beltway will transform the metropolitan transportation and logistics system from its current radial configuration to a radial-ring configuration. This will contribute to: (i) redistributing freight and passenger traffic to and from other regions of Brazil and neighboring countries; (ii) improving access to two of the country's major international connection points (Port of Santos and Guarulhos Airport); (iii) spatially decentralizing logistics activity in the Sao Paulo Metropolitan Region (SPMR); and (iv) improving traffic conditions by shortening travel times, reducing vehicle operating costs and accidents, and improving air quality and living conditions of residents.

REQUEST. The Requester, who asked to remain anonymous, submitted a complaint about the operation, making allegations of corruption and requesting action on the part of the Office of Institutional Integrity (OII). The Requester also raised some issues pertinent to the Mechanism's mandate.

CASE MANAGEMENT PROCESS. The Request, received in February of 2015, addressed matters that clearly fell within the scope of exclusion 19(a), which establishes that *"Neither the Consultation Phase nor the Compliance Review Phase will be applied to: [...] Considerations of ethics or fraud, [...] complaints of corrupt practices and procurement decisions or processes,"* and therefore the continued processing of the Request under MICI procedures respect the alleged corruption issues did not apply. With respect to the other elements of the Request, the Requester was contacted and informed of the new MICI Policy and the requirements for filing a Request. The Requester expressed a willingness to file a new Request within the next few months. Accordingly, MICI proceeded to notify the Requester that it had terminated the process and forwarded the complaint to the Office of Institutional Integrity (OII), in keeping with MICI Policy and the Bank's Code of Ethics. It is important to highlight that the content of the Request and the identity of the Requester were kept confidential, in accordance with OII guidelines.

PRODUCTIVE INFRASTRUCTURE PROGRAM III (3384/GR-HA)

Country: **HAITI**Current Status: **CLOSED**Environmental Category: **A**Date of Receipt: **FEBRUARY 18, 2015**Case Timeline and Public Documents: [Public Registry MICI-HA-2015-0089](#)

PROJECT. This is a non-sovereign guaranteed loan operation that was approved in December of 2014. The operation will continue the investments initiated by projects HA-L1055 and HA-L1076 in the Caracol Industrial Park. It will provide the basic infrastructure, industrial facilities, management support, and complementary investments required for the expansion and sustainable operation of the Caracol Industrial Park.

REQUEST. The Request, filed by an individual who asked to remain anonymous, alleged harm to the environment and to the employees of the Caracol Industrial Park, but did not offer additional details.

CASE MANAGEMENT PROCESS. The Request, received in February of 2015, did not contain sufficient information for analysis. Accordingly, the Requester was asked to submit additional details and was provided with information about the MICI process. The Requester was subsequently given a period of 10 business days to do so, in accordance with Article 20(b) of the MICI Policy, which determines that: *if the Request does not include all the information required for its processing, the Director will communicate with the Requesters and inform them of the missing information, granting the Requesters a maximum term of 10 Business Days as of such communication to provide the information. If the information is not received within the indicated term, the process will be considered terminated, without prejudice to the fact that the Requesters may resubmit the Request once they have gathered the required information.* Having received no response from the Requester, the process was deemed closed.

MARIO COVAS RODOANEL PROJECT - NORTHERN SECTION (BR-L1296)Country: **BRAZIL**Current Status: **CLOSED**Environmental Category: **A**Date of Receipt: **APRIL 1, 2015**Case Timeline and Public Documents: [Public Registry MICI-BR-2015-0090](#)

PROJECT. This is a sovereign guaranteed operation approved in November 2011. The project entails the construction of the Northern Section of the Mario Covas Beltway of the City of São Paulo. Project activities will include: (i) engineering and administration; (ii) civil works and supervision; (iii) institutional strengthening; and (iii) social and environmental viability. The beltway will transform the metropolitan transportation and logistics system from its current radial configuration to a radial-ring configuration. This will contribute to: (i) redistributing freight and passenger traffic to and from other regions of Brazil and neighboring countries; (ii) improving access to two of the country's major international connection points (the Port of Santos and Guarulhos Airport); (iii) spatially decentralizing logistics activity in the Sao Paulo Metropolitan Region (SPMR); and (iv) improving traffic conditions by shortening travel times, reducing vehicle operating costs and accidents, and improving air quality and living conditions of residents.

REQUEST. The Request, submitted by individuals who asked that their identities remain confidential, made allegations of direct harm because their homes are within the project construction area, and the Executing Agency failed to include them in the project's expropriation program.

