

Technical Cooperation Document

I. Basic Information

▪ Country/Region:	Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, and Bolivia
▪ TC Name:	Green Climate Fund Readiness Support for Central America and Bolivia
▪ TC Number:	RG-X1255
▪ Associated Loan/Guarantee Name:	N/A
▪ Associated Loan/Guarantee Number:	N/A
▪ Team Leader/Members:	Gloria Visconti, Team Leader (INE/CCS); Mateo Salomon; Sandra López, and Mariana Hernández (INE/CCS); Jorge Omar Samayoa (CCS/CGU); Miguel Aldaz (ORP/PTR); Escarlata Baza; and Virginia Franzini (LEG/SGO); and Alejandro Stamoglou (ORP/GCM)
▪ Taxonomy:	Research and Dissemination
▪ Date of TC Abstract authorization:	N/A
▪ Beneficiary:	Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, and Bolivia
▪ Executing Agency:	Inter-American Development Bank (IDB)
▪ Donors providing funding:	Nordic Development Fund (NDF)
▪ IDB Funding Requested:	US\$560,000 ¹
▪ Local counterpart funding:	US\$70,000
▪ Disbursement period:	36 months (execution period: 30 months)
▪ Required start date:	October 2015
▪ Types of consultants :	Firm and individual consultants
▪ Prepared by Unit:	Climate Change and Sustainability Division (INE/CCS)
▪ Unit of Disbursement Responsibility:	Infrastructure and Environment Sector (INE)
▪ TC Included in Country Strategy (Y/N):	Yes
▪ TC included in CPD (Y/N):	N/A
▪ GCI-9 Sector Priority:	Protect the environment, respond to climate change and promote renewable energy and food security

II. Description of the Associated Loan/Guarantee

- 2.1 The Inter-American Development Bank (IDB) Climate Change Action Plan (2012 -2015) establishes that climate finance is a strategic line of action to strengthening knowledge, institutional capacity and promoting low carbon and climate resilient interventions. The IDB, through an effort co-lead by ORP and CCS, has recently been accredited by the Green Climate Fund (GCF) as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. The Bank has been working on the preparation of project funding proposals and other readiness activities to be submitted to the GCF. The IDB is also preparing another Technical Cooperation (TC) on GCF readiness in different Latin American and the Caribbean countries (RG-T2638); the information and knowledge sharing component will be developed in synergy with the TC RG-T2638 for an optimal use of resources and information sharing.

III. Objectives and Justification of the TC

¹ Equivalent to EUR 500,000 approved by NDF. Exchange rate as June 12th 2015: EUR 1 = US\$1.126.

- 3.1 The objective of this Technical Cooperation is to support Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, and Bolivia² in preparing to, and facilitating the access to resources from the GCF, to enable and catalyze climate investments towards, low carbon, climate resilient technology and infrastructure (natural and physical), as well as to conserve essential natural resources which provide key local and global services.
- 3.2 The GCF is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects and the TC is focused to cover this gap. The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 3.3 This TC will build a strategic pipeline of projects for the GCF and other source of climate finance and will contribute with IDB's Climate Change Action Plan and the Ninth General Capital Increase in particular as it will "assist borrowing countries in climate change mitigation and adaptation issues, sustainable and renewable energy, and environmental sustainability". Furthermore, the TC will strengthen South-South learning among Central America Countries and Bolivia in different areas related to the Fund.
- 3.4 The TC is aligned with the IDB Country Strategy with Guatemala 2012-2016 (GN-2689), which prioritizes work in the crosscutting areas of climate change adaptation and mitigation, natural disaster impact mitigation, indigenous peoples and gender; also regional integration promotion. The TC is aligned with the IDB Country Strategy with Honduras 2012-2016 (GN-2796), that has two crosscutting sectors: (i) gender focus and development with identity; and (ii) climate change and disaster risk management. The TC is aligned with the IDB Country Strategy with Nicaragua 2012-2017 (GN-2683), which indicates that the strategy sectors: (i) energy; (ii) transportation; and (iii) health will take into account gender, diversity and climate change vulnerability reduction, mitigation and adaptation. The TC is aligned with the IDB Country Strategy with Bolivia 2011-2015 (GN-2631) that focuses on ensuring that the topics of adaptation to the effects of climate change and mitigation of the damage already caused are integrated into the design of operations in the transport, education, water and sanitation, and energy sectors. The TC is aligned with IDB Country Strategy with Costa Rica 2011-2014 (GN-2726)³, which prioritizes climate change mitigation and adaptation measures in the energy sector - hydroelectric and other renewable projects- and also intervention in transportation activities. The TC is also aligned with the IDB Country Strategy with El Salvador 2015-2019⁴, that has climate change as a crosscutting sector.

IV. Description of activities/components and budget

- 4.1 The IDB GCF support for Central America countries and Bolivia will offer tailored technical support to prepare and facilitate the access to GCF resources, based on their specific needs. The TC includes four main components:

² The beneficiary countries have been identified by the Nordic Development Fund, that is supporting this TC, according to their geographical mandate.

³ Valid until 2015.

⁴ Under approval.

- 4.2 **Component 1: Information and knowledge sharing (US\$122,000).** This component will facilitate the access to information and knowledge on the specificity of the GCF to Bolivia and Central American countries and potential project developers. At the national level, support will be provided to the National Designated Authorities (NDAs) in sharing information on GCF opportunities and requirements, to a diverse range of stakeholders, through workshops and the preparation of relevant materials, i.e. different institutions at government level, private sector representatives, local financial institutions and NGOs. At regional, sub-regional, and national level, workshops will be organized and conducted in close coordination with countries NDAs to share early experiences on project prioritization and formulation with the GCF. The workshops will also highlight innovative financial instruments, policy, and schemes (such as risk transfer mechanisms, public private partnerships, focalization of subsidies, and results-based payments) that are relevant for the structuring of climate projects for the GCF. As a result, a diverse range of stakeholders from Bolivia and Central American countries will have a better understanding of the opportunities and requirements of the GCF.
- 4.3 **Component 2: Support to national entities for accreditation to the GCF (US\$81,000).** The project will provide on-demand support to national entities seeking accreditation to the GCF to assess their compliance with the requirements of the GCF and establish road maps for the accreditation process.
- 4.4 **Component 3: Identification and prioritization of projects (US\$115,000).** The third component will support Bolivia and Central American countries in identifying, and prioritizing projects to be submitted to the GCF. Based on ad hoc diagnostic analysis or existing assessments performed for, among others, the National Climate Change Communications (NCCs), the Biennial Update Reports (BURs), Intended National Determined Contributions (INDCs) and Nationally Appropriate Mitigations Actions (NAMAs), the project will provide on-demand support to countries to identify and prioritize a pipeline of projects for the GCF. These activities will also serve as basis for looking at the potential to create synergies with the IDB project pipeline. These activities will be developed in close coordination with the countries NDAs and relevant stakeholders. The result of this component will be a prioritized pipeline of projects to be presented to the GCF.
- 4.5 **Component 4: Detailed design of projects (US\$174,000).** This component will provide technical support to Bolivia and Central American countries in developing prioritized projects in their GCF pipeline into full project proposals to be presented to the GCF. Assistance will be provided to ensure that the proposed projects respond to the criteria of the GCF and that they can produce a strong transformational impact towards a low carbon and climate resilient development path. Participating Central American countries and Bolivia will benefit from the wealth of experience of the IDB in structuring climate finance projects and applying innovative and efficient financial mechanisms. As a result, the TC beneficiaries will be able to access the GCF, know about leveraging climate finance and scaling-up climate investments.

