

## SAFEGUARD POLICY FILTER REPORT

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	REFORM / MODERNIZATION OF THE STATE
	<b>Type of Operation</b>	Investment Loan
	<b>Additional Operation Details</b>	
	<b>Investment Checklist</b>	Institutional Development Investment
	<b>Team Leader</b>	Theinhardt, Jean Eric (JEANT@iadb.org)
	<b>Project Title</b>	Justice and Security Program
	<b>Project Number</b>	ES-L1025
	<b>Safeguard Screening Assessor(s)</b>	Theinhardt, Jean Eric (JEANT@iadb.org)
	<b>Assessment Date</b>	2011-05-06
	<b>Additional Comments</b>	

<b>SAFEGUARD POLICY FILTER RESULTS</b>	<b>Type of Operation</b>	Loan Operation	
	<b>Safeguard Policy Items Identified (Yes)</b>	Activities to be financed in the project area are located within a geographical area or sector exposed to natural hazards (Type 1 Disaster Risk Scenario).	(B.01) Disaster Risk Management Policy– OP-704
		The Bank will make available to the public the relevant Project documents.	(B.01) Access to Information Policy– OP-102
		Does this project offer opportunities to promote <u>gender equality</u> or <u>women's empowerment</u> through its project components?	(B.01) Gender Equality Policy– OP-270
		The operation is in compliance with environmental, specific women's rights, gender, and indigenous laws and regulations of the country where the operation is being implemented (including national obligations established under ratified Multilateral Environmental Agreements).	(B.02)
		The operation (including associated facilities) is screened and classified according to their potential environmental impacts.	(B.03)
		An Environmental Assessment is required.	(B.05)
		The Bank will monitor the executing agency/borrower's compliance with all safeguard requirements stipulated in the loan agreement and project operating or credit regulations.	(B.07)

		The operation has the potential to pollute the environment (e.g. air, soil, water, greenhouse gases...).	(B.11)
		Suitable safeguard provisions for procurement of goods and services in Bank financed projects may be incorporated into project-specific loan agreements, operating regulations and bidding documents, as appropriate, to ensure environmentally responsible procurement.	(B.17)
	<b>Potential Safeguard Policy Items(?)</b>	No potential issues identified	
	<b>Recommended Action:</b>	<p>Operation has triggered 1 or more Policy Directives; please refer to appropriate Directive(s). Complete Project Classification Tool. Submit Safeguard Policy Filter Report, PP (or equivalent) and Safeguard Screening Form to ESR.</p> <p>The project triggered the Disaster Risk Management policy (OP-704).</p> <p>A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.</p>	
	<b>Additional Comments:</b>		

<b>ASSESSOR R DETAILS</b>	<b>Name of person who completed screening:</b>	Theinhardt, Jean Eric (JEANT@iadb.org)
	<b>Title:</b>	
	<b>Date:</b>	2011-05-06

## SAFEGUARD SCREENING FORM

<b>PROJECT DETAILS</b>	<b>IDB Sector</b>	REFORM / MODERNIZATION OF THE STATE
	<b>Type of Operation</b>	Investment Loan
	<b>Additional Operation Details</b>	
	<b>Country</b>	EL SALVADOR
	<b>Project Status</b>	
	<b>Investment Checklist</b>	Institutional Development Investment
	<b>Team Leader</b>	Theinhardt, Jean Eric (JEANT@iadb.org)
	<b>Project Title</b>	Justice and Security Program
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	<b>Additional Comments</b>	

<b>PROJECT CLASSIFICATION SUMMARY</b>	<b>Project Category:</b> B	<b>Override Rating:</b>	<b>Override Justification:</b>
			<b>Comments:</b>
	<b>Conditions/ Recommendations</b>	<input type="checkbox"/> Category "B" operations require an environmental analysis (see Environment Policy Guideline: Directive B.5 for Environmental Analysis requirements).  <input type="checkbox"/> The Project Team must send to ESR the PP (orequivalent) containing the Environmental and Social Strategy (the requirements for an ESS are described in the Environment Policy Guideline: Directive B.3) as well as the Safeguard Policy Filter and Safeguard Screening Form Reports.  <input type="checkbox"/> These operations will normally require an environmental and/or social impact analysis, according to, and focusing on, the specific issues identified in the screening process, and an environmental and social management plan (ESMP). However, these operations should also establish safeguard, or monitoring requirements to address environmental and other risks (social, disaster, cultural, health and safety etc.) where necessary.	