CASE MANAGEMENT PROCESS. Following an initial examination of the Request, which was received in April, the Requesters were informed that they needed to submit additional information and were given a period of 10 business days to do so, in accordance with Article 20(b) of MICI Policy, which determines that: *if the Request does not include all the information required for its processing, the Director will communicate with the Requesters and inform them of the missing information, granting the Requesters a maximum term of 10 Business Days as of such communication to provide the information. If the information is not received within the indicated term, the process will be considered terminated, without prejudice to the fact that the Requesters may resubmit the Request once they have gathered the required information.* On the other hand, the Requesters stated their intention to contact Bank Management with a view to resolving the concerns raised, and indicated that they would contact MICI again in the event that they were unable to obtain a satisfactory response from the Bank, as well as to provide the additional required information. Having received no response from the Requesters at the end of the 10-day period, MICI concluded the administrative process.

BAYPORT COLOMBIA: FINANCIAL INCLUSION FOR BOP PUBLIC EMPLOYEES (3247A/OC-CO)

Country: COLOMBIA	Current Status: CLOSED
Environmental Category: UNCATEGORIZED	Date of Receipt: JULY 3, 2015
Case Timeline and Public Documents: Public Registry MICI-CO-2015-0091	

PROJECT. This is a non-sovereign guaranteed operation. The objective of the Project is to increase financial access for base-of-pyramid (BOP) public sector employees and pensioners in Colombia through the scaling-up of a pension plan loans program (repayable via payroll deductions). The program will be implemented by Bayport Colombia S.A.S. which is a non-bank financial intermediary focused particularly on rural areas and regions with low levels of financial inclusion and high levels of unsatisfied basic needs.

REQUEST. The Request was filed by Mr. Fernando Sanabria and another Requester who asked to remain anonymous. The Request alleged economic harm resulting from the Bayport company's failure to provide adequate information regarding loan re-payment conditions.

CASE MANAGEMENT PROCESS. Upon examination of the Request and all of the documentation gathered, including the response sent by Management, MICI found that although the Requesters described the harm they suffered as a consequence of the loan granted by Bayport, that harm was not the result of any potential noncompliance on the part of the Bank. This is due to the fact that on the date the loan was granted to one of the Requesters, the IDB had not yet formally entered into a contractual relationship with Bayport. In October of 2015, the MICI Director determined that the Request was ineligible because it did not meet the following eligibility requirements: (i) there was no link between the harm and the operation (paragraph 22.c of the MICI Policy) and (ii) there was no prior contact with Management (paragraph 22.d of the MICI Policy). After the Parties were notified, the Request was declared ineligible and the process closed.

PROGRAM TO SUPPORT PRODUCTION DEVELOPMENT FOR INTERNATIONAL INTEGRATION (2583-OC-ES)

Country: **EL SALVADOR**Current Status: **CLOSED**Environmental Category: **C**Date of Receipt: **JULY 23, 2015**Case Timeline and Public Documents: [Public Registry MICI-ES-2015-0092](#)

PROJECT. This is a sovereign guaranteed loan operation approved in September of 2011. The proposed investments will finance the Comprehensive Strategy for Export Development in El Salvador by providing resources to: (i) promote and encourage exports; internationalize small and medium-sized enterprises (SMEs); (ii) attract foreign direct investment; (iii) support the implementation of trade agreements and improve the technological and innovative content of goods and services exported to major trading partners.

REQUEST. The Request was submitted by individuals who asked that their identities be kept confidential, and who alleged irregularities related to the competitive bidding process.

CASE MANAGEMENT PROCESS. The Request was not registered because it dealt exclusively with issues of procurement that clearly fell within the scope of exclusion 19(a), which establishes that: *“Neither the Consultation or Compliance Review Phases will be applied to...instances of ethics or fraud, [...] complaints of corrupt practices and procurement or contracting decisions and processes”*. Therefore, the continued processing of the Request under MICI procedures did not apply. Accordingly, the Requester was notified about the closure of the process, and the Request was transferred to the Office of Procurement, with the documentation classified as confidential, as it was not within the scope of the Mechanism’s mandate.

BLUMENAU'S SUSTAINABLE URBAN MOBILITY PROGRAM (2746/OC-BR)Country: **BRAZIL**Current Status: **CLOSED**Environmental Category: **B**Date of Receipt: **AUGUST 21, 2015**Case Timeline and Public Documents: [Public Registry MICI-BR-2015-0093](#)

PROJECT. This is a sovereign guaranteed operation approved in June of 2012. It was geared toward improving the urban mobility of Blumenau through the: (i) rehabilitation and adaptation of bridges and roads of the city's basic road system, including crossroads connecting to the main radial hubs, guaranteeing the implementation of roadways exclusively for sustainable public transport; (ii) introduction of non-motorized transport infrastructure (bikeways and pedestrian facilities); and (iii) institutional strengthening of the Municipal Government in order to ensure the development of an integrated sustainable transportation strategy.