Indicative Results Matrix

Component	Outcome	Outputs	Unit	Base line	Year 1	Year 2	Year 3	Target
Component 1: Information	Increase awareness and	1.1 Organization and conduction of National and	# of workshops	0	1	1	1	3

Component	Outcome	Outputs	Unit	Base line	Year 1	Year 2	Year 3	Target
and Knowledge Sharing	understanding of opportunities and requirements of the GCF to national stakeholders ⁵	Regional workshops on the GCF, in coordination with NDAs						
Component 2: Support to national entities for accreditation to the GCF	Increased understanding of requirements and gaps towards GCF accreditation of national entities	2.1 Gap analysis of Environmental and Social Standards and Fiduciary Standards for the GCF accreditation requirements	# of gap analysis for potential ⁶ national implementation entities for the GCF	0	2	0	0	2
		2.2 Roadmap of actions required to meet gaps identified in output 2.1	# of roadmaps for potential ⁷ national implementation entities for the GCF	0	2	0	0	2
Component 3: Identification and Prioritization of projects	Increased investment opportunities at national level that can potentially access GCF financial resources	3.1 Identification and prioritization of pipeline of projects that comply with GCF requirements	# of pipelines	0	3	3	0	6
Component 4: Detailed design of Projects	Full GCF proposal designed and submitted	4.1 Support to the preparation of feasibility studies associated with potential GCF full project proposals	# of feasibility studies	0	1	1	0	2
		4.2 Technical support to participant countries in preparing full GCF project proposals	# of full project proposals	0	2	2	2	6 ⁸

⁵ National stakeholders include institutions at government level, NGOs, private sector representatives and local financial institutions.

⁶ Examples of potential entities are national development banks, national NGOs, national financial institutions. The final accreditation assessment is done by the GCF Secretariat and the GCF Accreditation independent panel.

⁷ Idem.

⁸ One per participating country.

Indicative Budget US\$

Activity/Component	PSG Funding	Counterpart Funding*	Total Fund
Component 1: Information and Knowledge Sharing	\$122,000	\$25,000	\$147,000
Component 2: Support to national entities for accreditation to the GCF	\$81,000	0	\$81,000
Component 3: Identification and Prioritization of projects	\$115,000	\$25,000	\$140,000
Component 4: Detailed design of Projects	\$174,000	\$20,000	\$194,000
Execution, monitoring and evaluation	\$40,000	0	\$40,000
Fee 5%	\$28,000	0	\$28,000
Total	\$560,000	\$70,000	\$630,000

*In kind contribution: human resources within the NDA.

4.6 NDF is expected to commit EUR 500,000 to this project, which is equivalent to US\$560,000, based on the exchange rate as of June 12, 2015. The final amount of resources in U.S. dollars will depend on the exchange rate applied on the date upon which the resources are received by the IDB from NDF in euros and converted into U.S. dollars, pursuant to the terms of the administration agreement to be entered into between NDF and the IDB, as further detailed below. If a significant adverse movement in exchange rates reduces the amount of U.S. dollars contemplated in this budget from NDF's contribution, the activities contemplated in the project will be decreased appropriately and the budget will be adjusted accordingly by the project team.

4.7 Financial resources for this project to be received from NDF will be provided to the IDB through a Project Specific Grant (PSG). A PSG is administered by the IDB according to the "Report on COFABS, Ad-Hocs and CLFGS and a Proposal to Unify Them as Project Specific Grants (PSGs)" (Document SC-114). As contemplated in these procedures, and in the "Cooperation Agreement between NDF and the IDB for the Cofinancing of Programs and Projects", dated as of October 2, 1994 and amended and restated as of January 26, 2010 (Article IV, Section 6), the commitment from NDF will be established through a separate "Administration Agreement" between NDF and the IDB. Under such Administration Agreement, the resources for this project will be administered by the IDB and the IDB will charge an administration fee of 5% of the contribution, which is duly identified in the budget for this project. The 5% administration fee will be charged after the contribution has been converted into U.S. dollars. The resources of the contribution will be available once the Administration Agreement is signed by NDF and the IDB, and the contribution funds are received by the IDB and converted into U.S. dollars.

V. Executing agency and execution structure

5.1 NDF has elected the IDB as executing entity of GCF readiness resources for Central America and Bolivia. INE/CCS, in its role as technical focal point for GCF and other climate funds, is leading GCF related activities in close cooperation with other Divisions and Departments of the Bank. Activities under TC components 3 and 4 will require a close involvement of INE operational Divisions as well as other Departments of the Bank.

5.2 Prior to the initiation of the project activities, the Bank will obtain the corresponding no objection from each of the beneficiary countries.

- 5.3 The project team will be responsible for the preparation of the project reporting in compliance with the stipulation of the Administration Agreement in coordination with the Office of Outreach and Partnerships (ORP/GCM).
- 5.4 If at the end of project execution the project was closed with a positive uncommitted and unspent balance, the project team will be responsible for informing ORP/GCM to transfer the unspent balance as agreed to by the NDF and the Bank pursuant to the terms of the PSG Administration Agreement.
- 5.5 The Bank will contract individual consultants, consulting firms and non-consulting services in accordance with current Bank's procurement policies and procedures.

VI. Major issues

- 6.1 The main risk of this TC is that those projects prioritized and submitted to the GCF are not approved. The activities of the TC seek to mitigate that risk by ensuring that the submitted proposals comply with the GCF criteria and are well designed taking advantage of the existent expertise of IDB operational Divisions. An additional risk is the increased competition and multiple channels to access the GCF. The TC will promote the capacity and added value of the IDB and support the positioning of the Bank as a relevant partner for the GCF and LAC countries.

VII. Exceptions to Bank policy

- 7.1 No exceptions to Bank policy are envisioned.

VIII. Environmental and Social Strategy

- 8.1 It is not anticipated that the activities of this TC will have negative direct social or environmental impacts, as it classified as Category "C" per the Environment and Safeguards Compliance Policy (OP-703) ([Safeguard Screening Form](#) / [Safeguard Policy Filter Report](#)). Projects supported by this TC and submitted to the GCF will have their own environmental and social screening and classification.

IX. Required Annexes:

- [Letter of Request from the countries](#)
- [Terms of Reference](#)
- [Procurement Plan](#)

Approved: Original Signed

Date: 10/08/2015

Amal-Lee Amin
Climate Change and Sustainability Division Chief



25 SEP 2015

San Salvador, 18 Septiembre de 2015
STPP-UCF-062-2015

Señor
Marco Carlo Nicola
Representante
Banco Interamericano de Desarrollo - BID
Presente

Estimado Señor Nicola:

Tengo el agrado de dirigirme a Usted en ocasión de hacer referencia a la nota CES-1976/2015 de fecha 16 de septiembre del corriente en la que se solicita la no objeción de esta Secretaría para la aprobación de la cooperación técnica regional del proyecto "Apoyo de actividades de preparación para el Fondo Verde para el Clima en Centroamérica y Bolivia RG-X1255", a ser ejecutada por el BID y la cual se prevé sea financiada a través del Fondo Nórdico para el Desarrollo.

Al respecto, expresamos nuestra no objeción para la aprobación de dicha cooperación técnica que tiene como fin, preparar al país para acceder a los recursos del Fondo Verde para el Clima que permitan catalizar inversiones resilientes al clima y bajas en emisiones, y en la cual se contempla realizar actividades de fortalecimiento de capacidades, acceso a la información y conocimiento sobre las oportunidades, procesos y requerimientos del Fondo a las autoridades nacionales designadas del Fondo y a otros actores relevantes.

Agradecemos al BID por apoyar al Gobierno de El Salvador en sus esfuerzos por transitar hacia una economía y una sociedad ambientalmente sustentables y resilientes a los efectos del cambio climático.

Sin otro particular me suscribo, no sin antes reiterarle las muestras de mi más alta consideración y estima.



Secretario Técnico y de Planificación

Francisco Roberto Lorenzana

Secretario Técnico y de Planificación de la Presidencia

Cc.
Lic. Jaime Alfredo Miranda, Viceministro de Cooperación para el Desarrollo, Ministerio de Relaciones Exteriores de El Salvador.
Sra. Amal-Lee Amin, Jefe de división, División de Cambio Climático y Sostenibilidad (INE/CCS)

07 de octubre del 2015
DCP-454-2015

B.I.D.-CR		N°:	
Comentario:			
REP		08 OCT 2015	OA
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FIN			AS
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Señor
Rodrigo Parot
Representante para Costa Rica
Banco Interamericano de Desarrollo

Asunto: Apoyo a actividades de preparación para el Fondo Verde para el clima en centro América y Bolivia.