<b>SUMMARY OF IMPACTS/RISKS AND</b>	<b>Identified Impacts/Risks</b>	<b>Potential Solutions</b>
	Generation of solid waste (e.g. construction materials,	<b>Solid Waste Management:</b> The borrower should monitor and report on waste reduction, management

<b>POTENTIAL SOLUTIONS</b>	domestic refuse, sewage sludge, etc.) is moderate in volume, does not include hazardous materials and follows standards recognized by multilateral development banks.	and disposal and may also need to develop a Waste Management Plan (which could be included in the ESMP). Effort should be placed on reducing and recycling solid wastes. Specifically (if applicable) in the case that national legislations have no provisions for the disposal and destruction of hazardous materials, the applicable procedures established within the Rotterdam Convention, the Stockholm Convention, the Basel Convention, the WHO List on Banned Pesticides, and the Pollution Prevention and Abatement Handbook (PPAH), should be taken into consideration.
	Likely to have minor to moderate emission or discharges that would negatively affect ambient environmental conditions (e.g. as a result of new infrastructure, and waste water/solid treatment capacity).	<b>Management of Ambient Environmental Conditions:</b> The borrower should be required to prepare an action plan (and include it in the ESMP) that indicates how risks and impacts to ambient environmental conditions can be managed and mitigated consistent with relevant national and/or international standards. The borrower should (a) consider a number of factors, including the finite assimilative capacity of the environment, existing and future land use, existing ambient conditions, the project's proximity to ecologically sensitive or protected areas, and the potential for cumulative impacts with uncertain and irreversible consequences; and (b) promote strategies that avoid or, where avoidance is not feasible, minimize or reduce the release of pollutants, including strategies that contribute to the improvement of ambient conditions when the project has the potential to constitute a significant source of emissions in an already degraded area. The plan should be subject to review by qualified independent experts. Depending on the financial product, this information should be referenced in appropriate legal documentation (covenants, conditions of disbursement, etc.).
	Moderate Greenhouse Gas Emissions are predicted (e.g. as a result of new power generation or the conversion of land).	<b>Greenhouse Gas (GHG) Assessment:</b> The borrower should promote the reduction of project-related greenhouse gas emissions in a manner appropriate to the nature and scale of project operations and impacts. The borrower should quantify direct emissions from the facilities owned or controlled within the physical project boundary and indirect emissions associated with the off-site production of power used by the project. Quantification and monitoring of GHG emissions should be conducted annually in accordance with internationally recognized methodologies (i.e. IPCC - <a href="http://www.ipcc.ch/">http://www.ipcc.ch/</a> ). In addition, the borrower should evaluate technically and financially feasible and cost-effective options for the reduction/offset of emissions that may be achieved during the design and operation of the project. The Sustainable Energy and Climate Change Initiative (SECCI) can help with this task ( <a href="http://www.iadb.org/secci/">http://www.iadb.org/secci/</a> ).

	Safety issues associated with structural elements of the project (e.g. dams, public buildings etc), or road transport activities (heavy vehicle movement, transport of hazardous materials, etc.) exist which could result in moderate health and safety risks to local communities.	<b>Address Community Health Risks:</b> The borrower should be required to provide a plan for managing risks which could be part of the ESMP; (including details of grievances and any independent audits undertaken during the year). Compliance with the plan should be monitored and reported. Requirements for independent audits should be considered if there are questions over borrower commitment or potential outstanding community concerns.
	Project construction activities are likely to lead to localized and temporary impacts (such as dust, noise, traffic etc) that will affect local communities and workers but these are minor to moderate in nature.	<b>Construction:</b> The borrower should demonstrate how the construction impacts will be mitigated. Appropriate management plans and procedures should be incorporated into the ESMP. Review of implementation as well as reporting on the plan should be part of the legal documentation (covenants, conditions of disbursement, etc).

<b>DISASTER SUMMARY</b>	<b>Details</b>	<b>Actions</b>
	The Project should include the necessary measures to reduce disaster risk to acceptable levels as determined by the Bank on the basis of generally accepted standards and practices. Alternative prevention and mitigation measures that decrease vulnerability must be analyzed and included in project design and implementation as applicable. These measures should include safety and contingency planning to protect human health and economic assets. Expert opinion and adherence to international standards should be sought, where reasonably necessary.	A more limited and specific Disaster Risk Assessment (DRA) may be required (see Directive A-2 of the DRM Policy OP-704). Please contact a Natural Disaster Specialist in VPS/ESG or INE/RND for guidance.

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