REQUEST. The Request was filed by three residents of the city of Blumenau, who asked to remain anonymous, for fear of retaliation. They alleged potential harm to the environment, cultural heritage, and the road system, as well as impacts on their neighborhood due to the construction of a bridge in downtown Blumenau. The Requesters maintained that the design and initial location of the bridge, established in the IDB-financed program, were modified by the Municipality without any supporting technical study to justify such modifications, in violation of the Bank's operational policies.

CASE MANAGEMENT PROCESS. The Request was received in August of 2015, and as part of the eligibility determination process, a MICI delegation visited Blumenau in October (paragraph 23.e of the MICI Policy) with the objective of learning more about the adverse effects alleged in the Request, touring the project's area of influence, and explaining the process and scope of MICI's work. The delegation was able to discuss the Requesters' concerns about the change to the design and location of the "Ponte do Centro" bridge and the potential effects of this change on traffic volume and historical value, among other things. Nonetheless, the Request did not fall within the mandate of the Mechanism because the bridge relocation project had not been approved by the IDB as of that date, and therefore could not be considered a Bank-financed operation. On October 26, 2015, the MICI Director determined that the Request was not eligible, as there was no link between the harm and the operation (paragraph 22.c of MICI Policy). In addition, it was established that the Request raised a specific issue that is subject to judicial litigation (paragraph 19.d of MICI Policy). In view of this and other considerations, and after notifying the parties, the Request was declared ineligible and the process was concluded.

RURAL LAND TITLING & REGISTRATION PROJECT IN PERU (3370/OC-PE)Country: **PERU**Current Status: **OPEN**Environmental Category: **B**Date of Receipt: **AUGUST 27, 2015**Case Timeline and Public Documents: [Public Registry MICI-PE-2015-0094](#)

PROJECT. This is a sovereign guaranteed loan operation approved in December of 2014. The proposed operation is the third phase of the land registry and titling project named “Proyecto Especial de Registro y Titulación de Tierra (PETT)”, which has made significant progress in the titling and registration of rural lands in Peru, particularly on the coast and in the highlands, and incorporates important lessons learned. This third operation will consolidate the work of the second phase, particularly in relation to the registry of property, and will further the communal titling of peasant and native communities, together with activities to ensure the sustainability of rural land registry. Project execution will consist of four components: (i) Land Titling and Land Registry; (ii) Institutional Consolidation; (iii) Land Administration Services; and (iv) Management, Administration, and Supervision.





REQUEST. Mr. Henderson Rengifo Hualinga, President of the Inter-Ethnic Association for the Development of the Peruvian Rainforest (AIDSESP), filed a Request on behalf of 1,166 native communities of the Peruvian Amazon from 9 regional indigenous organizations. The Request alleges that the execution of the Project will cause irreparable harm to the Indigenous Peoples of the Peruvian Amazon. Specifically, the Requesters alleged that the project will violate their ownership and user rights over the indigenous territories, by first allocating titles to settlers. In addition, they maintain that the project will result in environmental and socioeconomic damage through the deforestation of ancestral land, degradation of ecosystems, and loss of indigenous peoples' livelihoods. According to the Requesters, these effects may have been caused by the failure to comply with the Environment and Safeguards Compliance Policy (OP-703) and the Indigenous Peoples Policy (OP-765).

CASE MANAGEMENT PROCESS. The Request was received in August of 2015, and after having received additional information from the Requesters, MICI proceeded to register the Request and forward it to Management to prepare its response. As part of the eligibility determination process, MICI conducted a visit to Peru in October to gather information on the Request and the alleged harms, inform the Requesters of MICI's procedures, and hold informational meetings with the pertinent authorities. Management requested the temporary suspension of the eligibility process for 45 days, believing that there was an opportunity to strengthen the execution of the project and improve the benefits for the communities. MICI's Director granted the suspension request, which included an action plan geared toward strengthening the execution of the project and improving the benefits for the communities. At the end of the suspension period, MICI began the eligibility determination process for the Request. It will issue an eligibility memorandum in 2016 that includes a description of the steps taken, a summary of the facts and positions of the Parties, and a decision on whether the Request is eligible for one or both of the Phases.