Estimado señor:

Me refiero al oficio CID/CCR/1488/2015 enviado por su representada, en donde manifiesta brindar la asistencia técnica de preparar al país para acceder a los recursos del Fondo Verde para el Clima (GCF, por sus siglas en inglés) que permitan catalizar inversiones resilientes al clima y bajas en emisiones.

Además de realizar actividades de fortalecimiento de capacidades, acceso a la información y conocimientos sobre las oportunidades, procesos y requerimientos del Fondo a las Autoridades Nacionales designadas del GCF y a otros actores relevantes para preparar al país para acceder directamente a los recursos del GCF.

Al respecto, me permito informarle la no-objeción para realizar la cooperación técnica regional "Apoyo a actividades de preparación para el Fondo Verde para el clima en centro América y Bolivia".

Cordialmente,



Juan Carlos Quiros Solano
Director Crédito Público



AAC

MINISTERIO DE FINANZAS PUBLICAS
GUATEMALA, C. A.

001145

15 de octubre de 2015

Señor
Fernando Quevedo
Representante
Banco Interamericano de Desarrollo -BID-
Ciudad

Señor Representante:

Me dirijo a usted en atención a su comunicación Ref.: CID/CGU-2235/2015, en la cual solicita la anuencia del Gobierno de Guatemala para preparar al país para acceder a los recursos del Fondo Verde para el Clima (GCF, por sus siglas en inglés), destinado al Proyecto denominado "Apoyo a actividades de preparación para el Fondo Verde para el Clima en Centro América y Bolivia".

Al respecto, y habiendo obtenido el pronunciamiento del Ministerio de Ambiente y Recursos Naturales -MARN- (copia adjunta), en representación del Gobierno de la República de Guatemala, presento la No Objeción al financiamiento citado, a efecto que se continúe con las gestiones correspondientes para la obtención de la Cooperación Técnica citada.

Sin otro particular me suscribo de usted, atentamente,


Dorval Carías
MINISTRO DE FINANZAS PUBLICAS



Adjunto: Lo indicado

c.c.: Arq. Andreas Lehnhoff Temme, Ministro MARN

DCP/RMO/MR/MNCG
Ref.: CAU 2015-7E,706



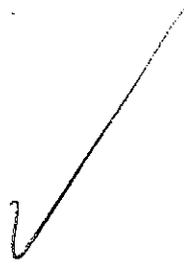
Ministro

**MINISTERIO DE AMBIENTE Y RECURSOS NATURALES
GUATEMALA, C.A.**

2015-71706
CENTRO DE ATENCIÓN AL USUARIO -CAU-
SECRETARÍA GENERAL
MINISTERIO DE FINANZAS PÚBLICAS
RECEPCIÓN VENTANILLA # 15
RECEBIDO
13 OCT 2015
Hora: 11:02 Firma:
Registro Único de Expediente: Tel.: 1576

Guatemala, 09 de Octubre de 2015
Oficio No. MI-1328-2015/ACLT-jycr

or



Señor Ministro:

Deseándole éxitos en sus actividades, de manera atenta me dirijo en referencia al oficio No. 001049, de fecha 01 de octubre de 2015, en el que solicita que este Ministerio emita pronunciamiento o comentarios si los hubiese sobre el perfil del proyecto presentado por el Banco Interamericano de Desarrollo –BID–, relacionado con la asistencia técnica que se brindará por parte del Fondo Nórdico para el Desarrollo, para preparar al país para obtener los recursos del Fondo Verde para el Clima (GCF), que permitan inversiones resilientes al clima y bajas en emisiones.

Este Despacho solicitó al Viceministerio de Recursos Naturales coordinar y realizar análisis respectivo en conjunto con las Direcciones de Cambio Climático, Unidad de Cooperación Internacional, Dirección Financiera y Dirección de Asesoría Jurídica, quienes dentro del ámbito de su competencia, emiten pronunciamiento favorable, con el fin que se continúe con la gestión correspondiente para el inicio de la ejecución de la Cooperación Técnica antes referida, la cual apoyará los esfuerzos que este Ministerio realiza en beneficio de nuestro país en temas de Cambio Climático.

· Sin otro particular y a la espera de sus noticias sobre la presente gestión,

Deferentemente,

Andreas Cord Lehnhoff Temme
Ministro de Ambiente y Recursos Naturales

DESPACHO
MINISTERIO DE FINANZAS PÚBLICAS
RECEBIDO
13 OCT 2015
HORA: 11:45 FIRMA:

Señor Ministro
Dorval Carias
Ministerio de Finanzas Públicas
Su Despacho

VICEMINISTERIO DEL AREA DE
ADMINISTRACION FINANCIERA
RECEBIDO
13 OCT 2015
HORA: 16:00 FIRMA:

c.c. Archivo
Adjunto lo indicado

20 Calle 28-58 Zona 10 Edificio MARN
PBX: 2423-0500
<http://www.marn.gob.gt>

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13 OCT 2015
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Ministerio de Finanzas Públicas
DMA: UNDA: 9:31



Banco Interamericano de Desarrollo

Representante

28 SEP 2015

HORA: 8:50

FIRMA:

Licenciado
Dorval Carías
Ministro de Finanzas Públicas
Ministerio de Finanzas Públicas
Su Despacho

DESPACHO
MINISTERIO DE FINANZAS PUBLICAS

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24 SEP 2015

CID/CGU-2235/2015

22 de septiembre de 2015

RECEPCION DE DOCUMENTOS
23 SEP 2015

Recepcion de Documentos
Ministerio de Finanzas Públicas

RMA: FIRMA: 1420

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SECRETARIA GENERAL
MINISTERIO DE FINANZAS PUBLICAS
RECEPCION VENTANILLA # 20
24 SEP 2015
HORA: FIRMA:
Registro Único de Expediente: 2015-67039
Tel.: 1578

Ref.: RG-X1255 Apoyo a actividades de preparación para el Fondo Verde para el Clima en Centro América y Bolivia. Solicitud de No-Objeción.

Estimado Señor Ministro:

Tenemos el agrado de informarle que el Banco Interamericano de Desarrollo (BID) brindará asistencia técnica con el propósito de preparar al país para acceder a los recursos del Fondo Verde para el Clima (GCF, por sus siglas en inglés), que permitan catalizar inversiones resilientes al clima y bajas en emisiones. Además, se realizarán actividades de fortalecimiento de capacidades, acceso a la información y conocimiento sobre las oportunidades, procesos y requerimientos del Fondo a las Autoridades Nacionales Designadas del GCF y a otros actores relevantes para preparar al país para acceder directamente a los recursos del GCF.

Adjunto a la presente nos permitimos enviar copia del perfil del proyecto de la cooperación técnica regional de la referencia, la cual se prevé sea financiada con recursos del Fondo Nórdico para el Desarrollo (NDF, por sus siglas en inglés) para seis países de la región. Al respecto solicitamos atentamente otorgar la no-objeción del Gobierno de Guatemala a esta operación.

La Señora Gloria Visconti, Especialista Líder de Cambio Climático y líder de equipo de la cooperación técnica en referencia, será su punto principal de contacto para esta asistencia. Por favor no dude en contactar a la Sra. Visconti ante cualquier inquietud (gloriav@iadb.org, teléfono 1+202-6233360).

Sin otro particular, aprovechamos la oportunidad para suscribirnos con las muestras de nuestra mayor consideración.

Fernando Quevedo

Anexo: Perfil de la cooperación técnica.

cc.: Arq. Andreas Cord Lehnhoff Temme, Ministro de Ambiente y Recursos Naturales - Autoridad Nacional Designada ante el Fondo Verde para el Clima (NDA)
Licda. Rosa María Ortega, Directora de Crédito Público - Ministerio de Finanzas Públicas

DGCP-DEN-433/2015

Tegucigalpa, M.D.C., 20 de octubre de 2015

Señor
RAFAEL MILLÁN
Representante a.i. en Honduras
Banco Interamericano de Desarrollo (BID)
Su Despacho

Estimado Señor Millán:

Tengo el agrado de dirigirme a Usted, en atención al **Oficio CID/CHO/2413/2015** de fecha 12 de octubre del presente año mediante el cual solicita la No Objeción de esta Secretaría a la Cooperación Técnica Regional del Banco Interamericano de Desarrollo (BID), **“Apoyo a las actividades de preparación del Fondo Verde para el Clima en Centro América y Bolivia”**, hasta por un monto de **US\$560,000.00**, recursos provenientes del Fondo Nórdico para el Desarrollo (NDF).

Es entendido que dicha Cooperación tiene como objetivo primordial apoyar a Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica y Bolivia para que puedan acceder a recursos del Fondo Verde para el Clima (GCF) que permitirán catalizar inversiones resilientes al clima y bajas en emisiones de carbono.

En vista de lo anterior la Secretaría de Finanzas manifiesta su No Objeción a la Cooperación Técnica antes mencionada, resaltando que esta operación no contempla ningún compromiso de tipo financiero por parte del Gobierno de la República de Honduras para su ejecución.

Atentamente,



ROCIO IZABEL TABORA
Sub-Secretaría de Crédito e Inversión Pública

CAD/JRU/FKL

Tegucigalpa, .M.D.C., 12 de febrero de 2016

OFICIO DGIP - 016 - 2016

Licenciada
Mirna Lievano de Marques
Representante Banco Interamericano de Desarrollo
BID – HONDURAS
Su Oficina

Estimada Señora Representante:

Como es de su conocimiento Honduras es uno de los países más vulnerables a fenómenos asociados al clima y ha sido fuertemente afectado por los mismos durante las últimas décadas, situación que se presume será exacerbada por el cambio climático. Ante este escenario, el Gobierno de Honduras se encuentra preparando una estrategia para manejar los riesgos a desastres naturales y cambio climático, contando con un Plan de Acción concreto y operacional en una Hoja de Ruta para la Movilización de Financiamiento Climático, expuesto en el Oficio DGIP-204-2015.

La Secretaría de Estado en el Despacho de Finanzas, en nombre y representación del Gobierno de Honduras formalmente emite su No Objeción con respecto a la concretización de la Cooperación Técnica Regional No Reembolsable RG-X1255 aprobada mediante Oficio No. CID/CHO/2014/2015, para dar inicio con las gestiones correspondientes para la contratación de una Consultoría, con la finalidad de contar con un Marco Conceptual Nacional de iniciativas de proyectos de inversión pública por sectores en los temas de Adaptación y Mitigación frente al Cambio Climático a ser presentado ante el Fondo Verde del Clima (FVC), como parte del proceso de acreditación que esta Secretaría realiza como Entidad Nacional Implementadora ante dicho Fondo.

Adicionalmente, se subraya que la prioridad de esta operación se justifica en la necesidad de contar con un portafolio robusto de proyectos que recopile las brechas de inversión en esta temática, dada la trascendencia estratégica que esto significa para la Secretaría de Finanzas en liderar dicho proceso.

Agradezco su atención y aprovecho para manifestarle mis más altas muestras de consideración y estima.



ROCIO IZABEL TABORA
Subsecretaría de Crédito e Inversión Pública

c/c. Señor Rafael Millán / Jefe de Operaciones BID Honduras



Gobierno de Reconciliación
y Unidad Nacional

El Pueblo, Presidente!

2015
Vamos Adelante!

Managua, 17 de Noviembre de 2015
MHCP-DM-E-1164-11-15

Señor
Carlos Melo
Representante en Nicaragua
Banco Interamericano de Desarrollo

Ref. Solicitud de Cooperaciones Técnicas y
No objeción de este Ministerio para que el BID
ejecute dichas operaciones.

Estimado Señor **Melo**:

Tengo el agrado de dirigirme a Usted para solicitar al Banco Interamericano de Desarrollo las cooperaciones técnicas listadas en el anexo adjunto, las cuales apoyarán diversas áreas relevantes para el desarrollo nacional.

Asimismo, hago de su conocimiento que este Ministerio no tiene objeción a que el Banco Interamericano de Desarrollo ejecute directamente dichas cooperaciones técnicas, a través de las cuales se financiarán contrataciones de expertos individuales y/o firmas consultoras en las áreas correspondientes a cada operación.

Sin más a que referirme, aprovecho la ocasión para expresarle las muestras de mi especial consideración.



Meyling Dolmis
Ministra por la Ley.

Cc:
Cro. Ivan Acosta Montalván
Cro. José Adrián Chavarria
Cro. Uriel Pérez
Archivo

Ministerio de Hacienda y Crédito Público
Secretario de Administración Financiera-Viceministro
Director General de Crédito Público

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Listado de Cooperaciones Técnicas 2015 - Nicaragua

Operación	Nombre	Monto (US\$ miles)	Fuente de Financiación
NI-T1213	Apoyo a la Gestión de la Cartera	200,000.	Programa de Países Pequeños y Vulnerables (SVC), Ventanilla 1
NI-T1214	Diseño de una estrategia de riego en Nicaragua	300,000.	Programa de Países Pequeños y Vulnerables (SVC), Ventanilla 1
NI-T1215	Diagnóstico del Sector Turismo en Nicaragua	500,000.	Programa de Países Pequeños y Vulnerables (SVC), Ventanilla 1
NI-T1216	Fomento de la productividad en Nicaragua	500,000.	Programa de Países Pequeños y Vulnerables (SVC), Ventanilla 1
NI-T1223	Mecanismos de financiamiento de la demanda de vivienda y fomento de la producción habitacional de interés social	242,092.	Programa de Países Pequeños y Vulnerables (SVC), Ventanilla 1
NI-T1193	Apoyo a la Reducción de la Desnutrición Crónica en la Niñez	300,000.	Fondo Social
RG-X1255	Apoyo a actividades de preparación para el Fondo Verde para el Clima en Centro América y Bolivia	560,000*	Fondo Verde para el Clima



Estado Plurinacional de Bolivia
Ministerio de Planificación del Desarrollo
Viceministerio de Inversión Pública y Financiamiento Externo

La Paz, 27 NOV 2015
MPD/VIPFE/UNF-000312/2015
010301

Señor
Héctor Malarín
REPRESENTANTE EN BOLIVIA
BANCO INTERAMERICANO DE DESARROLLO
Presente.



**Ref.: FONDO VERDE PARA EL CLIMA - SOLICITUD
DE NO OBJECION APOYO ACTIVIDADES DE
PREPARACION**

De mi consideración:

Por medio de la presente, hago referencia a la nota CAN/CBO/CA-3103/2015, del Banco Interamericano de Desarrollo, a través de la cual solicita la no objeción para la ejecución del proyecto de cooperación técnica regional financiado con recursos del Fondo Nórdico para el Desarrollo (NDF, por sus siglas en inglés) que permitirá preparar a seis países de la región incluido Bolivia en el acceso de recursos del Fondo Verde para el Clima (GCF por sus siglas en inglés) en materia de inversiones resilientes y bajas en emisión.

Al respecto, esta Cartera de Estado, no tiene objeción en la ejecución del proyecto de cooperación técnica regional mencionado. Asimismo, se adjunta criterios de la entidad cabeza de sector.

Sin otro particular, saludo a usted atentamente.