REQUEST NOT RELATED TO ANY OPERATION

Country: **AUSTRIA**Current Status: **CLOSED**Environmental Category: **NOT
APPLICABLE**Date of Receipt: **SEPTEMBER 22, 2015**Case Timeline and Public Documents: [Public Registry MICI-AU-2015-0095](#)

PROJECT. This Request was not related to any Bank-financed operation.

REQUEST. The Requester, who asked to remain anonymous, raised issues related to questionable actions by Bank employees.

CASE MANAGEMENT PROCESS. The Request was not registered, as it dealt exclusively with specific actions of Bank employees and non-operational matters that clearly fell within the scope of exclusion 19(a), which establishes that: *“Neither the Consultation or Compliance Review Phases will be applied to...instances of ethics or fraud, [...] complaints of corrupt practices and procurement or contracting decisions and processes”*. In addition, the Request had already been processed by other Bank units, and it would therefore be improper to continue processing it under MICI procedures. The Requester was notified about the closure of the process, and the documentation was classified as confidential, as it was not within the scope of the Mechanism’s mandate.

PORCE III HYDROELECTRIC POWER PLANT (1664/OC-CO)Country: **COLOMBIA**Current Status: **OPEN**Environmental Category: **A**Date of Receipt: **NOVEMBER 24, 2015**Case Timeline and Public Documents: [Public Registry MICI-CO-2015-0096](#)

PROJECT. This is a sovereign guaranteed loan operation approved in October of 2015, to finance the construction of the Porce III Hydroelectric Power Plant by the Empresas Públicas de Medellín (EPPM), Colombia, and required in order to meet the growing energy demand in the country. Renewable and low-cost resources from the Porce River will be used in a social and environmentally sustainable manner for the country. Furthermore, the Project will finance civil works, electromechanical equipment, transmission lines, and an environmental and social management plan.

REQUEST. The Request, submitted by Mr. Nelson de Jesús Guerra on behalf of more than two thousand people from the municipalities of Amalfi, Anorí, Guadalupe, Gomez Plata and several surrounding villages, alleges the serious impoverishment of the community and the violation of the Requesters' fundamental rights as a result of the involuntary resettlement carried out for the Porce III Hydroelectric Power Plant project (1664/OC-CO) execution. The Requesters allege that villagers were violently displaced through the use of force, which is in violation of the Bank's resettlement guidelines, and are currently living in absolute poverty, having lost everything they had.

CASE MANAGEMENT PROCESS. The Request was received in November of 2015, and MICI granted the Requesters a maximum of 10 business days to submit additional information required to process the Request (paragraph 20 of the MICI Policy). The supplemental information received made it possible to register the Request and forward it to Management for the drafting of a respective response. As part of the Request, MICI received a number of testimonial videos and additional information from the Requesters. On December 18, the Request was registered and that information was forwarded, together with the original Request, to Management for its response to MICI by January 22, 2016, in keeping with its Policy. At the end of that period, MICI will begin the eligibility determination process for the Request, and will issue an Eligibility Memorandum that includes a description of the steps taken, a summary of the facts and positions of the Parties, and a decision on whether the Request is eligible for one, both or neither of the Phases.

III. ANNEXES



ANNEX 1. DISSEMINATION IN 2015

During 2015, MICI carried out an intensive outreach plan to disseminate information on its new 2014 Policy and its operational procedures to manage Requests under this new Policy. It also organized a number of dialogue meetings and workshops to exchange knowledge, promote better understanding regarding objectives and perspectives of all relevant parties, and share lessons about its accountability work. These activities were geared toward IDB staff, as well as to outside representatives from civil society, academia, governments, and others.

Below is a summary of the activities carried out.



Annex 1.1 Dissemination and Training Activities Aimed at Civil Society

DATE	EVENT/AUDIENCE	LOCATION	# PARTICIPANTS
April 9	Organizing of Round Table between the Independent Accountability Mechanisms (IAMs) and Civil Society Organizations from Washington	Washington, D.C.	60
May 19	Participation in CSO Training Workshop on Internal Policies of International, Regional, and National Banks and their Complaint Mechanisms	Lima, Peru	40
May 21	Presentation at Seminar on Public Policy, Human Rights, and Sustainable Financing: Prevention and Conflict Resolution at Financial Institutions	Sao Paulo, Brazil	50
May 22	Presentation to Members of the IDB's Civil Society Consulting Groups (ConSoC) in Brazil	Brasilia, Brazil	10
May 27	Presentation at the V IDB - Caribbean Civil Society	Port of Spain, Trinidad and Tobago	200
June 25	Presentation to Members of the IDB's Civil Society Consulting Groups (ConSoC) in Chile	Video conference	10
July 23	Presentation to Members of the IDB's Civil Society Consulting Groups (ConSoC) in Guatemala	Video conference	20
August 26	Presentation to Members of the IDB's Civil Society Consulting Groups (ConSoC) in Barbados	Video conference	8
September 21	Presentation to Members of the IDB's Civil Society Consulting Groups (ConSoC) in Ecuador	Video conference	10
October 4 y 5	Co-Organized Workshop between Independent Accountability Mechanisms (IAMs) and Civil Society Organizations from Latin America	Lima, Peru	130
November 5	Presentation at the XV Annual IDB Civil Society Meeting: A Reflection of Social Innovation	Tegucigalpa, Honduras	250
November 16	Organized Workshop for Civil Society Organizations from Argentina	Buenos Aires, Argentina	25
December 6 - 9	Presentation at the XII Annual Meeting of Independent Accountability Mechanisms (IAMs) and Civil Society Organizations from Europe and other regions	Paris, France	40
Total Participants			853

Annex 1.2 Dissemination Activities for IDB Staff

DATE	EVENT/AUDIENCE	LOCATION	# PARTICIPANTS
February 20	Session with operational teams to present implications of the approval of the new MICI Policy	H.Q. Washington, D.C.	27
March 17	Informative Session on MICI to Winter Term Interns	H.Q. Washington, D.C.	25
March 17	Informative session with Senior Managers and Project Teams about the key changes to MICI Policy	H.Q. Washington, D.C.	50
April 20	Presentation on MICI during First Orientation Seminar for New Employees and Members of the Board of Executive Directors: Risk Management	H.Q. Washington, D.C.	40
May 20	Informative session about MICI to IDB Country Office staff in Peru	Lima, Peru	12
May 21	Informative session on MICI to IDB Country Office staff in Brazil	Brasilia, Brazil	15
May 28	Informative session on MICI to IDB Country Office staff in Trinidad and Tobago	Puerto España, Trinidad y Tobago	6
June 2	Informative session on MICI to IDB Managers and Country Representatives based in the Caribbean	H.Q. Washington, D.C.	10
June 16	Informative session on MICI during Orientation Seminar to Summer Term Interns	H.Q. Washington, D.C.	20
June 24	Presentation on MICI during Second Orientation Session for New Employees and Members of the Board of Executive Directors	H.Q. Washington, D.C.	40
August 28	Presentation on MICI's work to Personnel of the Opportunities for the Majority (OMJ) Unit	H.Q. Washington, D.C.	15
September 1	Presentation on MICI's work to the Social Sector (SCL) Department staff	H.Q. Washington, D.C.	11
October 13	Presentation on MICI's work to the Management of Institutions for Development Department (IFD)	H.Q. Washington, D.C.	15
October 15	Presentation on MICI for new Members of the Board of Executive Directors during the Orientation Seminar: Risk Management	H.Q. Washington, D.C.	24
October 20	Presentation on MICI during Orientation Session for New Employees and Members of the Board of Executive Directors: Risks in Project Management	H.Q. Washington, D.C.	53
November 16	Informative session on MICI to country office staff in Argentina	Buenos Aires, Argentina	25
Total Participants in dissemination activities geared toward IDB staff			388