Adj. Fjs. N°1


René Gonzalo Orellana Halkyer
MINISTRO DE PLANIFICACIÓN
DEL DESARROLLO



Estado Plurinacional de Bolivia



MMAyA
Ministerio de Medio Ambiente y Agua

La Paz, 19 NOV 2015

MMAYA/DESPACHO N°



Señor
Harley Rodríguez Téllez
Viceministro de Inversión Pública y Financiamiento Externo
MINISTERIO DE PLANIFICACIÓN DEL DESARROLLO
Presente.-

**REF.: CRITERIO SECTORIAL A PROYECTO "RG-X1255
APOYO A ACTIVIDADES DE PREPARACIÓN PARA
EL FONDO VERDE PARA EL CLIMA EN CENTRO
AMERICA Y BOLIVIA"**

De mi consideración:

A tiempo de expresarle un cordial saludo y en respuesta a la nota MPD/VIPFE/DGGFE/UOF -003168/2015 por medio de la cual remiten perfil de proyecto de la Cooperación Técnica Regional de referencia RG-X1255, que se prevé será financiada con recursos del Fondo Nórdico para el Desarrollo (NDF, por sus sigla en Inglés) para seis países de la región y que permitirá preparar al país para acceder directamente a los recursos del Fondo Verde para el Clima.

Al respecto, comunico el criterio sectorial positivo dado que el perfil de proyecto de referencia se enmarca en las políticas sectoriales y apoyará en el desarrollo de cartera de proyectos en adaptación, mitigación y resiliencia climática.

Con este motivo, aprovecho la oportunidad para reiterar las seguridades de mi distinguida consideración.

Alexandra Moreira López
MINISTRA DE MEDIO AMBIENTE Y AGUA



AML/GSZ/IBJ
Cc: Archivo

#0006817

CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)
GREEN CLIMATE FUND READINESS SUPPORT FOR CENTRAL AMERICA AND BOLIVIA
TECHNICAL SUPPORT FOR ACCREDITATION OF A NATIONAL/SUB-NATIONAL/REGIONAL ENTITY
TO THE GCF - FIDUCIARY STANDARDS
RG-X1255
TERMS OF REFERENCE

I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects, support to national, sub-national and regional entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. The GCF also allows direct access to recipient countries that can nominate competent, national, sub-national, and regional, implementing entities for accreditation to receive funding. Implementing entities have to be accredited by the GCF to canalize funding for recipient countries. To acquire accreditation there are specific criteria to comply with Fund's fiduciary principles and standards, and environmental and social safeguards.
- 1.3 In order for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, and Bolivia to access directly to resources from the GCF, their National Designated Authorities should nominate a National/Sub-national/Regional Entity to acquire accreditation. The IDB will support on-demand basis selected entities seeking accreditation to the GCF.

II. OBJECTIVES

- 2.1. Assessment of the compliance with fiduciary criteria required by the GCF for the accreditation of National/Sub-national/Regional Entities and elaboration of a road map for the accreditation process.

III. ACTIVITIES

- 3.1 Review of and guidance for the GCF accreditation process. The contractual shall review the process and requirements for the accreditation of a National/Sub-national/Regional Entity to the GCF. The contractual shall guide National/Sub-national/Regional Entities to complete and compile information to each section of the accreditation application.
- 3.2 Gap Analysis of the Entities compliance with the GCF's fiduciary standards. The contractual shall conduct a gap analysis for National/Sub-national/Regional Entities to comply with the GFC's fiduciary standards in order to entitle the Entity to identify, prepare, submit and fully implement funding proposals relating to specific projects. The assessment shall include, as a minimum the basic fiduciary criteria: (i) general management and administrative capacities; (ii) financial management and accounting; (iii) internal and

external audit; (iv) control frameworks; (v) procurement; (vi) code of ethics; (vii) disclosure of conflicts of interest; (viii) preventing financial mismanagement; (ix) investigation function, and (x) anti-money laundering and anti-terrorist financing policies.

- 3.3 In case the Entity is seeking for more advanced accreditation, the following specialized fiduciary criteria have to be assessed: (a) project identification, preparation and appraisal; (b) project oversight and control; (c) monitoring and evaluation; (d) project-at-risk systems and related project risk management capabilities; (e) grant award procedures; (f) public access to information on beneficiaries and results; (g) transparent allocation and implementation of financial resources; (h) good standing for financial requirements with regard to multilateral funding; (i) appropriate registration and/or licensing from by a financial oversight body or regulator in the country and/or internationally, as applicable; (j) track record, institutional experience and existing arrangements and capacities for on-lending and blending with resources from other international or multilateral sources; (k) creditworthiness; (l) due diligence policies, processes and procedures; (m) financial resources management, including analysis of lending portfolio of the intermediary; (n) public access to information on beneficiaries and results; (o) investment management, policies and systems, including in relation to portfolio management; (p) capacity to channel funds transparently and effectively, and to transfer the Fund's funding advantages to final beneficiaries; (q) financial risk management, including asset liability management, and (r) governance and organizational arrangements, including relationships between the entity's treasury function and the operational side.
- 3.4 Recommendations to cover potential gaps and road map for the GCF accreditation process. The contractual shall elaborate recommendations and a road map to overcome gaps found in activity 3.2 above, in order for the National/Sub-national/Regional Entities to comply with the GFC's fiduciary standards. The road map should include a schedule of the assessment process and the responsible to complete improvement actions. The contractual shall define the roadmap with specific recommendations in close collaboration and coordination with the Entities and the IDB.

IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based with at least one mission to each country where the National/Sub-national/Regional Entity is located.
- Qualifications: The contractual should have university degree in finance, accounting, economics, or any related discipline with at least seven years of demonstrated professional experience in fiduciary standards. Master's degree would be an asset.

V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, an interim report, and a final report.
- a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.
 - b. The interim report should include the review of the GCF accreditation process (as per paragraph 3.1 above) and the assessment of the National/Sub-national/Regional Entity

compliance with GCF's fiduciary standards (as per paragraph 3.2 above). The interim report should be submitted within 60 calendar days from the signature of the contract.

- c. The final report should include the road map for each National/Sub-national/Regional Entity for the accreditation process to the GCF (as per paragraph 3.3 above) and the guidance for the GCF accreditation application (as per paragraph 3.1 above). The final report should be submitted within 90 calendar days from the signature of the contract.
- d. The inception and the interim report are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.

5.2 Payments for the consulting services will be specified in the contract and will be made as follow:

1. 20% at contract signature and approval of the Bank of the inception report;
2. 40% upon approval of the Bank of the interim report;
3. 40% upon approval of the Bank of the final report.

VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuels. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)
GREEN CLIMATE FUND READINESS SUPPORT FOR CENTRAL AMERICA AND BOLIVIA
TECHNICAL SUPPORT FOR ACCREDITATION OF A NATIONAL/SUB-NATIONAL/REGIONAL
ENTITY TO THE GCF – ENVIRONMENTAL AND SOCIAL SAFEGUARDS AND GENDER POLICY
RG-X1255

TERMS OF REFERENCE

I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. The GCF also allows direct access to recipient countries that can nominate competent sub-national, national and regional implementing entities for accreditation to receive funding. Implementing entities have to be accredited by the GCF to canalize funding for recipient countries. To acquire accreditation there are specific criteria to comply with Fund's fiduciary principles and standards and environmental and social safeguards.
- 1.3 In order for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia to access directly to resources from the GCF, their National Designated Authorities should nominate a National/Sub-national/Regional Entity to acquire accreditation. The IDB will support on-demand basis selected entities seeking accreditation to the GCF.

II. OBJECTIVES

- 1.1 Assessment of the compliance with environmental and social safeguards and gender policy required by the GCF for the accreditation of National/Sub-national/Regional Entities and elaboration of a road map for the accreditation process.

III. ACTIVITIES

- 3.1 Assessment of the Entity compliance with the GCF's environmental and social safeguards and gender policy. The contractual shall conduct a gap analysis for National/Sub-national/Regional Entities to comply with the GFC's environmental and social safeguards in order to entitle the Entity to identify, prepare, submit and fully implement funding proposals relating to specific projects. The assessment shall include, as a minimum:
(a) assessment of the environmental and social management system (ESMS);
(b) environmental and social policy; (c) identification of risks and impacts; (d) management program of the ESMS; (e) organizational capacity and competency for the ESMS; (f) monitoring and review; (g) external communications; (h) labor and working conditions; (i) resource efficiency and pollution prevention; (j) community health, safety and security; (k) land acquisition and involuntary resettlement; (l) biodiversity conservation and sustainable management of living natural resources; (m) indigenous peoples, and (n) cultural heritage.