ANNEX 2. REQUESTS RECEIVED DURING 2010 - 2015



2015

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-CO-2015-096	COLOMBIA. Porce III Hydroelectric Power Plant (CO-L1005)	November 2015	Registered Not Eligible	Open. In process (waiting for Management response)
MICI-AU-2015-095	n/a	September 2015	Not registered	Not within MICI's mandate; Exclusion 19(a), not related with an Operation.
MICI-PE-2015-094	PERU. Rural Land Titling & Registration Project in Peru (PE-L1026)	August 2015	Registered Consultation	Open. Eligibility determination in process.
MICI-BR-2015-093	BRAZIL. Blumenau's Sustainable Urban Mobility Program (Program BID-Blumenau) (BR-L1272)	August 2015	Registered Not Eligible	Closed There is no clear link between harm and operation, nor was there any prior contact with Management
MICI-ES-2015-092	EL SALVADOR. Program to Support Production Development for International Integration (ES-L1057)	July 2015	Not registered	Not within MICI's mandate; Exclusion 19(a), transferred to Procurement Office
MICI-CO-2015-091	COLOMBIA. Bayport Colombia: Financial Inclusion for BOP Public Employees (CO-L1147)	July 2015	Registered Not Eligible	Closed There is no clear link between harm and operation, nor was there any prior contact with Management
MICI-BR-2015-090	BRAZIL. Mario Covas Rodoanel Project - Northern Section (BR-L1296)	April 2015	Not registered	Information not completed after 10 business days
MICI-HA-2015-089	HAITI. Productive Infrastructure Program III (HA-L1091)	February 2015	Not registered	Insufficient information for the analysis
MICI-BR-2015-088	BRAZIL. Mario Covas Rodoanel Project - Northern Section (BR-L1296)	February 2015	Not registered	Not within MICI's mandate; Exclusion 19(a), transferred to the Office of Institutional Integrity (OII)
MICI-SU-2015-087	SURINAME. Support to Improve Sustainability of the Electricity Service (SU-L1009)	February 2015	Not registered	Not within MICI's mandate; Exclusion 19(a), transferred to Procurement Office
MICI-PR-2015-086	PARAGUAY. Transmission System Support in Paraguay Project (PR-L1058)	January 2015	Not registered	Not within MICI's mandate; Exclusion 19(a), transferred to the Office of Institutional Integrity (OII)
MICI-CO-2015-085	COLOMBIA. Strategic Public Transportation Systems (SETP) (CO-L1091)	January 2015	Not registered	Request for information

2014

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/PHASE	STATUS/COMMENT
MICI-AR-2015-084	ARGENTINA. Solid Waste Management Program for Touristic Municipalities (AR-L1025)	December 2014	Not registered	Not within MICI's mandate; Exclusion 19(a), transferred to the Office of Institutional Integrity (OI)
MICI-BR-2014-083	BRAZIL. Estrada Nova Watershed Sanitation Program (PROMABEN)	September 2014	Not registered	CLOSED
MICI-BR-2014-082	BRAZIL. Integrated Urban Development and Social Inclusion Program of Arancaju	August 2014	Not registered	CLOSED
MICI-AR-2014-081	ARGENTINA. Road Safety and Urban Mobility Program	August 2014	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-ME-2014-080	MEXICO. Etleno XXI	May 2014	Consultation	CLOSED
MICI-BO-2014-079	BOLIVIA. La Paz Storm Drainage Program II	March 2014	Consultation	CLOSED
			Compliance Review Phase	OPEN
MICI-BR-2014-078	BRAZIL. Sustainable Mobility in Blumenau Program	March 2014	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-HO-2014-077	HONDURAS. Loan for Dinant Corporation S.A. of C.V.	January 2014	Not registered	Not within MICI's mandate; transferred to IIC Legal Unit

2013

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-BR-2013-076	BRAZIL. Drainage, Sanitation, and Roads: Belém	December 2013	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-GU-2013-075	GUATEMALA. Rural Economic Development	December 2013	Not registered	The Requester asked for the process to be halted, as Requester is in dialogue with Management
MICI-GY-2013-074	GUYANA. Solid Waste Management – Georgetown	December 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-ME-2013-073	MEXICO. Etileno XXI	November 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-TT-2013-072	TRINIDAD & TOBAGO. Invitation to tender for EDMS systems	November 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-CO-2013-071	COLOMBIA. Barranquilla District – Comprehensive Neighborhood Improvement	November 2013	Not registered	Request for information
MICI-AR-2013-070	ARGENTINA. Sustainable Environmental Urban Management Program for the Reconquista River Basin – Province of Buenos Aires	October 2013	Consultation	CLOSED
MICI-SU-2013-069	SURINAME. Sustainable Development of the Interior	October 2013	Consultation	CLOSED
MICI-BR-2013-068	BRAZIL. Sustainable Mobility in Blumenau Program	August 2013	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-EC-2013-067	ECUADOR. Modernization National Civil Registration, Identification, Documentation System	August 2013	Not registered	Request for information transferred to the Public Information Center
MICI-AR-2013-066	ARGENTINA. Solid Waste Management Program for Touristic Municipalities	August 2013	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-AR-2013-065	ARGENTINA. Sustainable Environmental Urban Management Program for the Reconquista River Basin	July 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-XX-2013-064	IDB-IDEAS Competition	July 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit

2013

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-UR-2013-063	URUGUAY. Montevideo Urban Transportation Program	July 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-CO-2013-062	COLOMBIA. San Francisco-Mocoa Alternate Road Construction Project – Phase I	June 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-AR-2013-061	ARGENTINA. Northern Provinces Development Program: Water and sanitation – infrastructure	June 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-PN-2013-060	PANAMA. Rural Electrification Program	May 2013	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-ME-2013-059	n/a	May 2013	Not registered	Unrelated to IDB operations
MICI-PN-2013-058	PANAMA. Pando-Monte Lirio Hydroelectric Power Project	April 2013	Not registered	Request for information
MICI-TT-2013-057	TRINIDAD & TOBAGO. Infrastructure Refurbishment Program	March 2013	Not registered	Not within MICI's mandate
MICI-CO-2013-056	COLOMBIA. San Francisco-Mocoa Alternate Road Construction Project	February 2013	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-AR-2013-055	ARGENTINA. Neighborhood Upgrading Program II (PROMEBA II)	January 2013	Not registered	Not within MICI's mandate

2012

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-JA-2012-054	JAMAICA. Agricultural Competitiveness Program	December 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-ME-2012-053	MEXICO. Mareña Renovables Wind Project	December 2012	Consultation	CLOSED
	ME-MICI002-2012		Compliance Review Phase	OPEN
MICI-BR-2012-052	BRAZIL. Brazil - São José dos Campos Urban Structuring Program	December 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-HO-2012-051	HONDURAS. Indigenous and Afro-Honduran Peoples and Climate Change	November 2012	Not registered	Request for information
MICI-AR-2012-050	ARGENTINA. Water Infrastructure: Northern Provinces Development Program	November 2012	Not registered	Request for information
MICI-BR-2012-049	BRAZIL. Mário Covas Rodoanel - Northern Section 2	November 2012	Not registered	Request for information
MICI-ME-2012-048	MEXICO. Request for Bank information from Supreme Audit Office	October 2012	Not registered	Request for information
MICI-UR-2012-047	URUGUAY. Montevideo Urban Transportation Program	October 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-ME-2012-046	MEXICO. Mareña Renovables Wind Project	October 2012	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-CO-2012-045	COLOMBIA. Strategic Transport System	September 2012	Not registered	Request for information
MICI-CO-2012-044	COLOMBIA. San Francisco-Mocoa Alternate Road Construction Project - Phase I	July 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-BR-2012-043	BRAZIL. Estrada Real - Network of Tourism SMEs Mina Gerais State	July 2012	Not registered	Request for information
MICI-PE-2012-042	PERU. Esquema Cajamarquilla, Nievería y Cerro Camote	June 2012	Not registered	Request for information
MICI-PE-2012-041	PERU. Esquema Cajamarquilla, Nievería y Cerro Camote	May 2012	Not registered	Request for information
MICI-BR-2012-040	BRAZIL. Santa Catarina Logistics Infrastructure Program	April 2012	Not registered	Request for contact with Management
MICI-TT-2012-039	TRINIDAD & TOBAGO. CariSal Unlimited	February 2012	Not registered	Request for information
MICI-BR-2012-038	BRAZIL. Estrada Nova Watershed Sanitation Program (PROMABEN)	February 2012	Not registered	Request for information
MICI-BO-2012-037	BOLIVIA. CRIAR Project preparation	January 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-CO-2012-036	COLOMBIA. Rural Water Supply and Wastewater Management Program	January 2012	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-AR-2012-035	ARGENTINA. Food and Agriculture Health and Quality Management Program AR-MICI004-2012	January 2012	Consultation	CLOSED

2011

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-BR-2011-034	BRAZIL. Mário Covas Rodoanel – Northern Section	October 2011	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-AR-2011-033	Argentina. PROSAP	October 2011	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-RD-2011-032	DOMINICAN REPUBLIC. Boulevard Turístico del Atlántico	October 2011	Not registered	Not within MICI's mandate; transferred to relevant IDB unit
MICI-PN-2011-031	PANAMA. Panama Canal Expansion PN-MIC1002-2011	October 2011	Consultation	Terminated and transferred to Compliance Review Phase
			Compliance Review Phase	CLOSED
MICI-CO-2011-030	COLOMBIA. Strategic Public Transportation Systems Program	October 2011	Not registered	Request for information
MICI-CR-2011-029	COSTA RICA. Cadastral and Property Registry Regularization Program	October 2011	Not registered	Withdrawn by Requester
MICI-BR-2011-026 MICI-BR-2011-027 MICI-BR-2011-028	BRAZIL. Mário Covas Rodoanel – Northern Section	September 2011	Not registered	After initial notification, none of the Requesters responded to the Mechanism's communications
MICI-CO-2011-025	COLOMBIA. Rural Water Supply and Sanitation	September 2011	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-BO-2011-024	BOLIVIA. Santa Barbara-Rurrenabaque Northern Corridor Highway Improvement Program BO-MIC1001-2011	September 2011	Consultation	Request incorporated into Request No. 13
			Compliance Review Phase	
MICI-CO-2011-023	COLOMBIA. El Dorado International Airport CO-MIC1002-2011	August 2011	Consultation	CLOSED
			Compliance Review Phase	OPEN
MICI-BR-2011-022	BRAZIL. Mário Covas Rodoanel – Northern Section BR-MIC1005-2011	July 2011	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-CO-2011-021	COLOMBIA. San Francisco-Mocoa Alternate Road Construction Project – Phase I CO-MIC1001-2011	July 2011	Consultation	CLOSED
			Compliance Review Phase	CLOSED