- 3.2 The contractual shall also conduct a gap analysis for National/Sub-national/Regional Entities to comply with the GCF's Gender Policy, including: (i) demonstrate competencies, policies and procedures to implement the GCF's Gender Policy, and (ii) demonstrate experience with gender and climate change, including a track record of lending to both men and women.
- 3.3 Recommendations to cover potential gaps and road map for the GCF accreditation process. The contractual shall elaborate recommendations and a road map to overcome gaps found in activity 3.1 above, in order for the National/Sub-national/Regional Entities to comply with the GFC's environmental and social safeguards and gender policy. The road map should include a schedule of the assessment process and the responsible to complete improvement actions. The contractual shall define the roadmap with specific recommendations in close collaboration and coordination with the Entities and the IDB.

IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based with at least one mission to each country where the National/Sub-national/Regional Entity is located.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in environmental and social safeguards. Master's degree would be an asset.

V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, an interim report, and a final report.
- a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.
 - b. The interim report should include the assessment of the National/Sub-national/Regional Entity compliance with GCF's environmental and social safeguards and gender policy (as per paragraph 3.1 above). The interim report should be submitted within 60 calendar days from the signature of the contract.
 - c. The final report should include the road map for each National/Sub-national/Regional Entity for the accreditation process to the GCF (as per paragraph 3.2 above). The final report should be submitted within 90 calendar days from the signature of the contract.
- 5.2 The inception and the interim report are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.3 Payments for the consulting services will be specified in the contract and will be made as follow:

1. 20% at contract signature and approval of the Bank of the inception report;
2. 40% upon approval of the Bank of the interim report;
3. 40% upon approval of the Bank of the final report.

VI. COORDINATION

- 6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuels. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)
GREEN CLIMATE FUND READINESS SUPPORT FOR CENTRAL AMERICA AND BOLIVIA
TECHNICAL SUPPORT FOR IDENTIFICATION, BUILDING A PIPELINE AND PRIORITIZATION OF
GREEN CLIMATE FUND PROGRAMS AND PROJECTS
RG-X1255

TERMS OF REFERENCE

I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia to present funding proposals to the GCF it is necessary to identify and prioritize potential projects and programs aligned with national priorities and the Fund's results management framework. The Bank will support Central America countries and Bolivia during the process of prioritization of the identified GCF programs and projects.

II. OBJECTIVES

- 2.1 Identification, building a pipeline, and prioritization of Green Climate Fund programs and projects in Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia.

III. ACTIVITIES

- 3.1. Review of existing and potential projects and programs that could opt for GCF funding. The contractual shall review projects and programs that are currently developing in each country or are soon to be developed. Once projects and/or programs have been identified, the contractual shall check whether those projects and programs are in line with: (a) national, sub-national, sectoral priorities; (b) IDB country strategy; and (c) GCF investment criteria. If the projects and/or programs are in line with (a), (b) and (c) above, it should be listed for each project/program, as a minimum: (i) the aim and objective of the project or program; (ii) scope of project/program; (iii) the result area - the project/program respond to a mitigation or adaptation strategy, or both; (iv) detailed activities; (v) development status of the project/program; (vi) funding needed and funding already provided; (vii) timeline, and (viii) stakeholders involved.
- 3.2. Building a pipeline of programs and projects that could be eligible for GCF funding. The contractual shall build the pipeline in close collaboration and coordination with the NDA,

the IDB¹ and any other appointed national entity of each country. The pipeline of projects and programs shall include the information required by the GCF concept note template², inter alia: (a) project/program general information (e.g. title, results areas – reduce emissions and/or increase resilience -, life span, implementation start and end date); (b) project/program description, including objectives; (c) background information on project/program sponsor; (d) market overview; (e) regulation, taxation and insurance; (f) implementation arrangements; (g) financing/cost information; (h) expected performance against GCF investment criteria; (i) brief rationale for GCF involvement and exit strategy; (j) risk analysis; (k) multi-stakeholder engagement; (l) status of the project/program; and (m) identification of the existence of supporting documents for the concept note required by the GCF (i.e. map indicating the location of the project/program, financial model, pre-feasibility study/ feasibility study, environmental and social impact assessment (if applicable), evaluation report (if applicable)).

- 3.3. Prioritization of GCF projects and programs. The contractual shall conduct an analysis and evaluation methodology to assess projects and programs of the GCF pipeline in each country in order to establish a ranking among those projects and programs. Prioritization of projects/programs should be based on a country driven approach, the maturity of the project/program, and the availability of supporting documentation for the funding proposal³ required by the GCF. The contractual could perform a multi-criteria analysis to evaluate the impact of the programs and/or projects against the information required by the GCF funding template: (a) project/program general information (e.g. title, results areas – reduce emissions and/or increase resilience -, executive summary, expected approval from accredited entity's Board (if applicable), expected financial close (if applicable), implementation start and end date, life span); (b) description of financial elements of the project/program; (c) project/program financing information; (d) fee arrangement; (e) financial market overview – if applicable; (f) strategic context; (g) project/program objective against baseline scenario; (h) project/program description; (i) background information on project/program sponsor; (j) market overview – if applicable; (k) regulation, taxation and insurance; (l) institutional/implementation arrangements; (m) timetable of project/program implementation; (n) value added for GCF involvement; (o) exit strategy; (p) expected performance against GCF investment criteria; (q) economic and financial analysis; (r) technical evaluation; (s) environmental, social assessment, including gender considerations; (t) financial management and procurement; (u) risk assessment summary, risk factors and mitigation measures; (v) logic framework: paradigm shift objectives and impacts at the fund level, and outcomes, outputs, activities and inputs at project/program level; (w) arrangements for monitoring, reporting and evaluation; and (x) identification of the existence of supporting documents for the funding proposal required by the GCF, or when they become available (i.e. feasibility study, integrated financial model that provides sensitivity analysis of critical elements, confirmation letter or commitment for co-financing, term sheet, environmental and social impact assessment (ESIA), appraisal report or due diligence report with recommendations, evaluation report of the baseline project, map indicating the location of the project/program, timetable of project/program implementation, project/program confirmation).
- 3.4. Prioritization workshop in Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia. The contractual shall organize in close collaboration and coordination with the

¹ Close involvement of INE operational Divisions as well as other Departments of the Bank.

² GCF concept note: <http://www.gcfund.org/operations/resource-guide.html> or the latest version available.

³ GCF funding proposal: <http://www.gcfund.org/operations/resource-guide.html> or the latest version available.

NDA, the IDB⁴ and any other appointed national entity of each country, logistics and technical aspects of the prioritization workshop in each country, before, during and after the event. The contractual shall be in charge to, inter alia: (a) provide input on the identification of invitees; (b) drafting the invitation letter; (c) drafting the workshop agenda; (d) making recommendations on the format of the workshop; (e) moderate and facilitate the dialogue organizing the discussions, taking the floor and making presentations, as appropriate; and (f) elaborate a synthesis document of the workshop providing the GCF prioritized projects/programs list.

IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within eight months.
- Place of work: Home-based with potential missions to countries.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in climate change mitigation and adaptation projects. Master's degree would be an asset.

V. REPORTS AND PAYMENTS

- 5.1. The contractual will submit an inception report, an interim report, and a final report.
 - a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 15 calendar days from the signature of the contract.
 - b. The interim report should include the identification and building of GCF projects/ programs pipeline in Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia (as per paragraph 3.1 and 3.2 above). The interim report should be submitted within 120 calendar days from the signature of the contract.
 - c. The final report should include the initial prioritization made during the desk review appraisal and the results of the prioritization agreed in the workshop for each country (as per paragraph 3.1 and 3.2 above). The final report should be submitted within 200 calendar days from the signature of the contract.
- 5.2. The inception report is expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices), and ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.3. Payments for the consulting services will be specified in the contract and will be made as follow:
 1. 20% at contract signature and approval of the Bank of the inception report;
 2. 40% upon approval of the Bank of the interim report; and
 3. 40% upon approval of the Bank of the final report.

⁴ Close involvement of INE operational Divisions as well as other Departments of the Bank.

VI. COORDINATION

6.1. The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuels. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)
GREEN CLIMATE FUND READINESS SUPPORT FOR CENTRAL AMERICA AND BOLIVIA
TECHNICAL SUPPORT FOR THE PREPARATION OF FULL PROJECT OR PROGRAM FUNDING
PROPOSALS FOR THE GREEN CLIMATE FUND
RG-X1255
TERMS OF REFERENCE

I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia to present funding proposals to the GCF it is necessary to fill out the GCF funding proposal template and ensure to have all documentation required. The Bank will support Central America countries and Bolivia to submit a qualified project or program funding proposal to the GCF.

II. OBJECTIVES

- 2.1 Preparation of six full program or project funding proposals to be submitted to the Green Climate Fund Secretariat for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica, and Bolivia.

III. ACTIVITIES

- 3.1 Preparation of projects and/or programs funding proposals to be submitted to the GCF. The contractual shall fill out the latest available version of the GCF funding proposal⁵, consider all comments received from the NDA, any other appointed national entity of each country and the IDB⁶, and participate in all calls arranged by the GCF when reviewing each proposal. The contractual shall refine each proposal accordingly.
- 3.2 Check list and availability of required documentation for the project/program proposal. The contractual shall organize in close collaboration and coordination with the NDA, the IDB⁷ and any other appointed national entity of each country, all documents, letters and studies necessary to support the submission of the GCF funding proposal in each country.

⁵ GCF funding proposal: <http://www.gcfund.org/operations/resource-guide.html> or the latest version available.

⁶ Close involvement of INE operational Divisions as well as other Departments of the Bank.

⁷ *Idem.*

IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Individual contractual of the IDB's member countries.
- Type of contract: Products and External Services Contractual, Lump sum.
- Time frame: The activities under these terms of reference should be completed within four months.
- Place of work: Home-based.
- Qualifications: The contractual should have university degree in environmental sciences, environmental engineering, or any related discipline with at least seven years of demonstrated professional experience in climate change mitigation and adaptation projects. Master's degree would be an asset.

V. REPORTS AND PAYMENTS

5.1 The contractual will submit an inception report, and a final report.

- a. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 10 calendar days from the signature of the contract.
- b. The final report should include the final project/program funding proposal to be submitted to the GCF and the annexed information for each proposal (as per paragraph 3.1 and 3.2 above). The final report should be submitted within 90 calendar days from the signature of the contract.
- c. The inception report is expected in Spanish. The final report is expected in English. All reports will be delivered as follows: (i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices), and (ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.

5.2 Payments for the consulting services will be specified in the contract and will be made as follow:

2. 40% at contract signature and approval of the Bank of the inception report; and
3. 60% upon approval of the Bank of the final report.

VI. COORDINATION

6.1 The Climate Change and Sustainability Division (INE/CCS) will have the technical responsibility of the execution of this contract as well as approval of products prepared by the contractuales. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

**CLIMATE CHANGE AND SUSTAINABILITY DIVISION (INE/CCS)
GREEN CLIMATE FUND READINESS SUPPORT FOR CENTRAL AMERICA AND BOLIVIA**

**SUPPORT TO A FEASIBILITY STUDY OF A FUNDING PROJECT PROPOSAL TO BE SUBMITTED TO
THE GREEN CLIMATE FUND**

**RG-X1255
TERMS OF REFERENCE**

I. BACKGROUND AND JUSTIFICATION

- 1.1 The Green Climate Fund (GCF) is expected to become the main global fund for Climate Finance. A total of US\$10.2 billion has been pledged to date to the GCF, and a first set of project proposals is expected to be approved by the GCF Board by the end of 2015. To be able to present solid project proposals to the GCF, Central America countries and Bolivia require technical support in the process of prioritization and design of projects, support to national entities for accreditation to the GCF and capacity building to the National Designated Authorities (NDAs). The Bank is well positioned to offer this support given its experience in channeling multilateral climate funds and leveraging its own resources.
- 1.2 The IDB has recently been accredited by the GCF as an Implementing Entity and Financial Intermediary of the Fund which gives the opportunity to canalize international climate finance. Accredited Entities are entitled to identify, prepare, submit and fully implement funding proposals relating to specific projects.
- 1.3 In order for Guatemala, El Salvador, Honduras, Nicaragua, Costa Rica and Bolivia to present funding proposals to the GCF it is required to comply with the GCF investment criteria and to present a feasibility study, an environmental and social impact assessment, a financial model, due diligence report with recommendations, among others.

II. OBJECTIVES

- 2.1 Support to the elaboration of a feasibility study of a selected project proposal seeking GCF funding.

III. ACTIVITIES

- 3.1 Description of the proposed project seeking GCF funding. The firm shall describe in detail the funding project proposal including, inter alia: (a) overall objectives, explaining the project importance to the target groups and beneficiaries, the region and the government; (b) indicate economic, social and environmental development or impact of the project; (c) describe the project purpose, highlighting why the target groups and beneficiaries need the project; (d) project results, specifying products and services the project will deliver to the target groups and beneficiaries, as well as the assumptions required to achieve the project purpose; (e) explain project activities; (f) provide details of engineering designs, technical specifications, works, and/or supplies, depending the type of project; and (g) describe the exit strategy to ensure project sustainability in the long run.
- 3.2 Support to a feasibility study of a funding project proposal seeking GCF resources. The firm shall support to a feasibility study of a funding project proposal for submission to the

GCF Board, detailing any pre-conditions necessary to start project activities. The feasibility study shall include, as a minimum: (a) technical analysis; (b) economic and financial assessment; (c) institutional and managerial capacity; (d) environmental impacts, (e) socio-cultural and gender aspects, and (f) operational characteristics of the project.

- 3.2 Design a detailed plan for implementation of the project activities. The firm shall design detailed implementation plan including, inter alia: (a) indicators for project objectives and results; (b) design specifications; (c) resources required; (d) institutional structure for the implementation phase stipulating the responsibilities of different actors; (e) project timing/phasing; (f) estimated costs; (g) logical framework; (h) risk analysis and mitigation measures; (i) financing plan, and (j) monitoring and evaluation.

IV. CHARACTERISTICS OF THE CONSULTING SERVICES

- Type: Consultancy Firm of the IDB's member countries.
- Type of contract: Lump sum.
- Time frame: The activities under these terms of reference should be completed within six months.
- Place of work: Home-based with at least two missions to the country where the study is being conducted.
- Qualifications: The consultancy team should have university degree (Master's degree is an asset) and at least the following experience:
 - a. Project Coordinator. At least 10 years of demonstrated professional experience leading feasibility studies in the field of the proposed project.
 - b. Technical expert. At least eight years of demonstrated professional experience in the field of the proposed project.
 - c. Financial expert. At least eight years of demonstrated professional experience in economic and financial analysis of projects related to the proposed project.

If deemed necessary, and not exceeding the suggested budget for the consultancy, the consulting firm may propose additional staff as part of the team.

V. REPORTS AND PAYMENTS

- 5.1 The contractual will submit an inception report, two interim reports, and a final report.
- b. The inception report should include the general methodology, work plan and detailed timetable for the development of the consultancy. The inception report should be submitted within 15 calendar days from the signature of the contract.
 - c. The first interim report should include the description of the proposed project (as per paragraph 3.1 above). The first interim report should be submitted within 60 calendar days from the signature of the contract.