2011

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-BR-2011-020	BRAZIL. Brazil - São José dos Campos Urban Structuring Program BR-MICIO06-2011	June 2011	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-BR-2011-019	BRAZIL. Low-Income Neighborhood Improvement Program (Habitar Brasil) BR-MICIO04-2011	June 2011	Consultation	OPEN Monitoring
MICI-CO-2011-018	COLOMBIA. Training Program for Women Heads of Household	June 2011	Not registered	Request for information
MICI-ME-2011-017	MEXICO. Termoeléctrica del Golfo ME-MICIO01-2011	June 2011	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-AR-2011-016	ARGENTINA. Programa Nacional 700 Escuelas		Not registered	Not within MICI's mandate; transferred to relevant IDB unit
		May 2011		
MICI-BR-2011-015	BRAZIL. Mário Covas Rodoanel - Northern Section BR-MICIO03-2011		Consultation	CLOSED
		May 2011	Compliance Review Phase	CLOSED
MICI-PN-2011-014	PANAMA. Panama Canal Expansion		Not registered	No prior contact with IDB; Requester was advised to approach Management
		May 2011		
MICI-BO-2011-013	BOLIVIA. Northern Corridor Highway Improvement Program - Santa Barbara-Rurrenabaque and San Buenaventura BO-MICIO01-2011		Consultation	CLOSED
		March 2011	Compliance Review Phase	CLOSED
MICI-VE-2011-012	Venezuela. Kreadanza	February 2011	Not registered	Not within MICI's mandate; transferred to relevant IDB unit

2010

REQUEST REGISTRY NUMBER	NAME	DATE RECEIVED	ACTION/ PHASE	STATUS/COMMENT
MICI-SU-2010-011	SURINAME. Sustainable Development of the Interior	December 2010	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-CR-2010-010	COSTA RICA. Electric Interconnection System for the Central American Countries (SIEPAC) CR-MICIO01-2011	December 2010	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-AR-2010-009	ARGENTINA. Neighborhood Upgrading Program II (PROMEBA II) AR-MICIO03-2010	November 2010	Consultation	CLOSED
MICI-PR-2010-008	PARAGUAY. Program to Improve Highway Corridors in Paraguay PR-MICIO02-2010	November 2010	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-AR-2010-007	ARGENTINA. Provincial Agricultural Services II (PROSAP II) AR-MICIO02-2010	November 2010	Consultation	CLOSED
MICI-BR-2010-006	BRAZIL. Mário Covas Rodoanel - Western Section	October 2010	Not registered	No prior contact with IDB; Requester was advised to approach Management
MICI-BR-2010-005	BRAZIL. Estrada Nova Watershed Sanitation Program (PROMABEN) BR-MICIO02-2010	October 2010	Consultation	CLOSED
MICI-AR-2010-004	ARGENTINA. Multiphase Program for the Development of Production Support Infrastructure in Entre Ríos AR-MICIO01-2010	June 2010	Consultation	OPEN Monitoring
MICI-BR-2010-003	BRAZIL. Serra do Mar and Atlantic Forest Mosaics System Socioenvironmental Recovery Program BR-MICIO01-2010	May 2010	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-PN-2010-002	PANAMA. Pando-Monte Lirio Hydroelectric Power Project PN-MICIO01-2010	March 2010	Consultation	CLOSED
			Compliance Review Phase	CLOSED
MICI-PR-2010-001	PARAGUAY. Development of the Vegetable Sponge Products Industry PR-MICIO01-2010	February 2010	Consultation	CLOSED

* The first 83 Requests were received under the 2010 Policy, and as of Request 84 onwards, under the 2014 Policy.



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