- d. The second interim report should include the feasibility study of a funding project proposal (as per paragraph 3.2 above). The second interim report should be submitted within 120 calendar days from the signature of the contract.
 - e. The final report should include the implementation plan of the project activities (as per paragraph 3.3 above) and compile the two previous approved reports (as per paragraphs 5.1.b and 5.1.c). The final report should be submitted within 150 calendar days from the signature of the contract.
- 5.2 The inception and interim reports are expected in Spanish. The final report is expected in English and Spanish. All reports will be delivered as follows: i) the relevant electronic files in MS Word, Excel, or other application acceptable to the IDB (must include all annexes and appendices); ii) an electronic PDF file for each full report. These reports and electronic files should be delivered to the project supervisors within the time limits mentioned above.
- 5.3 Payments for the consulting services will be specified in the contract and will be made as follow:
1. 20% at contract signature and approval of the Bank of the inception report;
 2. 30% upon approval of the Bank of the first interim report;
 3. 30% upon approval of the Bank of the second interim report; and,
 4. 20% upon approval of the Bank of the final report.

VI. COORDINATION

- 6.1 The Climate Change and Sustainability Division (INE/CCS)⁸ will have the technical responsibility of the execution of this contract as well as approval of products prepared by the firm. In representation of the IDB, the technical coordination for this consultancy rests with Ms. Gloria Visconti, Climate Change Lead Specialist (e-mail: gloriav@iadb.org).

⁸ According to the sector of the funding proposal there will be a close involvement of INE operational Divisions as well as other Departments of the Bank.

PROCUREMENT PLAN FOR NON-REIMBURSABLE TECHNICAL COOPERATIONS										
Country: Regional				Executing agency: IDB			Public or private sector: Public			
Project number: RG-X-1255				Title of Project: Green Climate Fund Readiness Support for Central America and Bolivia						
Period covered by the plan: September 2015 - Septiembre 2018										
TC Funding: US\$560,000 Local counterpart: US\$70,000										
Threshold for ex post review of procurements: N/A				Services (in US\$):		172,000	Consulting services(in US\$):		360,000	
Item No.	Ref. AWP	Description (1)	Estimated contract cost (US\$)	Procurement Method (2)	Review of procurement (ex ante or ex post) (3)	Source of financing and percentage		Estimated date of the procurement notice or start of the contract	Technical review by the PTL (4)	Comments
						IDB %	Local/other %			
		Component 1	\$ 122,000			100				
		Services								
1		Logistics for events, participation to event of NDAs and different stakeholders	\$ 112,000	PC	N/A	100		Oct-15		
2		Editing and publishing of documents	\$ 10,000	DC	N/A	100		Jan-16		
		Component 2	\$ 81,000			100				
		Consulting services								
3		Individual consultant for the technical support of accreditation of National Entity to the GCF - Fiduciary standards	\$ 40,500	IICQ	N/A	100		Jan-16		
4		Individual consultant for the technical support of accreditation of National Entity to the GCF - Environmental and Social Safeguards	\$ 40,500	IICQ	N/A	100		Jan-16		
		Component 3	\$ 115,000			100				
		Consulting services								
5		Individual Consultant for the identification, building a pipeline and prioritization of GCF projects	\$ 65,000	IICQ	N/A	100		Nov-15		
		Services								
6		National events for the prioritization of GCF projects	\$ 50,000	PC	N/A	100		May-16		
		Componente 4	\$ 174,000			100				
		Consulting services								
7		Consulting firm to perform feasibility study	\$ 94,000	QCBS	N/A	100		Jan-16		
8		Individual Consultant to prepare GCF full proposals	\$ 80,000	IICQ	N/A	100		Jan-16		
9		Individual consultant for coordination, execution, monitoring and evaluation of the TC	\$ 40,000	IICQ	N/A	100		Nov-15		
Total			\$ 532,000	Prepared by: Gloria Visconti PTL: Gloria Visconti			Date: Sep 28, 2015			
(1) Goods and works: CB: Competitive bidding; PC: Price comparison; DC: Direct contracting.										
(2) Consulting firms: CQS: Selection Based on the Consultants' Qualifications; QCBS: Quality and cost-based selection; LCS: Least Cost Selection; FBS: Selection under a Fixed Budget; SSS: Single Source Selection; QBS: Quality Based selection.										
(3) Individual consultants: IICQ: International Individual Consultant Selection Based on Qualifications; SSS: Single Source Selection.										
(4) Ex ante/ex post review: In general, depending on the institutional capacity and level of risk associated with the procurement, ex post review is the standard modality. Ex ante review can be specified for critical or complex process.										
(5) Technical review: The PTL will use this column to define those procurement he/she considers "critical" or "complex" that require ex ante review of the terms of reference, technical specifications, reports, outputs, or other items.										



SAFEGUARD SCREENING FORM

PROJECT DETAILS	
IDB Sector	ENVIRONMENT AND NATURAL DISASTERS-CLIMATE CHANGE FINANCING
Type of Operation	Technical Cooperation
Additional Operation Details	
Country	REGIONAL
Project Status	
Investment Checklist	Generic Checklist
Team Leader	Visconti, Gloria (GLORIAV@iadb.org)
Project Title	Green Climate Fund Readiness Support for Central America and Bolivia
Project Number	RG-X1255
Safeguard Screening Assessor(s)	Lopez Tovar, Sandra (sandra@IADB.ORG)
Assessment Date	2015-08-11

PROJECT CLASSIFICATION SUMMARY		
Project Category: C	Override Rating:	Override Justification:
		Comments:
Conditions/ Recommendations	<p>1 No environmental assessment studies or consultations are required for Category "C" operations.</p> <p>1 Some Category "C" operations may require specific safeguard or monitoring requirements (Policy Directive B.3).Where relevant, these operations will establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.).</p> <p>1 The Project Team must send the PP (or equivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.</p>	

SUMMARY OF IMPACTS/RISKS AND POTENTIAL SOLUTIONS	
Identified Impacts/Risks	Potential Solutions

DISASTER RISK SUMMARY	
Disaster Risk Category: Low	
Disaster/ Recommendations	1 No specific disaster risk management measures are required.



DISASTER SUMMARY	
<p>Details</p> <p>The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary.</p>	<p>Actions</p> <p>The project triggered the Other Risks policy (B.04): climate risk. Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc); Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies.</p>

ASSESSOR DETAILS	
Name of person who completed screening:	Lopez Tovar, Sandra (sandra@IADB.ORG)
Title:	
Date:	2015-08-11

COMMENTS
No Comments

SAFEGUARD POLICY FILTER REPORT

PROJECT DETAILS	
IDB Sector	ENVIRONMENT AND NATURAL DISASTERS-CLIMATE CHANGE FINANCING
Type of Operation	Technical Cooperation
Additional Operation Details	
Investment Checklist	Generic Checklist
Team Leader	Visconti, Gloria (GLORIAV@iadb.org)
Project Title	Green Climate Fund Readiness Support for Central America and Bolivia
Project Number	RG-X1255
Safeguard Screening Assessor(s)	Lopez Tovar, Sandra (sandal@IADB.ORG)
Assessment Date	2015-08-11

SAFEGUARD POLICY FILTER RESULTS		
Type of Operation	Special Operation	
Safeguard Policy Items Identified (Yes)	Type of operation for which disaster risk is most likely to be low .	(B.01) Disaster Risk Management Policy– OP-704
	The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
	The operation is in compliance with environmental, specific women’s rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)
	The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
	The project includes activities to close current “adaptation deficits” or to increase the capacity of human social and ecological systems to adapt to a changing climate.	(B.04)
Potential Safeguard Policy Items(?)	No potential issues identified	
Recommended Action:	Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.	



The project triggered the Disaster Risk Management policy (OP-704). A Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704) in case of high risk, a limited DRA in case of moderate risk. Next, please complete a Disaster Risk Classification along with Impact Classification.

The project triggered the Other Risks policy (B.04): climate risk. Please include sections on how climate risk will be dealt with in the ESS as well as client documents (EIA, EA, etc); Recommend addressing risks from gradual changes in climate for the project in cost/benefit and credit risk analyses as well as TORs for engineering studies.

Additional Comments:

ASSESSOR DETAILS

Name of person who completed screening:	Lopez Tovar, Sandra (sandal@IADB.ORG)
Title:	
Date:	2015-08-11

COMMENTS

No Comments